

# Analysis of Technology Improvement Opportunities for a 1.5 MW Wind Turbine using a Hybrid Stochastic Approach in Life Cycle Assessment

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2 **Abstract:** This paper presents an analysis of potential technological advancements for a 1.5 MW wind  
3 turbine using a hybrid stochastic method to improve uncertainty estimates of embodied energy and  
4 embodied carbon. The analysis is specifically aimed at these two quantities due to the fact that LCA  
5 based design decision making is of utmost importance at the concept design stage. In the presented  
6 case studies, better results for the baseline turbine were observed compared to turbines with the  
7 proposed technological advancements. Embodied carbon and embodied energy results for the  
8 baseline turbine show that there is about 85% probability that the turbine manufacturers may have  
9 lost the chance to reduce carbon emissions, and 50% probability that they may have lost the chance  
10 to reduce the primary energy consumed during its manufacture. The paper also highlights that the  
11 adopted methodology can be used to support design decision making and hence is more feasible for  
12 LCA studies.

13 **Keywords:** Embodied energy; Embodied carbon; Technology Improvement Opportunities;  
14 Uncertainty; LCA; 1.5 MW wind turbine

## List of symbols and abbreviations

LCA	Life Cycle Assessment
EEC	Embodied energy coefficient
EF	Emission Factor
DQI	Data Quality Indicator
HDS	Hybrid Data Quality Indicator and Statistical
MCS	Monte Carlo Simulation
K-S	Kolmogorov-Smirnov
MRE	Mean Magnitude of Relative Error
$M_{HDS}$	Mean of HDS result
$M_{DQI}$	Mean of DQI result

CV	Coefficient of Variation
$\sigma$	Standard deviation
$\mu$	Mean
$N_M$	Least number of data points required
$N_{MD}$	Least number of required data points for individual parameter distribution estimation
$N_P$	Number of parameters involved
NREL	National Renewable Energy Laboratory
MW	Megawatt
TIO	Technology Improvement Opportunities
CFRP	Carbon Fibre Reinforced Plastic
PDF	Probability distribution function
CDF	Cumulative distribution function

15

16 **1.0 Introduction**

17           The development of efficient and cleaner energy technologies and the use of renewable and  
18 new energy sources will play a significant role in the sustainable development of a future energy  
19 strategy (Ghenai, 2012; Weitemeyer et al., 2015). It is highlighted in International Energy Agency  
20 (2013) that the development of cleaner and more efficient energy systems and promotion of  
21 renewable energy sources are a high priority for (i) economic and social cohesion, (ii) diversification  
22 and security of energy supply and (iii) environmental protection. Electricity generation using wind  
23 turbines is generally regarded as key in addressing some of the resource and environmental concerns  
24 of today. According to the World Wind Energy Association (2014), wind energy technology has steadily  
25 improved and costs have declined. This technological progress is obvious in the movement to better  
26 wind conditions and shift to higher nominal power of wind turbines (Wang and Sun, 2012; Weinzettel  
27 et al., 2009). However, all renewable systems for converting energy into usable forms such as  
28 electricity have environmental impacts associated with them (Davidsson et al., 2012; Kelly et al., 2014)  
29 and is an important issue in mainstream debate. Further, as pointed out by Chen et al. (2011) and  
30 Yang et al. (2013), it is essential that the long term sustainability of such systems are scrutinized to  
31 support the astonishing growth (actual plus planned) of wind farms as well as to allow policy makers  
32 to take robust decisions to mitigate climate change through the implementation of this technology at  
33 the design stage.

34           The production of renewable energy sources, like every other production process, involves  
35 the consumption of natural resources and energy as well as the release of pollutants (Ardente et al.,

36 2008). Life cycle assessment (LCA) is a popular way of measuring the energy performance and  
37 environmental impacts of wind energy (Davidsson et al., 2012; Martínez et al., 2010). Hammond and  
38 Jones (2008) defined embodied energy of a material as the total amount of primary energy consumed  
39 over its life cycle. This would normally encompass extraction, manufacturing and transportation and  
40 the terminology has been in use for over four decades (Constanza, 1980). In a similar fashion  
41 embodied carbon refers to the lifecycle greenhouse gas emissions (expressed as carbon dioxide  
42 equivalents – CO<sub>2</sub>e) that occur during the manufacture and transport of a material. Embodied energy  
43 and embodied carbon assessments are considered a subset of LCA studies.

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52 equivalents – CO<sub>2</sub>e) that occur during the manufacture and transport of a material (Chen et al., 2011).  
53 Embodied energy and embodied carbon assessments are considered a subset of LCA studies.

54 Embodied energy and embodied carbon are traditionally estimated deterministically using  
55 single fixed point input values to generate single fixed point results (Lloyd and Ries, 2007). Lack of  
56 detailed production data and differences in production processes result in substantial variations in  
57 emission factor (EF) and embodied energy coefficient (EEC) values among different life cycle inventory  
58 (LCI) databases (Sugiyama et al., 2005; Wang and Shen, 2013). Hammond and Jones (2008) notes that  
59 a comparison of selected values in these inventories would show a lot of similarities but also several  
60 differences. These variations termed as “data uncertainty” in Huijbregts (1998) significantly affect the  
61 results of embodied energy and embodied carbon LCA studies. Uncertainty is unfortunately part of  
62 embodied carbon and energy analysis and even data that is very reliable carries a natural level of  
63 uncertainty (Kabir et al., 2012; Hammond and Jones, 2008). Hence, the analysis of data uncertainty is  
64 a significant improvement to the deterministic approach because it provides more information for  
65 decision making (Wang and Shen, 2013; Kabir et al., 2012; Sugiyama et al., 2005; Tan et al., 2002).

66 A number of generally accepted and well understood methods such as stochastic modelling,  
67 analytical uncertainty propagation, interval calculations, fuzzy data sets and scenario modelling are  
68 normally used to propagate uncertainty in LCA analysis. In a survey of approaches used to incorporate

69 uncertainty in LCA studies, Lloyd and Ries (2007) have found that the majority of the published work  
70 employed scenario modelling to propagate uncertainty on LCA outcomes (Martínez et al., 2010;  
71 Guezuraga et al., 2012; Greening and Azapagic, 2013; Demir and Taşkın, 2013; Tremeac and Meunier,  
72 2009; Zhong et al., 2011; Uddin and Kumar, 2014; Garrett and Rønne, 2013; Zimmermann, 2013;  
73 Padey et al., 2012; Oebels and Pacca, 2013; Martínez et al., 2009; Aso and Cheung, 2015), while only  
74 three (Kabir et al., 2012; Fleck and Huot, 2009; Khan et al., 2005), have employed stochastic modelling  
75 to propagate uncertainty. Of the twelve studies using scenario modelling, all assessed scenarios using  
76 sensitivity analysis, while for the studies employing stochastic modelling, all used Monte Carlo  
77 simulation with random sampling. The Monte Carlo analysis method used by Kabir et al. (2012), Fleck  
78 and Huot (2009) and Khan et al. (2005) performs well for cases when reliability of the uncertainty  
79 estimate is not of utmost importance. This method has a drawback when applied, as due to its “rule  
80 of thumb” nature it may lead to inaccurate results. For more reliable results, Lloyd and Ries (2007)  
81 highlights that the determination of significant contributors to uncertainty, selection of appropriate  
82 distributions and maintaining correlation between parameters are areas requiring better  
83 understanding.

84 In this study, a methodology (termed as HDS) for improving uncertainty estimate is presented  
85 and discussed. The method employs the same basics as the Monte Carlo analysis but has a key  
86 distinction, aiming at removing the drawback of the Monte Carlo analysis method by employing a  
87 stochastic pre-screening process to determine the influence of parameter contributions. The very  
88 reliable statistical method is then used to estimate probability distributions for the identified critical  
89 parameters. By applying the HDS method to a baseline 1.5 MW wind turbine and four Technology  
90 Improvement Opportunity variants (Cohen et al., 2008; Lantz et al., 2012), the uncertainty estimates  
91 of embodied energy and embodied carbon are examined. This methodology can be a very valuable  
92 tool for making informed decisions at the design stage in order to make savings on embodied energy  
93 and embodied carbon by taking into consideration the uncertainty estimates of these quantities. The  
94 overall aim of this study is to present an analysis of potential technological advancements for a 1.5  
95 MW wind turbine using a hybrid stochastic method to improve uncertainty estimates of embodied  
96 energy and embodied carbon. The organisation of the content of this paper is as follows: Section 2  
97 explains the fundamentals of the methodology. Section 3 contains a description of the case studies  
98 and their background theory. In Section 4 the results are analysed and discussed. Finally, in Section 5,  
99 conclusion and future work are presented.

## 100 **2.0 Methodology**

101 Statistical and Data quality indicator (DQI) methods are used to estimate data uncertainty in  
102 LCA with different limitations and advantages (Lloyd and Ries, 2007; Wang and Shen, 2013). The  
103 statistical method uses a goodness of fit test to fit data samples characterizing data range with  
104 probabilistic distributions if sufficient data samples are available (Wang and Shen, 2013). On the other  
105 hand, the DQI method estimates data uncertainty and reliability based on expert knowledge and  
106 descriptive metadata e.g. source of data, geographical correlation of data etc. It is used quantitatively  
107 (Lloyd and Ries, 2007) and qualitatively (Lloyd and Ries, 2007; Junnila and Horvath, 2003). Compared  
108 to the statistical method the DQI costs less, although it is less accurate than the statistical method  
109 (Wang and Shen, 2013; Tan et al., 2002). The statistical method is preferred when high accuracy is  
110 required, though its implementation cost is high (Wang and Shen, 2013; Sugiyama et al., 2005). The  
111 DQI method is generally applied when the accuracy of the uncertainty estimate is not paramount, or  
112 the size of the data sample is not sufficient enough for significant statistical analysis (Wang and Shen,  
113 2013).

114 Considering the trade-off between cost of implementation and accuracy, Wang and Shen  
115 (2013) presented an alternative stochastic solution using a hybrid DQI-statistical (HDS) approach to  
116 reduce the cost of the statistical method while improving the quality of the pure DQI method in whole-  
117 building embodied energy LCA. The study focused on the reliability of the HDS approach compared to  
118 the pure DQI without considering the effect of either approach on the decision making process. An  
119 application test case to the analysis of embodied energy and embodied carbon of potential 1.5 MW  
120 wind turbine technological advancements and the effect of these approaches on decision making is  
121 presented here to validate the presented solution. A description of the methodology is given below.

## 122 **2.1 Embodied Energy and Embodied Carbon Estimation**

123 This study considers embodied energy and embodied carbon as the primary environmental  
124 impacts to be investigated. Wang and Sun (2012) and Ortiz et al. (2009) express embodied carbon and  
125 embodied energy mathematically as follows:

$$126 \quad \textit{Embodied Carbon} = \sum_{i=1}^n Q_i \times EF_i \quad (1)$$

$$127 \quad \textit{Embodied Energy} = \sum_{i=1}^n Q_i \times EEC_i \quad (2)$$

128 Where

129  $Q_i$  = Quantity of material  $i$   
 130  $EEC_i$  = Embodied energy coefficient of material  $i$   
 131  $EF_i$  = Emission factor of material  $i$

132 Since the purpose of the different wind turbine designs is electricity production, the functional unit is  
 133 defined as ‘generation of 1 KWh of electricity’. The scope of the study for all the wind turbine design  
 134 options considered is from ‘cradle to gate’.

135 **2.2 Qualitative DQI method**

136 Qualitative DQI uses descriptive indicators, often arranged as a Data Quality Indicator (DQI)  
 137 matrix (Table 1), to characterize data quality. Rows in the matrix represent a quality scale, ranging  
 138 from 1 to 5 or 1 to 10. Columns represent data quality indicators such as age of the data, reliability of  
 139 the data source etc. General quality for a data is specified by an aggregated number that takes into  
 140 account all the indicators. For example if three indicators are assigned scores of (1, 3, 5) respectively  
 141 for a given parameter, and the indicators are equally weighted, the parameter’s aggregated DQI score  
 142 is  $P = 1 \times 1/3 + 3 \times 1/3 + 5 \times 1/3 = 3$ .

	Quality Scale				
Data Quality Indicators	1	2	3	4	5
Data representativeness	Representativeness unknown or incomplete data from insufficient sample of sites and/or for a shorter period	Data from a smaller number of sites for a shorter period, or incomplete data from an adequate number of sites and periods	Representative data from an adequate number of sites but for a shorter period	Representative data from a smaller number of sites but for an adequate period	Representative data from a sufficient sample of sites over an adequate period to even out normal fluctuations
Age	≥15 years old	<15 years old	<10 years old	<6 years old	<3 years old
Acquisition method	Non-qualified estimation	Qualified estimation by experts	Calculated data partly based on assumptions	Calculated data based on measurements	Directly measured data

Supplier independence	Unverified information from enterprise interested in the study	Unverified information from irrelevant enterprise	Independent source but based on unverified information	Verified data from enterprise with interest in the study	Verified data from independent source
Geographical correlation	Unknown area	Data from an area with slightly similar production conditions	Data from an area with similar production conditions	Average data	Data from the exact area
Technological correlation	Data from process related of company with different technology	Data from process related of company with similar technology	Data from process studied of company with different technology	Data from process studied of company with similar technology	Data from process studied of the exact company with the exact technology
Rule of inclusion/exclusion	Unknown	Non-transparent on exclusion but specification of inclusion	Transparent, not-justified, uneven application	Transparent, justified, uneven application	Transparent, justified, homogeneous application

143 Table 1: Data Quality Indicator (DQI) matrix based on NETL (2010), Weidema and Wesnæs (1996) and  
144 Junnila and Horvath (2003).

### 145 2.3 Quantitative DQI method

146 This method transforms aggregated DQI scores into probability distributions to enable  
147 quantification of uncertainty using predefined uncertainty parameters. Data of different quality are  
148 characterized by distinct probability distributions that are based on “rule of thumb”. Table 2 shows  
149 the DQI transformation matrix usually used to transform aggregated DQI scores into beta functions as  
150 shown in Equation (3):

$$151 \quad f(x; \alpha, \beta, a, b) = \left[ \frac{1}{b-a} \right] * \left\{ \frac{\Gamma(\alpha + \beta)}{[\Gamma(\alpha) * \Gamma(\beta)]} \right\} * \left[ \frac{x-a}{b-a} \right]^{\alpha-1} * \left[ \frac{b-x}{b-a} \right]^{\beta-1} \quad (3)$$

$$152 \quad (a \leq x \leq b)$$

153 Where  $\alpha$ ,  $\beta$  are shape parameters of the distribution and  $a$ ,  $b$  are designated range endpoints. The  
154 beta function is used due to the fact that “the range of end points and shape parameters allow  
155 practically any shape of probability distributions to be represented”.

Aggregated DQI scores	Beta distribution function	
	Shape parameters ( $\alpha, \beta$ )	Range endpoints (+/- %)
5.0	(5, 5)	10
4.5	(4, 4)	15
4.0	(3, 3)	20
3.5	(2, 2)	25
3.0	(1, 1)	30
2.5	(1, 1)	35
2.0	(1, 1)	40
1.5	(1, 1)	45
1.0	(1, 1)	50

156 Table 2: Transformation matrix based on (Canter et al., 2002 and Weidema and Wesnæs, 1996).

157

## 158 2.4 HDS approach

159 The HDS approach involves four steps: (i) Quantitative DQI with Monte Carlo simulation  
160 (MCS); (ii) Categorization of parameters; (iii) Detailed estimation of probability distributions for  
161 parameters; and (iv) Final MCS calculation. The parameter characterization identifies the critical  
162 parameters based on the influence and degree of uncertainty of the parameters. The final stochastic  
163 results are generated through a MCS calculation.

### 164 2.4.1 Quantitative DQI with MCS

165 This step begins with assessing data quality using the qualitative DQI approach. All parameters  
166 used for the deterministic calculations are assessed using the DQI matrix. After calculation of the  
167 aggregated DQI scores, probability distributions for the parameters are determined using the  
168 transformation matrix (Table 2), and used as inputs for the MCS to carry out an influence analysis.

### 169 2.4.2 Categorization of parameters

170 The degree of parameter uncertainty is obtained in the data quality assessment process.  
171 Parameters are consequently classified into groups of four with DQI scores belonging to the intervals  
172 of (1, 2), (2, 3), (3, 4) and (4, 5) respectively. The group containing parameters with DQI scores within  
173 the interval of (1, 2) and (2, 3) show the highest uncertainty, and the group with parameters scored  
174 within the interval of (3, 4) and (4, 5) represent the highest certainty. A parameter's influence on the  
175 final resulting uncertainty comes from a rank-order correlation analysis in MCS (Equations (4) and (5)).

$$176 \quad IA_{p,q} = r_{p,q}^2 \left[ \sum_p r_{p,q}^2 \right]^{-1} \times 100\% \quad (4)$$

177 Where  $IA_{p,q}$  is the influence of input parameter  $p$  to output  $q$ ;  $r_{p,q}$  is the rank-order correlation factor  
 178 between input  $p$  and the output  $q$ .  $r_{p,q}$  can be computed via:

$$179 \quad r_{p,q} = 1 - \left[ \frac{6}{(N^3 - N)} \right] \sum_{i=1}^N [\text{rank}(p_i) - \text{rank}(q_i)]^2 \quad (5)$$

180 Where  $\text{rank}(p_i)$  and  $\text{rank}(q_i)$  are the ranks of  $p_i$  and  $q_i$  among the  $N$  tuple data points.

### 181 **2.4.3 Detailed estimation of probability distributions for parameters**

182 The statistical method is applied to the process of probability distributions fitting for the  
 183 critical parameters identified. Kolmogorov-Smirnov goodness of fit test (K-S test) is used to fit data  
 184 samples due to its sensitivity to variations in distribution types in terms of shape and scale parameters,  
 185 and its intrinsic exactness compared to other goodness of fit tests e.g. Chi-square test and Anderson-  
 186 Darling (A-D) test. The statistic for the K-S test is defined as:

$$187 \quad D = \max_{1 \leq i \leq N} \left[ F(Y_i) - \frac{i-1}{N}, \frac{i}{N} - F(Y_i) \right] \quad (6)$$

188 Where  $F$  is the theoretical cumulative distribution of the distribution that is being tested, and  $N$  means  
 189  $N$  ordered data points  $Y_1, Y_2, \dots, Y_N$ .

190 For the non-critical parameters of lower uncertainty and influence, their probability distributions are  
 191 estimated using the transformation matrix and the DQI scores, making the HDS approach more  
 192 economical and efficient compared to the statistical method.

### 193 **2.4.4 Final MCS calculation**

194 The stochastic results are calculated by MCS algorithm, according to the input and output  
 195 relationships, using the intricately estimated probability distributions for the parameters' as the  
 196 inputs. Figure 1 shows the procedure for the HDS approach.

197

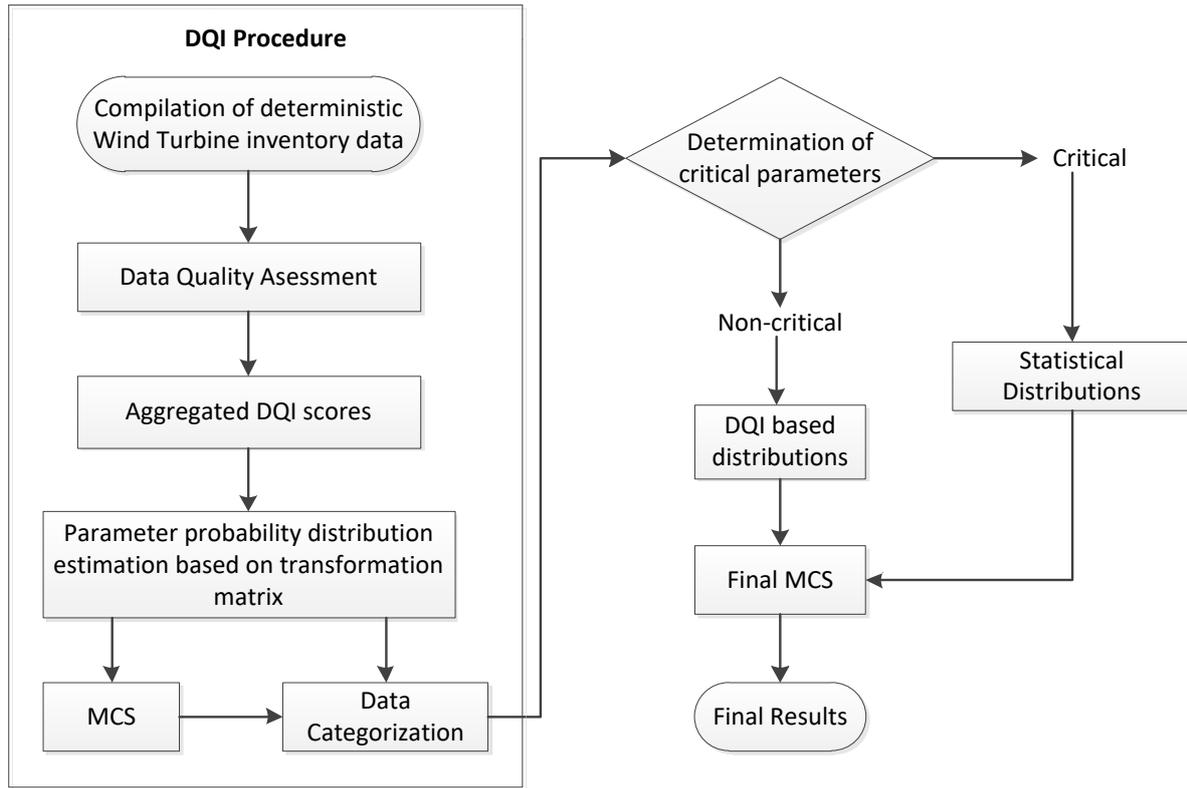


Figure 1: Procedure of HDS approach (Wang and Shen, 2013)

## 2.5 Validation

To validate the HDS approach, comparisons are made between the pure DQI, statistical and HDS methods. The measurements Mean Magnitude of Relative Error ( $MRE$ ) (Eq. (7)) and Coefficient of Variation ( $CV$ ) (Eq. (8)) are used to measure the differences in the results of the pure DQI and HDS.  $CV$  is an indicator that shows the degree of uncertainty and measures the spread of a probability distribution. A large  $CV$  value indicates a wide distribution spread. The data requirements are also used to compare the HDS with the statistical method, as large enough sample size needs to be satisfied during parameter distribution estimation. The least number of data points necessary for estimating parameter distributions in each method is calculated (Eq. (9)) and compared.

$$MRE = \frac{(M_{HDS} - M_{DQI})}{M_{HDS}} \times 100\% \quad (7)$$

Where  $M_{DQI}$  is the mean of the DQI results and  $M_{HDS}$  is the mean of the HDS results

$$CV = \frac{SD}{M} \quad (8)$$

Where  $M$  is the mean and  $SD$  is the standard deviation

$$N_M = N_{MD} \times N_P \quad (9)$$

214 Where  $N_M$  is the least number of data points required;  $N_{MD}$  is the least number of required data points  
215 for individual parameter distribution estimation;  $N_P$  is the number of parameters involved.

### 216 3.0 Case Studies

217 Projections of future technological designs as a result of research and scientific developments,  
218 based on National Renewable Energy Laboratory (NREL) 1.5 MW wind turbine technology forecasting  
219 studies (Cohen et al., 2008 and Lantz et al., 2012), provided the basis for modelling future inventory  
220 changes. Therefore, the assumptions regarding a reference from which progress is measured are the  
221 embodied energy and embodied carbon characteristics. A summary of the potential for technology  
222 advancements to increase the performance of a 1.5 MW wind turbine is presented in the following  
223 section.

#### 224 3.1 Baseline Turbine Characterization

225 To project advances in reliability and performance of wind turbine systems, a baseline 1.5 MW  
226 wind turbine technology must first be identified. This baseline technology will serve as a reference  
227 from which performance improvements are projected. The NREL's baseline turbine technology  
228 characteristics represent an upwind, variable-pitch, variable-speed, three-bladed turbine that uses a  
229 doubly fed generator rated at 1.5 MW. The height of the tower is 65 meters and the rotor diameter is  
230 70 meters. As such, an Enercon E-66 1.5 MW turbine was chosen as it shares similar technical  
231 characteristics to the NREL baseline turbine. A technical summary of the Enercon E-66 1.5MW turbine  
232 can be seen in Table 3 (Papadopoulos, 2010). The aggregated inventory data, presented in Table 4  
233 (Papadopoulos, 2010), was used for deterministic estimation of embodied energy and embodied  
234 carbon. Since the material quantities were taken from the same source, they have little or no  
235 variations. The deterministic result estimate (Table 4) is used as a point of reference for comparing  
236 outputs of the stochastic estimation.

MODEL:	ENERCON E-66
Rated capacity:	1.5 MW
Rotor diameter:	70 m
Hub height:	65 m
Swept area:	3421 m <sup>2</sup>
Converter concept:	gearless, variable speed, variable blade pitch
Rotor with pitch control	upwind rotor with active pitch control
Number of blades:	3
Rotor speed:	variable, 10 -22 rpm
Tip speed:	35 – 76 m/s

Pitch control:	three synchronized blade pitch systems with emergency supply
Generator:	direct-driven ENERCON synchronous ring generator
Grid feeding:	ENERCON inverter
Braking system:	3 independent pitch control systems with emergency supply

237

Table 3: E-66 technical characteristics (Papadopoulos, 2010)

Components	Materials	Mass (tons)	EF (ton CO <sub>2</sub> /ton )	EEC (GJ/ton )	Embodied Carbon (ton CO <sub>2</sub> )	Embodied Energy (GJ)
Blades, nacelle	Aluminium	0.2	1.98	155	0.4	31
Blades, nacelle	Fibre glass	7.5	8.1	100	60.8	750
Blades	Epoxy resin	4.5	5.91	139.3	26.6	625.5
Blades	Polyethene	0.7	1.94	83.1	1.4	58.2
Blades, grid connection, foundation	PVC	2.1	2.41	77.2	5.1	162
Blades, tower, generator, nacelle	Paint	5.4	3.56	68	19.2	367.2
Blades	Rubber	0.2	3.18	101.7	0.6	20.3
Blades, grid connection	Iron	1.5	1.91	25	2.9	37.5
Tower	Steel	144.2	2.75	24.4	396.6	3518.5
Tower, generator, nacelle, grid connection	Galvanized steel	6.7	2.82	39	19	261.3
Generator, nacelle, grid connection	Copper	15.4	3.83	50	59	770
Generator, grid connection	Steel sheet	19.2	2.51	31.5	48.2	604.8
Generator, nacelle, foundation	Steel (no alloy)	37.3	1.77	34.4	66	1283
Generator, grid connection	Steel (alloy, high grade)	0.6	2.78	56.7	1.7	34
Nacelle, grid connection	Steel (alloy, low grade)	10	2.68	48.4	26.8	484
Nacelle	Cast Steel	3.7	2.83	25.4	10.5	94
Nacelle	Cast iron	21	1.9	26	40.7	546
Nacelle	Unsaturated polyester resin	2.2	1.94	113	4.2	248.6
Nacelle, grid connection	Electronics	2.5	2.73	80.5	6.8	201.3

Grid connection, foundation	Steel (for construction)	27	0.68	36	18.4	972
Grid connection	Gear oil	0.9	3.62	55	3.3	49.5
Grid connection	Light weight concrete	12	0.13	0.77	1.6	9.24
Foundation	Normal concrete	575	0.2	1.39	115	799.3
	Sum	900.1			932	11910

238 Table 4: Deterministic estimation of embodied energy and embodied carbon for the Enercon E-66 1.5  
239 MW turbine based on the aggregated inventory data in Papadopoulos (2010)

### 240 3.2 Technology Improvement Opportunities (TIOs)

241 According to Cohen et al. (2008) and Lantz et al. (2012), identification of TIO's relied on  
242 judgements and technical insights of the senior research staff at the Sandia National Laboratories and  
243 National Wind Technology Centre at the NREL. The design of wind turbines is a matter of continuous  
244 compromise between the rival demands of greater energy productivity, lower cost, increased  
245 durability and lifetime, and maintenance cost. Realizing greater energy production may cost less or  
246 more. These are the designers' trade-offs captured in the model. Trade-offs between wind turbine  
247 components is dealt with in the estimation of the input parameters. The outcome of the details of the  
248 TIOs is summarized in Table 5.

Performance Improvement	Technology Pathway	Description
TIO 1	Advanced (Enlarged) Rotors	Stiffer carbon-fibre materials allowing for 25% rotor growth and 2% reduction in tower mass
TIO 2	Advanced Tower Concepts	New tower concepts using carbon-fibre materials and power production at 100 meters compared to 65 meters
TIO 3	Drivetrain Improvements	Permanent Magnet Generators that use permanent magnets instead of copper wound rotors
TIO 4	Fully Combined TIO's	A combination of all the potential technological advancements

249 Table 5: Potential contributions to wind turbine performance improvement

### 250 3.3 Mass Scaling Equations

251 To generate the material quantities for the different TIO's, information and scaling equations  
252 were taken from an NREL study (Fingersh et al., 2006). The report contained information about how

253 the various components could be scaled using semi-empirical formulas. The equations used in this  
 254 study are defined in Table 6 as well as an indication as to where they were employed.

Component	Equation	Description
Blade	<i>Baseline: Mass = <math>0.1452 \times R^{2.9158}</math> per blade</i> <i>Advanced: Mass = <math>0.4948 \times R^{2.53}</math> per blade</i>	Where R = rotor radius. The advanced blade mass relationship follows products developed by a wind turbine blade manufacturer which “represents combinations of technology enhancements that may not/may include carbon and takes advantage of a lower-weight root design”.
Tower	<i>Baseline: Mass = <math>0.3973 \times</math> swept area <math>\times</math> hub height – 1414</i> <i>Advanced: Mass = <math>0.2694 \times</math> swept area <math>\times</math> hub height + 1779</i>	The baseline case is based on conventional technology for 2002, while the advanced case represents advanced technologies including reduced blade solidity in conjunction with higher tip speeds, flap-twist coupling in the blade and tower feedback in the control system.
Generator	<i>Mass = <math>5.34 \times</math> machine rating<sup>0.9223</sup></i>	A generator mass calculation for the medium-speed permanent-magnet generator design was based on machine power rating in kW.

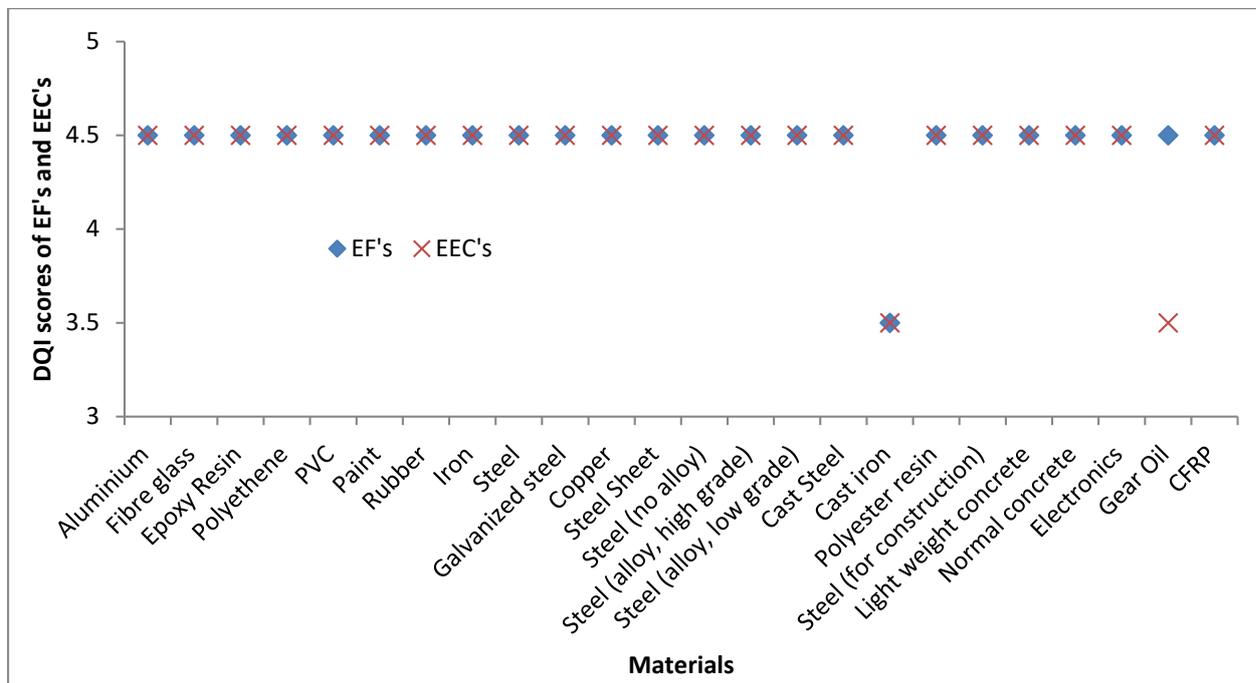
255 Table 6: Mass scaling equations for the different components

256 **4.0 Results and Analysis**

257 **4.1 Quantitative DQI transformation**

258 To appropriately transform the qualitative assessment results to the equivalent quantitative  
 259 probability density functions, Wang and Shen (2013) suggests that the aggregated DQI scores be  
 260 approximated to the nearest nominal value so as to use the transformation matrix. Figure 2 shows the  
 261 obtained aggregated DQI scores following the method described in section 2.1. The quantitative DQI  
 262 procedure was then used to transform the scores into Beta distributions, results of which are shown  
 263 in Table 7. Most of the data used in the study are of good quality and hence showed identical  
 264 transformed Beta function parameters ( $\alpha = 4, \beta = 4$ ), the same DQI score of 4.5 and range end points

265 of  $\pm 15\%$ . The exceptions were Cast iron EF, Cast iron EEC and Gear oil EEC showing DQI scores of 3.5,  
 266 transformed Beta function parameters of ( $\alpha = 2, \beta = 2$ ) and range end points of  $\pm 25\%$  making them  
 267 more uncertain.



268

269 Figure 2: Aggregated DQI scores for Emission Factors and Embodied Energy Coefficients

EF Parameters	Beta ( $\alpha, \beta$ )	Range endpoints	EEC Parameters	Beta ( $\alpha, \beta$ )	Range endpoints
Aluminium (EF)	(4, 4)	( $\pm 15\%$ ) = (1.7, 2.3)	Aluminium (EEC)	(4, 4)	( $\pm 15\%$ ) = (131.8, 178.3)
Fibre glass (EF)	(4, 4)	( $\pm 15\%$ ) = (6.9, 9.3)	Fibre glass (EEC)	(4, 4)	( $\pm 15\%$ ) = (85, 115)
Epoxy resin (EF)	(4, 4)	( $\pm 15\%$ ) = (5, 6.8)	Epoxy resin (EEC)	(4, 4)	( $\pm 15\%$ ) = (118, 160)
Polyethene (EF)	(4, 4)	( $\pm 15\%$ ) = (1.7, 2.2)	Polyethene (EEC)	(4, 4)	( $\pm 15\%$ ) = (70.6, 95.6)
PVC (EF)	(4, 4)	( $\pm 15\%$ ) = (2.1, 2.8)	PVC (EEC)	(4, 4)	( $\pm 15\%$ ) = (65.6, 88.8)
Paint (EF)	(4, 4)	( $\pm 15\%$ ) = (3, 4.1)	Paint (EEC)	(4, 4)	( $\pm 15\%$ ) = (57.8, 78.2)
Rubber (EF)	(4, 4)	( $\pm 15\%$ ) = (2.7, 3.7)	Rubber (EEC)	(4, 4)	( $\pm 15\%$ ) = (86.4, 117)
Iron (EF)	(4, 4)	( $\pm 15\%$ ) = (1.6, 2.2)	Iron (EEC)	(4, 4)	( $\pm 15\%$ ) = (21.3, 28.8)
Steel (EF)	(4, 4)	( $\pm 15\%$ ) = (2.3, 3.2)	Steel (EEC)	(4, 4)	( $\pm 15\%$ ) = (20.7, 28)

Galvanized steel (EF)	(4, 4)	(+/-15%) = (2.4, 3.2)	Galvanized steel (EEC)	(4, 4)	(+/-15%) = (33.2, 45)
Copper (EF)	(4, 4)	(+/-15%) = (3.3, 4.4)	Copper (EEC)	(4, 4)	(+/-15%) = (42.5, 57.5)
Steel sheet (EF)	(4, 4)	(+/-15%) = (2.1, 2.9)	Steel sheet (EEC)	(4, 4)	(+/-15%) = (27, 36.2)
Steel (no alloy) (EF)	(4, 4)	(+/-15%) = (1.5, 2)	Steel (no alloy) (EEC)	(4, 4)	(+/-15%) = (29.2, 39.6)
Steel (alloy, high grade) (EF)	(4, 4)	(+/-15%) = (2.4, 3.2)	Steel (alloy, high grade) (EEC)	(4, 4)	(+/-15%) = (48.2, 65.2)
Steel (alloy, low grade) (EF)	(4, 4)	(+/-15%) = (2.3, 3.1)	Steel (alloy, low grade) (EEC)	(4, 4)	(+/-15%) = (41, 55.7)
Cast Steel (EF)	(4, 4)	(+/-15%) = (2.4, 3.3)	Cast Steel (EEC)	(4, 4)	(+/-15%) = (21.6, 29.2)
Cast iron (EF)	(2, 2)	(+/-25%) = (1.4, 2.4)	Cast iron (EEC)	(2, 2)	(+/-25%) = (19.5, 32.5)
Unsaturated polyester resin (EF)	(4, 4)	(+/-15%) = (1.7, 2.2)	Unsaturated polyester resin (EEC)	(4, 4)	(+/-15%) = (96.1, 130)
Electronics (EF)	(4, 4)	(+/-15%) = (2.3, 3.1)	Electronics (EEC)	(4, 4)	(+/-15%) = (68.4, 92.6)
Steel (for construction) (EF)	(4, 4)	(+/-15%) = (0.6, 0.8)	Steel (for construction) (EEC)	(4, 4)	(+/-15%) = (30.6, 41.4)
Gear oil (EF)	(4, 4)	(+/-15%) = (3.1, 4.2)	Gear oil (EEC)	(2, 2)	(+/-25%) = (41.3, 69)
Light weight concrete (EF)	(4, 4)	(+/-15%) = (0.1, 0.2)	Light weight concrete (EEC)	(4, 4)	(+/-15%) = (0.7, 0.9)
Normal concrete (EF)	(4, 4)	(+/-15%) = (0.2, 0.2)	Normal concrete (EEC)	(4, 4)	(+/-15%) = (1.2, 1.6)

270 Table 7: Transformation of DQI scores to probability density functions

## 271 4.2 Parameter Categorization and Probability Distributions Estimation

272 Results of the influence analysis (10,000 iterations MCS) showing the two parameters  
273 contributing the most to the resulting uncertainty is presented in Table 8. Two parameters, Steel and  
274 CFRP, demonstrated the largest influence on the final resulting uncertainty of embodied energy and  
275 embodied carbon across all case studies. For the parameters with a lesser contribution to the final  
276 resulting uncertainty, there were variations across all case studies. Normal concrete and Carbon fibre  
277 reinforced plastic (CFRP) show the lesser contribution for embodied carbon (ranging from 0.6% to  
278 17%), while Steel (no alloy), CFRP and Cast iron show the lesser contribution for embodied energy  
279 (ranging from 0.5% to 9%) across all case studies. Combining these results, further analysis was

280 conducted on the two identified parameters for each test case using the statistical method, while the  
 281 values for the remaining parameters were obtained from the quantitative DQI. Probability  
 282 distributions were thus fitted to data points collected manually from literature. Results of the  
 283 estimated probability distributions for the different parameters are presented in Table 9.

	Embodied Carbon	Influence (%)	Embodied Energy	Influence (%)
Baseline	Steel EF	78	Steel EEC	62
Turbine	Normal concrete EF	9	Steel (no alloy) EEC	9
TIO 1	Steel EF	66	Steel EEC	47
	CFRP EF	17	CFRP EEC	22
TIO 2	CFRP EF	99	CFRP EEC	97
	Normal concrete EF	0.3	Steel (no alloy) EEC	0.7
TIO 3	Steel EF	81	Steel EEC	66
	Normal concrete EF	8	Cast iron EEC	9
TIO 4	CFRP EF	98	CFRP EEC	97
	Normal concrete EF	0.6	Steel (no alloy) EEC	0.5

284 Table 8: Influence Analysis

Parameter	Probability Distribution	Mean	Data points collected	Source
Steel EF	Beta (1.2, 4.5)	1.7 tonCO <sub>2</sub> /ton	30	Hammond and Jones, 2008; Fleck and Huot, 2009; Alcorn and Wood, 1998; Norgate et al., 2007; Rankine et al., 2006; Khan et al., 2005; Change, 2006; Hammond and Jones, 2011; Lee et al., 2011; Baird et al., 1997
Steel EEC	Beta (3, 4.2)	25.9 GJ/ton	31	
Normal concrete EF	Beta (20.8, 87.7)	0.1 tonCO <sub>2</sub> /ton	31	Hammond and Jones, 2008; Hammond and Jones, 2011; Alcorn and Wood, 1998; Norgate et al., 2007; Rankine et al., 2006
Steel (no alloy) EEC	Beta (48.6, 62.3)	25.6 GJ/ton	31	
CFRP EF	Beta (3.2, 2.2)	52.4	31	Hill et al., 2011; Kiriara et al., 2011; Pimenta and Pinho, 2011; Howarth et al., 2014; Douglas et al., 2008; Song et al., 2009; Rydh and Sun, 2005; Duflou et al., 2012
CFRP EEC	Beta (2.1, 6.2)	191.3 GJ/ton	31	
Cast iron EEC	Beta (36.6, 75.2)	35.4 GJ/ton	31	Fernando, 2010; Du et al., 2012; TERI, 2012; Hendrickson and

Horvath, 2014; Sharma et al., 2013; Baum et al., 2009; Sefeedpari et al., 2012; Lenzen and Dey, 2000; Lenzen and Treloar, 2002; Baird et al., 1997

Table 9: Probability distribution estimation for the different parameters

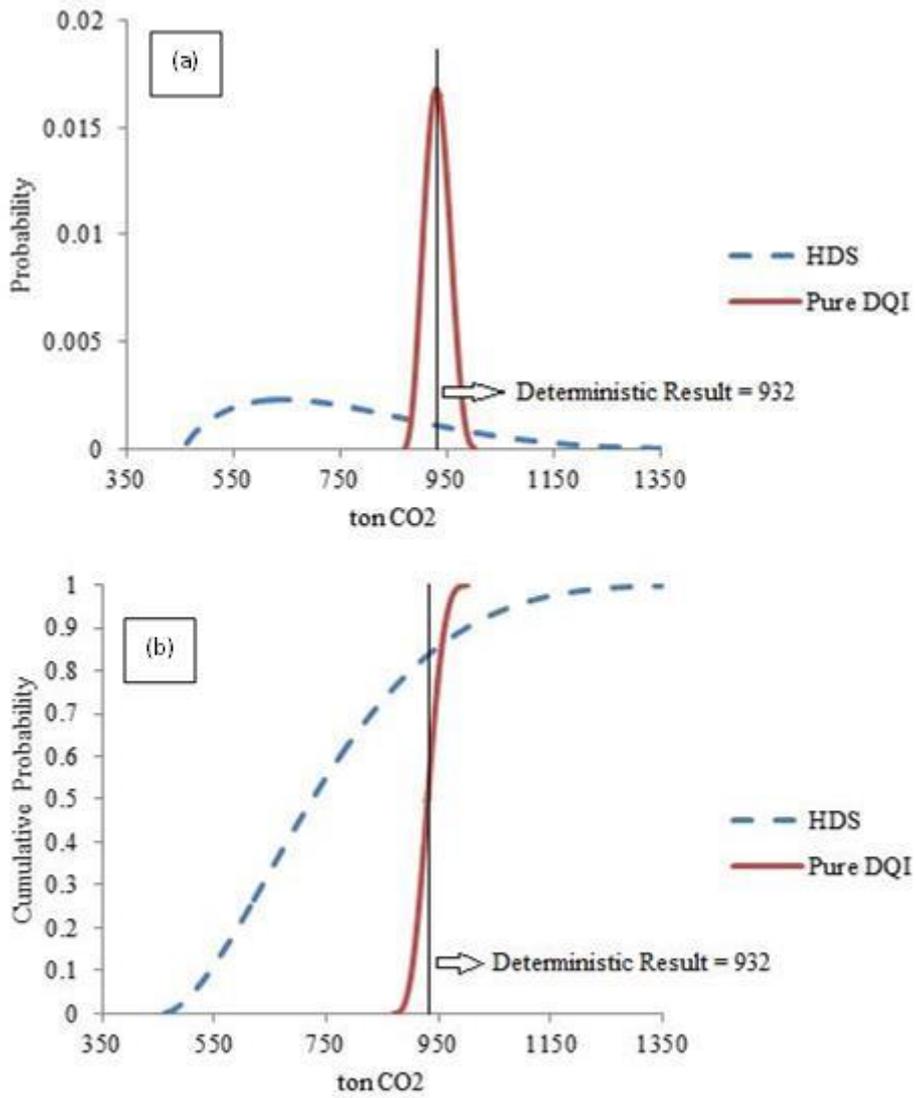
	Embodied Carbon		Embodied Energy	
	DQI	HDS	DQI	HDS
Baseline Turbine	Beta distribution (4.5, 5.3) $\mu = 932 \text{ tonCO}_2$ $\sigma = 22 \text{ tonCO}_2$ CV = 0.02	Beta distribution (1.8, 5.1) $\mu = 733 \text{ tonCO}_2$ $\sigma = 183 \text{ tonCO}_2$ CV = 0.3 MRE = 27%	Normal distribution $\mu = 11909 \text{ GJ}$ $\sigma = 218 \text{ GJ}$ CV = 0.02	Beta distribution (4.4, 4.7) $\mu = 11831 \text{ GJ}$ $\sigma = 1424 \text{ GJ}$ CV = 0.1 MRE = 1%
TIO 1	Normal distribution $\mu = 1070 \text{ tonCO}_2$ $\sigma = 24 \text{ tonCO}_2$ CV = 0.02	Beta distribution (2.3, 5.2) $\mu = 1269 \text{ tonCO}_2$ $\sigma = 188 \text{ tonCO}_2$ CV = 0.2 MRE = 16%	Normal distribution $\mu = 13735 \text{ GJ}$ $\sigma = 244 \text{ GJ}$ CV = 0.02	Beta distribution (3.8, 4.7) $\mu = 13276 \text{ GJ}$ $\sigma = 1469 \text{ GJ}$ CV = 0.1 MRE = 3.5%
TIO 2	Beta distribution (5, 5.3) $\mu = 2475 \text{ tonCO}_2$ $\sigma = 96 \text{ tonCO}_2$ CV = 0.04	Beta distribution (5.8, 4.1) $\mu = 5521 \text{ tonCO}_2$ $\sigma = 1654 \text{ tonCO}_2$ CV = 0.3 MRE = 55%	Beta distribution (4.1, 4.8) $\mu = 31822 \text{ GJ}$ $\sigma = 1166 \text{ GJ}$ CV = 0.04	Beta distribution (2.4, 4.7) $\mu = 24687 \text{ GJ}$ $\sigma = 7608 \text{ GJ}$ CV = 0.3 MRE = 29%
TIO 3	Beta distribution (5.3, 5.7) $\mu = 849 \text{ tonCO}_2$ $\sigma = 22 \text{ tonCO}_2$ CV = 0.03	Beta distribution (1.6, 4.6) $\mu = 647 \text{ tonCO}_2$ $\sigma = 185 \text{ tonCO}_2$ CV = 0.3	Normal distribution $\mu = 10722 \text{ GJ}$ $\sigma = 211 \text{ GJ}$ CV = 0.02	Beta distribution (3.8, 4.8) $\mu = 11249 \text{ GJ}$ $\sigma = 1474 \text{ GJ}$ CV = 0.1

TIO 4	Gamma	MRE = 31%	Beta distribution	MRE = 5%
	distribution (529, 4.8) $\mu = 2529 \text{ tonCO}_2$ $\sigma = 108 \text{ tonCO}_2$ CV = 0.04	Weibull distribution (4, 6621) $\mu = 5988 \text{ tonCO}_2$ $\sigma = 1746 \text{ tonCO}_2$ CV = 0.3 MRE = 58%	(4.7, 4.5) $\mu = 32503 \text{ GJ}$ $\sigma = 1304 \text{ GJ}$ CV = 0.04	Beta distribution (2.1, 4.6) $\mu = 24299 \text{ GJ}$ $\sigma = 8419 \text{ GJ}$ CV = 0.4 MRE = 33%

Table 10: Pure DQI and HDS results for the different case studies

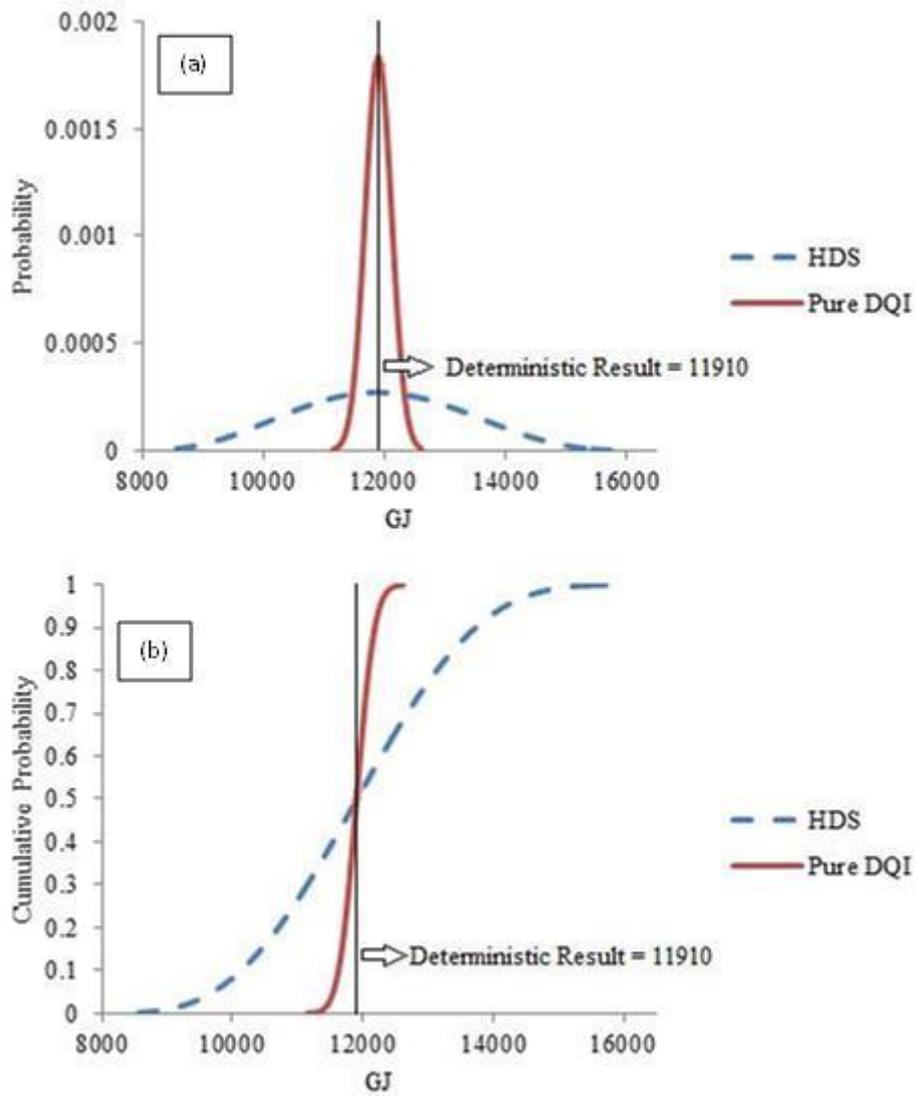
Probability distributions were fitted to the stochastic results according to K-S test. From the PDF's (Figures 3a – 12a), it can be seen that the mean value and standard deviation for the pure DQI and HDS results show rather different dispersion across all the case studies. The CV values of the HDS results are on average about 6 times larger than the CV values of the pure DQI results. In terms of MRE, the difference observed between the HDS and pure DQI results indicate that the HDS method captures more possible outcomes compared to the pure DQI. The differences between the deterministic, pure DQI and HDS results can be inferred from the CDF's (Figures 3b – 12b). Figure 3b for example shows that for the HDS result, about 85% of the likely resulting values are smaller than the deterministic result obtained while for the DQI result, 50% of the possible results are smaller than the deterministic result. Figure 5b also shows that for the HDS result about 15% of the likely results are smaller than the deterministic result while for the DQI result, half of the possible resulting values are lesser than the deterministic result. A comprehensive analysis of the implications of these results is presented in the discussion section.

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319 Figure 3 (a) Baseline Turbine Embodied Carbon PDF results; (b) Baseline Turbine Embodied Carbon  
320 CDF results



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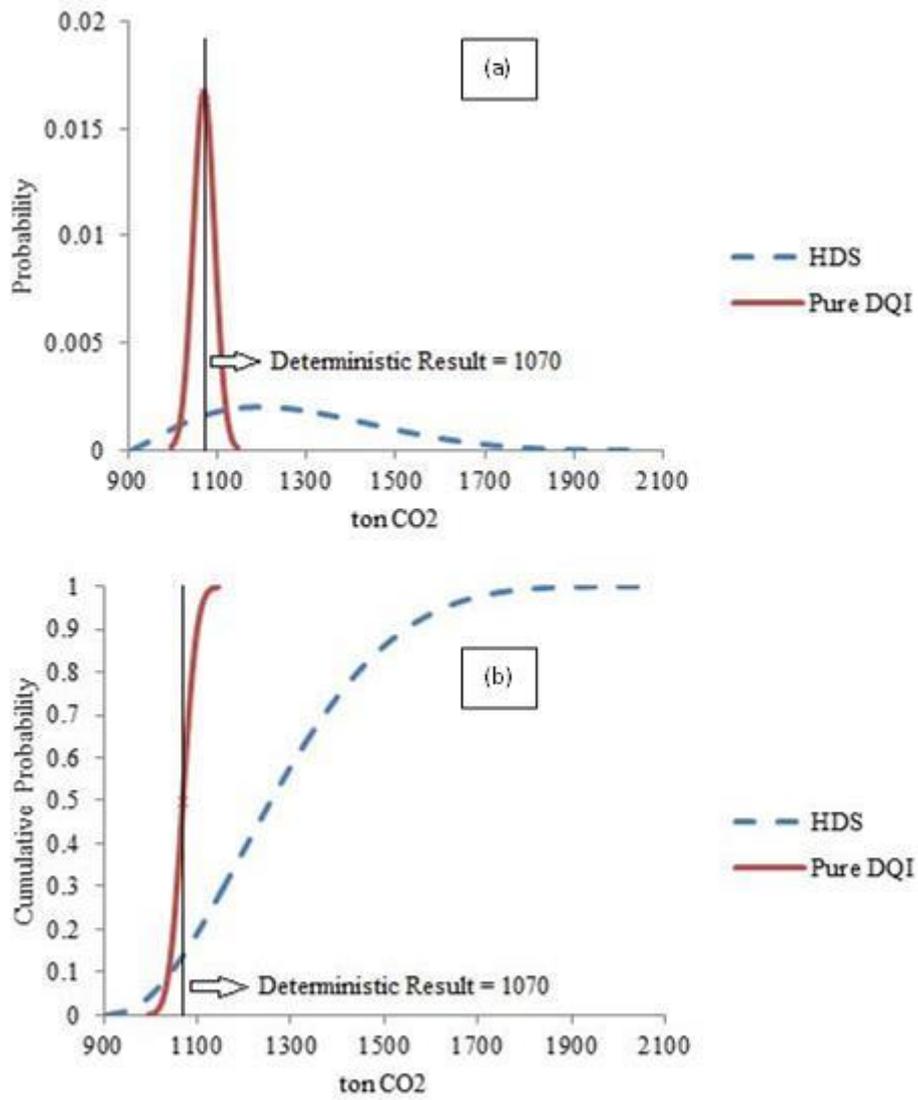
322 Figure 4 (a) Baseline Turbine Embodied Energy PDF results; (b) Baseline Turbine Embodied Energy CDF  
 323 results

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329 Figure 5 (a) TIO 1 Embodied Carbon PDF results; (b) TIO 1 Embodied Carbon CDF results

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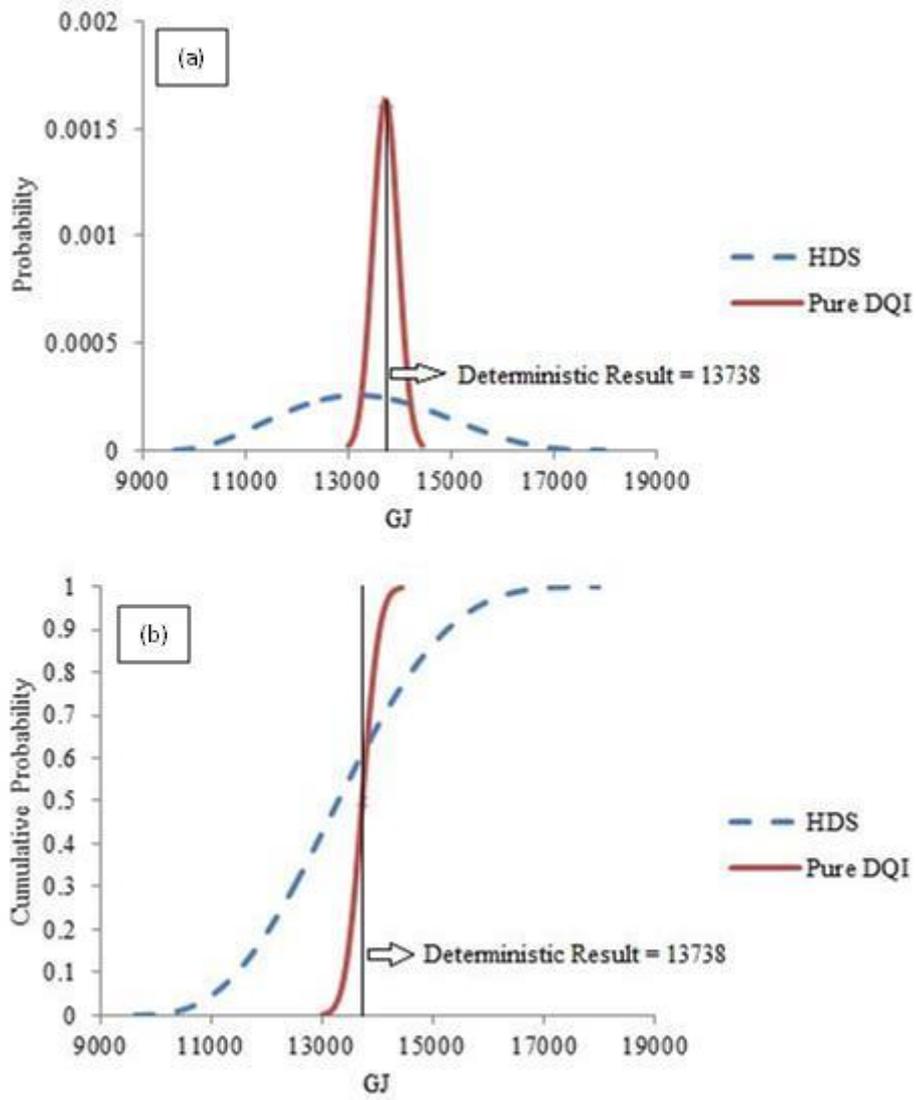
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337 Figure 6 (a) TIO 1 Embodied Energy PDF results; (b) TIO 1 Embodied Energy CDF results

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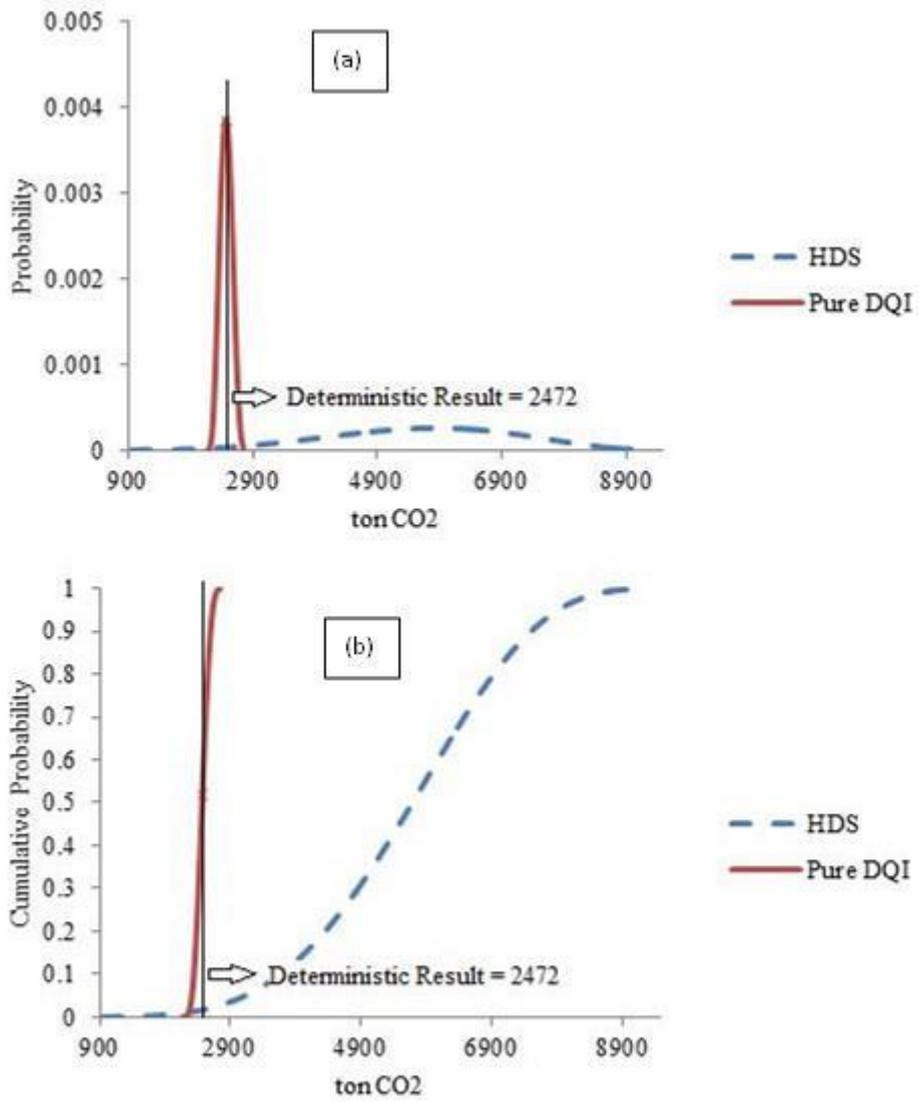
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346 Figure 7 (a) TIO 2 Embodied Carbon PDF results; (b) TIO 2 Embodied Carbon CDF results

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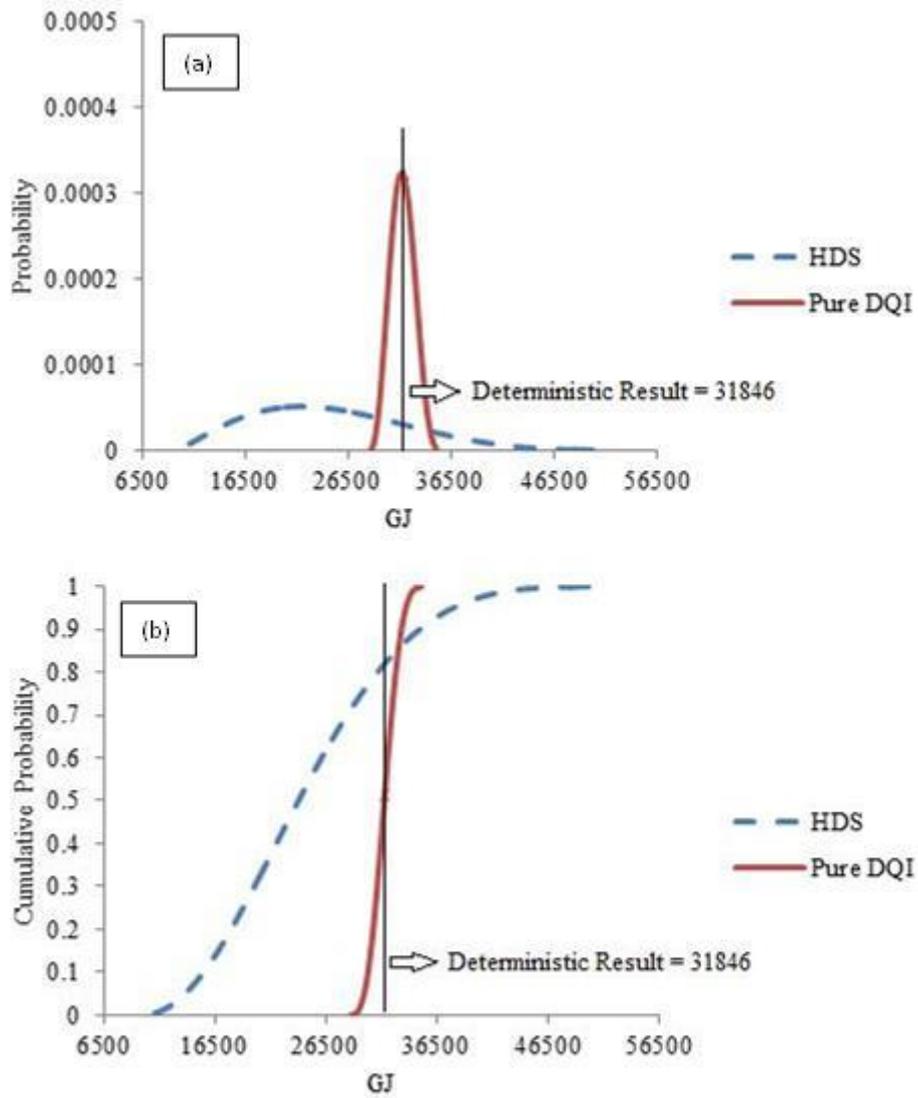
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Figure 8 (a) TIO 2 Embodied Energy PDF results; (b) TIO 2 Embodied Energy CDF results

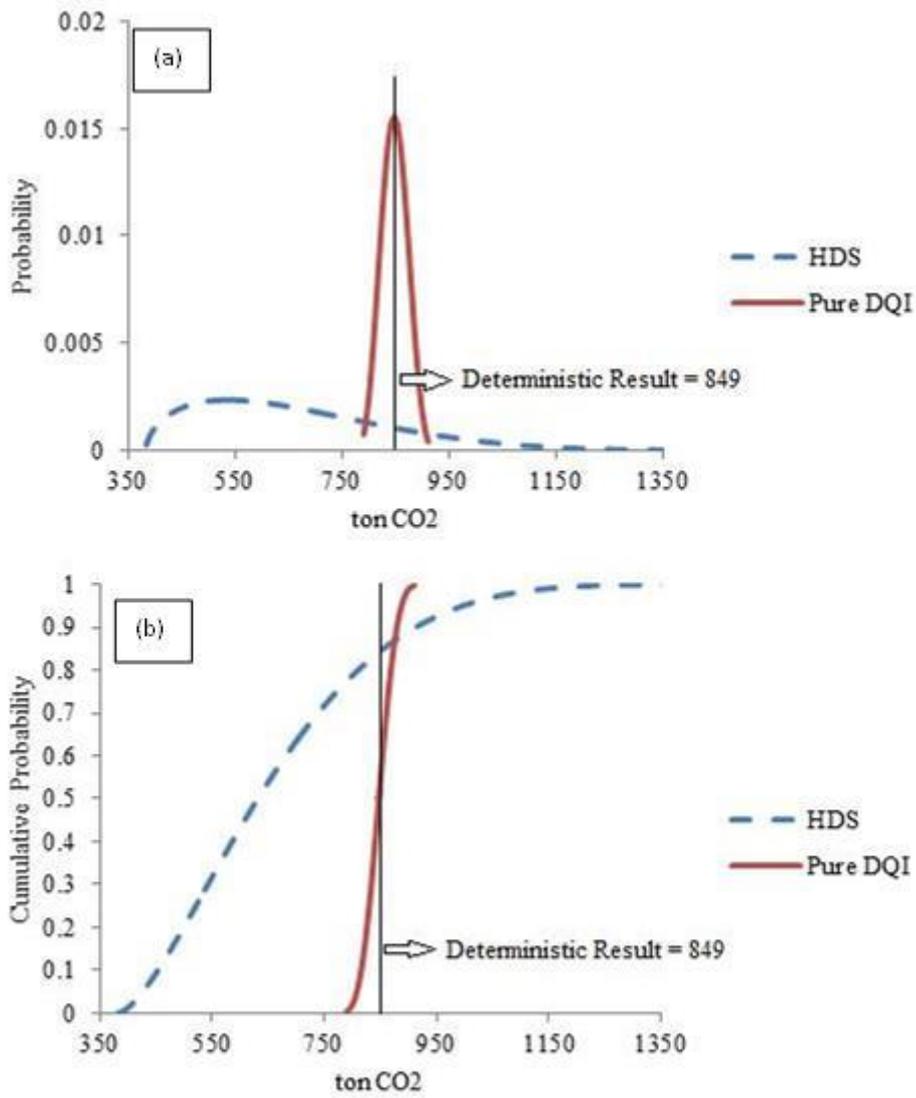
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Figure 9 (a) TIO 3 Embodied Carbon PDF results; (b) TIO 3 Embodied Carbon CDF results

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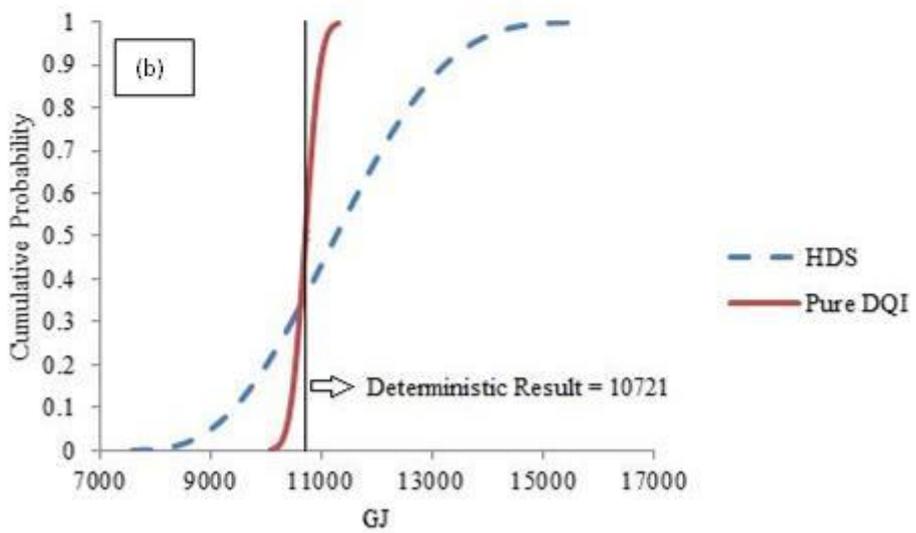
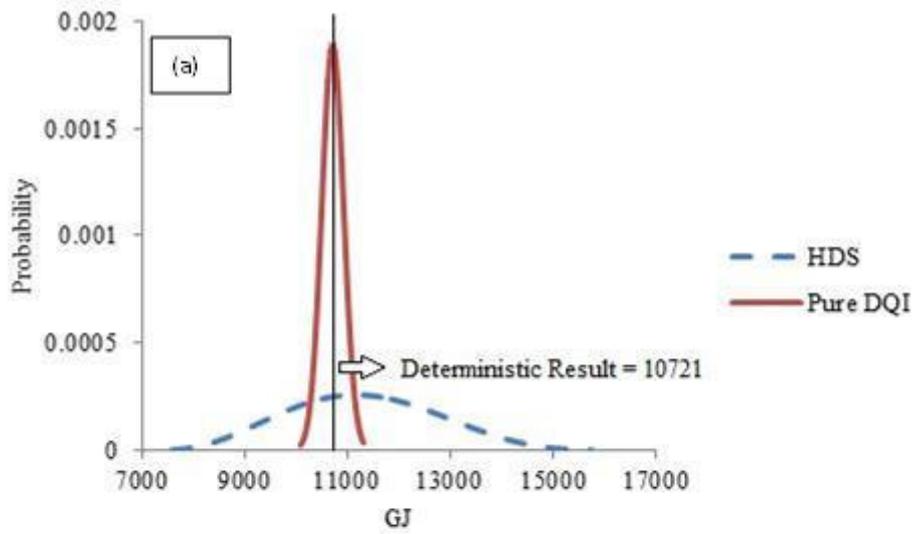
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Figure 10 (a) TIO 3 Embodied Energy PDF results; (b) TIO 3 Embodied Energy CDF results

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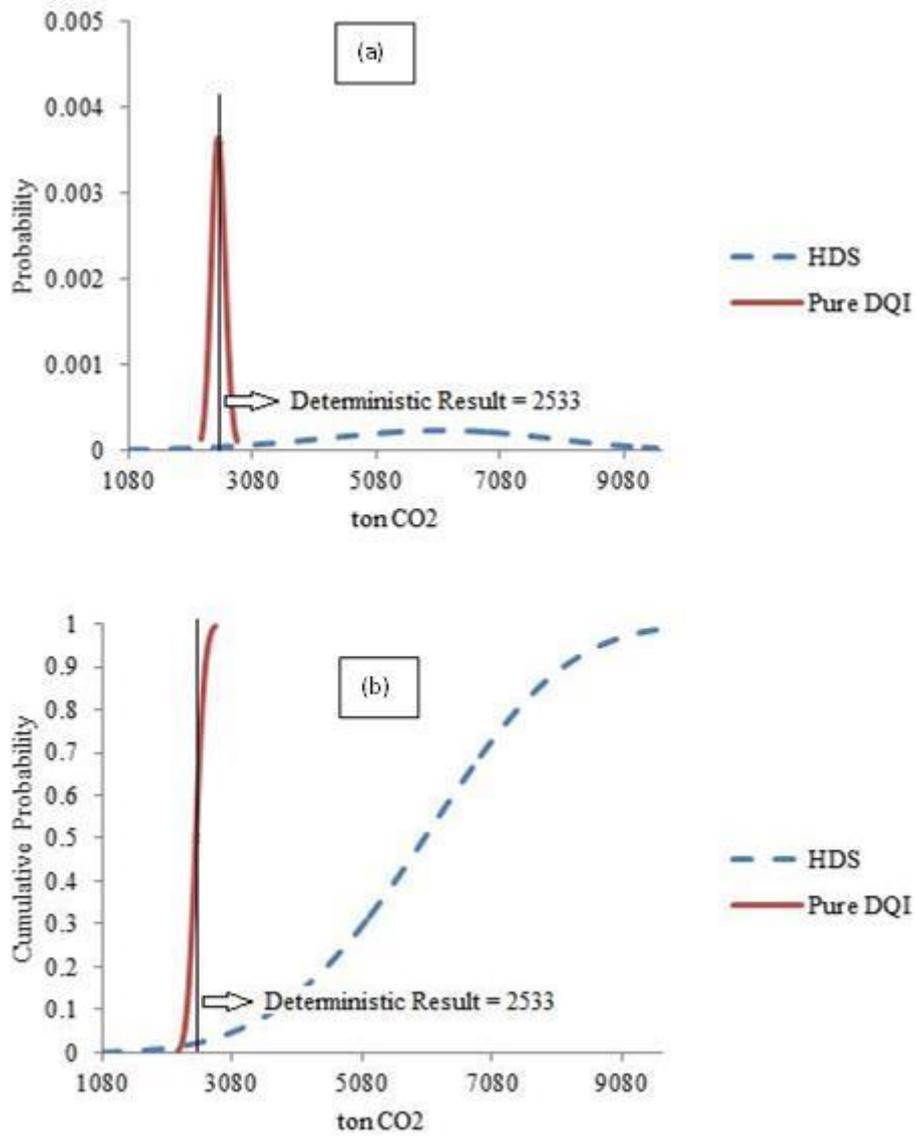
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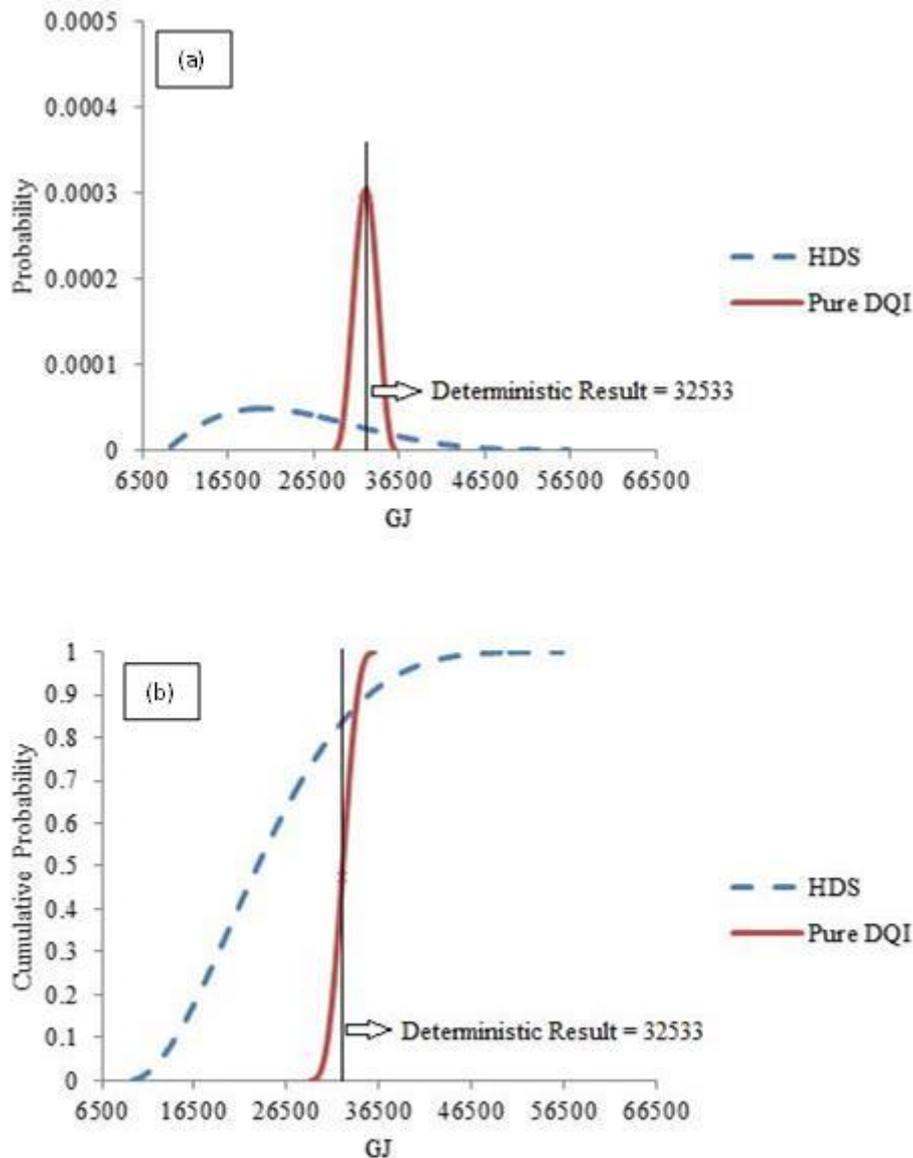
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Figure 11 (a) TIO 4 Embodied Carbon PDF results; (b) TIO 4 Embodied Carbon CDF results

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382 Figure 12 (a) TIO 4 Embodied Energy PDF results; (b) TIO 4 Embodied Energy CDF results

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384 **4.4 Comparison of Statistical and HDS Methods in terms of Data Requirements**

385 It can be seen that from the procedure of the HDS approach which categorizes critical  
 386 parameters and uses the statistical method to estimate their probability distributions, the reliability  
 387 of the HDS results are not greatly jeopardized. According to Wang and Shen (2013), the statistical  
 388 method requires at least 30 data points to estimate one parameter distribution. Hence in this study,  
 389 46 parameter distributions are required to be estimated for each case study with the exception of TIO  
 390 1 which has 48 parameter distributions for estimation. If the statistical method was implemented, at  
 391 least 1380 (see Equation 9) data points would have been required for the estimation for each case  
 392 study. That would mean 6900 data points across all the case studies. This would have been very time

393 consuming even if all the data points were available. The HDS requires only 120 data points for each  
394 case study (600 data points across all the case studies) thus reducing the data requirements by  
395 approximately 91%. This avoids the issue associated with lack of data, and saves cost and time without  
396 seriously compromising the reliability of the HDS results as the critical parameters identified explain  
397 the majority (at least 69%) of the overall uncertainty across all the case studies.

#### 398 **4.5 Discussion**

399 This study uses the HDS approach to provide insight into potential technological  
400 advancements for a 1.5 MW wind turbine and makes evident how variability of input parameters  
401 results in differing embodied energy and embodied carbon results. Analysing the parameter  
402 categorization revealed that EF's and EEC's for Steel, Normal concrete, Steel (no alloy), CFRP and Cast  
403 iron accounted for the majority of output uncertainty in embodied energy and embodied carbon  
404 results. Steel is the main material component of the baseline wind turbine, followed by normal  
405 concrete. The large contribution of steel is probably attributed to the wide EF and EEC distributions  
406 assigned to steel in the probability distribution estimations. Therefore any uncertainty in steel EF's  
407 and EEC's is magnified by the sheer mass of steel. Interestingly although the mass of concrete (575  
408 tons) is greater than the mass of steel (144 tons), steel EF's and EEC's contribute more to the overall  
409 uncertainty of embodied energy and embodied carbon. For example, the EF's of steel ranges from  
410 0.01 – 5.93 tonCO<sub>2</sub>/ton steel, whereas values for concrete range from 0.02 – 0.28 tonCO<sub>2</sub>/ton.  
411 Likewise, the EEC's for steel range from 8.6 – 51 GJ/ton steel, whereas values for steel (no alloy) range  
412 from 8.3 – 50.7 GJ/ton. Concrete generally is much less emission intensive than steel for CO<sub>2</sub> and  
413 hence, is a lesser contributor to the sensitivity of embodied carbon. It can also be observed that while  
414 normal concrete EF and steel (no alloy) EEC contribute 9% each, steel EF and steel EEC contribute 78%  
415 and 62% respectively to the resulting uncertainty. This highlights the influence of the wider  
416 distribution range of steel (no alloy) EEC compared to normal concrete EF. Due to the wide distribution  
417 ranges and mass of steel, variations in steel EF's and EEC's have significantly more impact on the  
418 embodied energy and embodied carbon uncertainty even though there is normally more concrete  
419 than steel.

420 For TIO 1, normal concrete and steel are also major material components of the turbine with  
421 575 tons and 141 tons respectively. However CFRP contributes considerably to the resulting  
422 uncertainty, second only to steel, while having a mass of 8.6 tons (1% of the turbine mass). This can  
423 be attributed to CFRP being very emission and energy intensive. The EF's for CFRP range from 11.2 –  
424 86.3 tonCO<sub>2</sub>/ton CFRP, compared to the steel EF range of 0.01 – 5.93 tonCO<sub>2</sub>/ton steel. Similarly, the  
425 EEC's for CFRP range from 55 – 594 GJ/ton CFRP compared to the steel EEC range of 8.6 – 51 GJ/ton

426 steel. Hence due to the wide distribution ranges in CFRP EF and EEC input factors, despite its minor  
427 mass contribution, CFRP has a considerable impact on the uncertainty of the embodied energy and  
428 embodied carbon. For TIO 2, the major material components are normal concrete and CFRP with 575  
429 tons and 88.5 tons respectively. Despite being second in mass to steel, CFRP contributes 99% and 97%  
430 of the resulting uncertainty for embodied carbon and embodied energy respectively. This is attributed  
431 to its high emission intensity, energy intensity and wide distribution ranges. As a result, CFRP  
432 significantly impacts the uncertainty of the embodied energy and embodied carbon.

433 Normal concrete and steel are the major material components in TIO 3 with 575 and 144 tons  
434 respectively. The contribution of steel to the final resulting uncertainty is again attributed to the range  
435 of values of EF's and EEC's. Cast iron has a mass of 21 tons and EEC values ranging between 11.7 –  
436 94.5 GJ/ton which could explain the lesser contribution of steel EEC to the resulting uncertainty for  
437 the embodied energy (66%) compared to the steel EF contribution for embodied carbon (81%). For  
438 TIO 4, the major material components are normal concrete with 575 tons and CFRP with 97 tons. CFRP  
439 contributes 98% and 97% of the resulting uncertainty for embodied carbon and embodied energy  
440 respectively. Again the sheer tonnage of CFRP combined with its high emission and energy intensity,  
441 and wide distribution ranges results in its significant contribution to the resulting uncertainty of the  
442 embodied energy and embodied carbon.

443 The intention of quantifying uncertainty with the HDS approach in this study is to provide  
444 more information for the decision making process. From the above case studies, it is assumed that the  
445 deterministic result is used for design scheme selection aiming to find an embodied carbon and  
446 embodied energy saving design. The design for the baseline turbine is already accepted since it is  
447 commercially available. If the design was rejected, in terms of embodied carbon, there would have  
448 been an about 85% probability (Fig. 3b) Enercon may have lost the chance to reduce carbon emissions  
449 with the design. Thus, it is a good design in terms of embodied carbon savings. In terms of embodied  
450 energy if the design was rejected, there would have been a 50% probability (Fig. 4b) Enercon may have  
451 lost the chance to reduce the primary energy consumed during manufacture. The TIO's proposed in  
452 this study are design concepts. Hence if the design for TIO 1 is accepted by a manufacturer, in terms  
453 of embodied carbon, there will be an about 85% probability (Fig. 5b) that the manufacturer may lose  
454 the chance to reduce carbon emissions with this design. Hence, it is not a good design in terms of  
455 embodied carbon savings. In terms of embodied energy, if the design is accepted, there will be a 40%  
456 (Fig. 6b) probability that the manufacturer may lose the chance to reduce the primary energy  
457 consumed. This design thus performs better in terms of embodied energy savings.

458           If the design for TIO 2 is accepted, results show that for embodied carbon, there is almost a  
459 99% probability (Fig. 7b) the manufacturer may lose the chance to reduce carbon emissions hence  
460 making it a bad design. For embodied energy, results show that if this design is accepted, there is  
461 about a 20% probability (Fig. 8b) the manufacturer may lose the chance to reduce the primary energy  
462 consumed making it a good design in terms of embodied energy savings. The huge difference in the  
463 results, despite CFRP's contribution of 99% and 97% to the resulting uncertainty for embodied carbon  
464 and embodied energy, can be attributed to the differences in distribution ranges of steel (no alloy)  
465 and normal concrete EEC and EF input factors. EEC values of steel (no alloy) range from 8 – 51 GJ/ton  
466 compared to EF values of concrete that range from 0.02 – 0.28 tonCO<sub>2</sub>/ton. This highlights how  
467 variations in EF and EEC values significantly affect results of embodied carbon and embodied energy  
468 LCA.

469           Results show that for embodied carbon if the design for TIO 3 is accepted, there will be a 15%  
470 probability (Fig. 9b) that the manufacturer may lose the chance to reduce carbon emissions with this  
471 design. It is therefore a good design in terms of embodied carbon savings. For embodied energy,  
472 results show that if this design is accepted, there is about a 65% probability (Fig. 10b) the manufacturer  
473 may lose the chance to reduce the primary energy consumed. This design therefore performs better  
474 in terms of embodied carbon savings. If the design for TIO 4 is accepted, in terms of embodied carbon,  
475 there would be about a 99% probability (Fig. 11b) that the manufacturer may lose the chance to  
476 reduce carbon emissions making it a bad design. For embodied energy, results show that if this design  
477 is accepted, the probability that the manufacturer may lose the chance to reduce the primary energy  
478 consumed is about 15% (Fig. 12b) making it a good design in terms of embodied energy savings. The  
479 difference in the results, despite CFRP's contribution of 98% and 97% to the resulting uncertainty for  
480 embodied carbon and embodied energy, could again be attributed to reasons described in TIO 2.

481           A direct comparison of this study with the few wind turbine LCA studies employing stochastic  
482 modelling to propagate uncertainty is difficult due to different assumptions which include scope of  
483 study, turbine capacities, background data and use of the pure DQI approach. For these reasons the  
484 wind turbine environmental impacts reported in the different studies vary. As there are no other wind  
485 turbine studies employing the HDS methodology, the closest study available in literature for  
486 comparison is Khan et al. (2005) for which the life cycle Global Warming Potential (95<sup>th</sup> percentile) of  
487 the wind turbine is 16.86 g CO<sub>2</sub> eq./kWh. From the results of the different case studies, more  
488 information was gained for decision making using the HDS approach compared to the DQI. The  
489 confidence level which is the important factor for decision making was observed and it can be seen  
490 that the DQI approach gave more conservative results, consistent with conclusions in Venkatesh et al.

491 (2010), Tan et al. (2002) and Lloyd and Ries (2007), which could lead to unreliable decisions. For  
492 example, the results for all the case studies showed the pure DQI approach giving a 50% probability  
493 making any decisions made using the pure DQI quite unreliable. Thus the HDS approach is a useful  
494 alternative for the evaluation of deterministic wind turbine embodied energy and embodied carbon  
495 LCA results when knowledge of the data uncertainties is required. The baseline wind turbine therefore  
496 performs best in terms of an embodied energy and embodied carbon saving scheme.

## 497 **5.0 Conclusions**

498 In this paper the competence of the HDS method in estimating data uncertainty in  
499 deterministic embodied carbon and embodied energy LCA results and its application to decision  
500 making is examined through case studies. In order to evaluate the reliability of the HDS method, first,  
501 embodied carbon and embodied energy results were estimated deterministically. Then for each case  
502 study, using DQI and HDS methods, the effect on uncertainty estimates for embodied energy and  
503 embodied carbon are investigated. In performing the uncertainty analysis, the reliability measures  
504 MRE and CV are considered. Using the results obtained the following conclusions are drawn.

505 Firstly, with respect to the use of both methods, the HDS approach demonstrated its  
506 effectiveness in evaluating deterministic 1.5 MW wind turbine embodied carbon and embodied  
507 energy results. MRE and CV results show the HDS far outperforms the DQI. In other words, a strong  
508 argument could be made to advocate for the use of the HDS over DQI when accuracy of the  
509 uncertainty estimate is paramount. Secondly, for the class of the problem at hand, similar conclusions  
510 can be drawn in terms of embodied energy and embodied carbon for all case studies. Uncertainty in  
511 the results largely depends on distribution ranges of the input parameters. This is magnified by the  
512 mass of the materials which result in the overall contributions to the uncertainty. Hence, it is shown  
513 that a strong relationship exists between material mass and input parameter distribution ranges.  
514 Thirdly, when comparing the different turbine designs based on the studied cases, the results were  
515 quite clear. With the performance improvements incorporated using the TIO's, the baseline turbine  
516 had the best embodied carbon and embodied energy performance. Therefore, when all the criteria  
517 are considered, the potential investor must decide whether the environmental benefits for a  
518 particular design are worth the investment.

519 It is important to note that the NREL baseline turbine design represents a composite of wind  
520 turbine technology available in 2002. Clearly, technology has changed since 2002 and these changes  
521 are not incorporated into the current analysis. Future studies may conduct uncertainty analysis using  
522 the HDS approach to analyse these technological changes in the development of newer wind turbines

523 and other renewable technologies. This would be another excellent application for the HDS  
524 methodology.

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680 **APPENDIX**

BOM				
	Material	Mass	Unit	Total
3 Blades	Aluminium	99	kg	
	Fibre Glass	6564	kg	
	Epoxy resin	4548	kg	
	Hardener	1575	kg	
	Polyamide	228	kg	
	Polyethene	684	kg	16152
	PVC foam	837	kg	
	PVC	393	kg	
	Paint	552	kg	
	Rubber	165	kg	
	Others (iron)	507	kg	
Tower	Steel	144182	kg	
	Galvanised steel	4695	kg	153094
	Paint	4217	kg	
	Copper	8988	kg	
	Steel sheet	17927	kg	

Generator	Steel (no alloy)	13258	kg	40690
	Steel (galvanised, low grade)	105	kg	
	Steel (alloy, high grade)	14	kg	
	Paint	150	kg	
	Others	248	kg	
Rest of nacelle	Steel (no alloy)	10780	kg	51591
	Steel (alloy, low grade)	9101	kg	
	Steel (galvanised, low grade)	1224	kg	
	Cast steel	3708	kg	
	Cast iron	21027	kg	
	Aluminium	127	kg	
	Copper	293	kg	
	Fibre glass	924	kg	
	Unsaturated polyester resin	2159	kg	
	Electronics	120	kg	
	Paint	504	kg	
Others	1624	kg		
Grid Connection	Steel sheet	1300	kg	27734
	Steel (alloy, low grade)	927	kg	
	Steel (alloy, high grade)	630	kg	
	Steel (galvanised)	715	kg	
	Steel (for construction)	741	kg	
	Iron	1042	kg	
	Copper	6119	kg	
	PVC	747	kg	
	Gear oil	940	kg	
	Rest of electrics	1065	kg	
	Electronics	1283	kg	
Light weight concrete	12000	kg		
Others	225	kg		
Deep foundations	Normal concrete	575000	kg	614709
	Steel (construction)	26300	kg	
	Steel (no alloy)	13243	kg	
	PVC	166	kg	

681 Table 10: Material inputs to the Enercon E-66 wind turbine (Papadopoulos, 2010)

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