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Identification of Potential Biomechanical Risk Factors for Low Back Disorders during Repetitive Rebar Lifting

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4 ABSTRACT

Purpose – Work-related low back disorders (LBDs) are prevalent among rebar workers although
their causes remain uncertain. This study examines the self-reported discomfort and spinal
biomechanics (muscle activity and spinal kinematics) experienced by rebar workers.

Design/methodology/approach – Twenty healthy male participants performed simulated repetitive
rebar lifting tasks with three different lifting weights, using either a stoop (*n* =10) or a squat (*n* =10)
lifting posture, until subjective fatigue was reached. During these tasks, trunk muscle activity and
spinal kinematics were recorded using surface electromyography and motion sensors respectively.

Findings – A mixed-model, repeated measures analysis of variance revealed that an increase in lifting weight significantly increased lower back muscle activity at the L3 level but decreased fatigue and time to fatigue (endurance time) (p < 0.05). Lifting postures had no significant effect on spinal biomechanics (p < 0.05). Test results revealed that lifting different weights causes disproportional loading upon muscles, which shortens the time to reach working endurance and increases the risk of developing LBDs among rebar workers.

18 Research limitations/implications – Future research is required to: broaden the research scope to 19 include other trades; investigate the effects of using assistive lifting devices to reduce manual 20 handling risks posed; and develop automated human-condition based solutions to monitor trunk 21 muscle activity and spinal kinematics.

Originality/value – This research fulfils an identified need to study laboratory-based simulated task
 conducted to investigate the risk of developing LBDs among rebar workers primarily caused by
 repetitive rebar lifting.

- 26 **Keywords:** Lifting weight, low back disorder, rebar worker, spinal biomechanics, squat lifting and
- 27 stoop lifting.
- 28
- 29 Article Type: Research paper

30 INTRODUCTION

Work-related low back disorders (LBDs) involve excruciating pain and discomfort or malfunction 31 32 of spinal muscles, nerves, bones, discs and/or tendons in the lower back region (McGill, 2015). Epidemiological studies provide causal evidence for associations between LBDs and workplace risk 33 factors including heavy physical load, lifting and forceful movements, bending and twisting 34 35 (awkward postures) and whole-body vibration (Bernard, 1997). Within the construction industry, 36 LBDs are a prevalent health problem which account for over 37% of all absenteeism, 21.3% of claim costs and 25.5% of disability days among workers (Schneider, 2001; Courtney et al., 2002; 37 Hoogendroom et al., 2002; Holmstrom and Engholm, 2003). The prevailing level of risk is not 38 39 homogeneous throughout all trade disciplines and rebar workers are particularly susceptible to LBDs (Albers and Hudock, 2007). Indeed, Forde et al., (2005) report that LBD is the most common 40 work-related musculoskeletal disorder affecting rebar workers while Hunting et al., (1999) found 41 that the level of LBDs experienced by rebar workers (11.8%) was higher than other construction 42 workers (8.1%). 43

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45 Biomechanics provides a pragmatic and applied approach to evaluating the association between work place risk factors and LBDs during repetitive rebar lifting tasks (c.f. de Looze et al., 1994a; van 46 47 Dieen and Kingma, 1999). It is well known that an increase in height when lifting from the ground, fast lifting pace, and an increase in weight lifted will increase spinal loadings and elevate the risk of 48 developing LBDs (Granata and Marras, 1999; Davis et al., 2010; Plamondon et al., 2012; Yoon et 49 al., 2012). As such, it is not surprising to use these risk factors as inputs (usually height or pace) in 50 designing lifting guidelines, especially for a repetitive rebar lifting tasks. In addition, these 51 52 aforementioned studies predict the associations between risk factors and LBDs, the approach adopted required complex data analytics augmented by video footage (to record joint motions) and 53 electromyography (EMG) muscle activity. Such works are impractical in the workplace. In 54

particular, reducing the incidence of LBDs among rebar workers requires endeavors to assess whether different weights of lift represent a LBD risk factor in the workplace.

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Ergonomic safety convention states that a squat lifting posture is preferable to stoop lifting postures 58 59 because it: reduces compression loading and ligamentous strain within the spine (Anderson and 60 Chaffin, 1986; Davis et al., 2010); has inherently lower strength requirements (Anderson and 61 Chaffin, 1986); and reduces perceived low back exertion (Hagen et al., 1993; Hagen and Harms-Ringdahl, 1994). Other studies contradict this established body of knowledge and report a 62 higher perceived physical exertion for squat lifting (Garg and Moore, 1992; Straker and Duncan, 63 2000) and a higher rate of perceived discomfort (Straker and Duncan, 2000). Consequently, squat 64 lifting postures engender more rapid development of physical fatigue (Hagen et al., 1993). Even 65 though these contradictory studies have widely advocated lifting postures (e.g., stoop and squat) 66 (Van Dieen et al., 1999; Straker, 2003), the effect of lifting various weights and postures on spinal 67 biomechanics (i.e. spinal motion and trunk muscle activity) during repetitive rebar lifting tasks 68 69 remains unclear. As such, the effect of different weights and lifting postures could be useful in 70 designing repetitive lifting tasks guidelines, particularly for rebar workers. In addition, the effect of different weights and lifting postures on self-reported discomfort during repetitive rebar lifting 71 72 remains elusive. To mitigate the risk of developing LBDs in rebar workers, there is a need to better understand the subjective and biomechanical demands incurred during repetitive rebar lifting so that 73 pragmatic interventions and risk control measures can be successfully implemented. Therefore, this 74 research seeks to better understand biomechanical risk factors that instigate the development of 75 76 LBDs using laboratory controlled lifting trials encompassing quantifiable weights and predetermined body postures. Concomitant research objectives are to identify potential 77 biomechanical risk factors and to provide pragmatic, ergonomic guidance to practitioners on 78 79 optimizing lifting postures for rebar workers.

81 REBAR WORK AND ASSOCIATED RISK FACTORS

Rebar work is physically demanding, often requires awkward lifting postures and frequently 82 involves heavy manual lifting of weights (Buchholz et al., 2003). Typical work tasks include: i) 83 preparing rebars (e.g. pulling rebars from the stack, cutting or bending rebars); and ii) assembling 84 85 rebars (e.g. lifting, placing and tying rebars) (Saari and Wickström, 1978). Chan et al., (2012) report that rebar workers in Hong Kong spend 30% of their work time preparing rebars and 70% 86 87 assembling them. Both tasks require repetitive rebar lifting, involving heavy weight handling with awkward postures. Saari and Wickström (1978) found that 15% of rebar assembly time was spent 88 lifting and carrying rebars of heavy weight ≥ 30 kg and that a stoop lifting posture was commonly 89 90 used. These physically demanding lifting tasks expose rebar workers to higher LBD risks and increase the mechanical loadings upon the spine structures (e.g. facet joints and intervertebral discs) 91 92 (Granata and Marras, 1999; Umer et al., 2016; Antwi-Afari et al., 2017). This assertion is validated by Marras et al., (1999d) and Davis et al., (2010) who report upon a similar increase in spinal 93 loadings [~15% of maximum voluntary contraction (MVC)] when trial participants lifted heavy 94 95 weights (27.3kg and 42.7 kg).

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97 **Risk Assessment Methods**

98 Risk assessment methods for lifting tasks are categorized into four thematic groupings, namely: i) self-reports; ii) observational methods; iii) direct measurement techniques; and iv) camera-based 99 techniques. Self-reports are widely used in epidemic and ergonomic studies (David, 2005; Inyang 100 101 et al., 2012) and prominent exemplars adopted in practice include the: Nordic Musculoskeletal 102 Questionnaire (Reme et al., 2012); Borg Scale (Li and Yu, 2011); and Job Requirements and Physical Demands Survey (JRPDS) (Dane et al., 2002). In a construction context, Riihimaki (1985) 103 104 uses self-report survey questionnaires to investigate the effect of heavy physical work upon the backs of rebar workers and house painters. However, self-report assessment methods are 105 subjective and prone to introducing recall bias (that is, a systematic error caused by differences in a 106

participant's reporting accuracy or incompleteness of their recollections) (Spielholz et al., 2001; 108 Jones and Kumar, 2010).

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110 Observational methods developed are myriad and include the: Assessment of Repetitive Task (ART) 111 (The Health and Safety Executive, 2009); Manual Handling Assessment (MAC) (The Health and 112 Safety Executive 2002); Ovako Working Analysis System (OWAS) (Karhu et al., 1977; and Kivi 113 and Mattila, 1991); Posture, Activity, Tools, and Handling (PATH) (Forde and Buchholz, 2004); Rapid Upper Limb Assessment (RULA) (McAtamney and Corlett, 1993; and McGorry and Lin, 114 2007); Rapid Entire Body Assessment (REBA) (Kim et al., 2011; and Hignett and McAtameny, 115 2000); Quick Exposure Check (QEC) (University of Surrey Health and Safety Executive, 1999); 116 Washington State's ergonomic rule (WAC 296-135 62-051) (Washington State Department of 117 118 Labor and Industries, 2010); Strain Index (Drinkaus et al., 2005); and 3D Static Strength Prediction Program (3DSSPP) (The Center for Ergonomic at the University of Michigan, 2016). 119 Although these observational methods are an improvement upon self-reports, they are subjective, 120 121 lack precision and are less reproducible in work situations (Coenen et al., 2011).

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Conventional direct measurement techniques include surface Electromyography (sEMG) recording 123 of muscle action, video-based motion, inertial measurement unit (IMU) and lumbar motion 124 monitor (LMM) (Merletti and Parker, 1999; Umer et al., 2016; Antwi-Afari et al., 2017). sEMG 125 recordings are ubiquitous within extant literature and typically report upon muscle exertions by 126 127 attaching a group of sensors to the skin over the muscles being sampled (Ning et al., 2014; Umer 128 et al., 2016; Antwi-Afari et al., 2017). Recordings of muscle tension and computerized analysis of myoelectric signals evaluate spinal biomechanics (Nimbarte et al., 2014). sEMG sensors 129 accurately measure physical exposure detection of manual handling activities (e.g. repetitive lifting 130 tasks) and are applicable to both indoor and outdoor settings (Kim and Nussbaum, 2013). 131

Equipment cost and data analysis time preclude their use on a large number of participants or forlong-term data collection (Wang *et al.*, 2015a).

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Camera-based techniques utilise video/image sensors to capture human movements from indirect measurements (Han and Lee, 2013; Seo *et al.*, 2014). Consequently, they allow remote analysis of work tasks without disturbing the work process. Accuracy however, relies upon the manual input of posture and joint angles and a direct line of sight (Han and Lee, 2013). Furthermore, this approach cannot: differentiate whether a person is stationary and stable or struggling to regain balance; or detect body postures under bright light conditions (Chen *et al.*, 2014).

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Although these four methods have been used in both field and laboratory-based studies, direct measurement methods under strict laboratory controlled conditions (using a combination of sEMG and IMU sensors) provide an affordable and detailed solution to assessing LBDs risk factors during simulated repetitive rebar lifting tasks (Moeslund *et al.*, 2006). Consequently, this research study examines and compares the effect of different lifting weights and lifting postures on spinal motion and trunk muscle activity during simulated repetitive rebar lifting tasks.

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149 **RESEARCH METHODS**

A convenient sample of twenty (20 no.) healthy participants (all males) was recruited from the 150 student population of the Hong Kong Polytechnic University to participate in this study (Table 1). 151 152 Sample exclusion criteria included 'high risk' participants with a history of: low back pain (using 153 the 10-item Oswestry Disability Index (ODI) > 20%) (c.f. Fairbank and Pynsent, 2000; Wong et 154 al., 2016); and/or cardiac or other health problems (e.g. dizziness, chest pain, and heart pain) (using a 7-item Physical Activity Readiness Questionnaire (PAR-Q)) (c.f. Baecke et al., 1982). 155 Participants provided their informed consent as approved by the Human Subject Ethics 156 Subcommittee Polvtechnic 157 of The Hong Kong University (reference number:

HSEARS20160719002). No significant between-group difference in demographic data and ODIscores was observed.

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<Insert Table 1 about here>

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163 Experimental Design and Procedure

164 Participants rated the perceived exertion/pain threshold of their body parts on an 11-point (0 to 10) Borg categorical rating scale (Borg CR 10) where 0 indicates 'no pain' and 10 indicates 'the worst 165 imaginable pain' (Borg, 1998), before marking the site of their body pain on a body diagram 166 (Rustoen et al., 2004). Within industry, three rebar workers often work as a group to repetitively 167 lift four (4 no.) to ten (10 no.) pieces of reinforcing bar (weighing approximately 7.1kg to 17.8kg) 168 169 from the floor to the target location (e.g. at waist level) (Figure 1a-b). Pilot study observational research trials conducted (pre-full laboratory testing) reveal that either a stoop or squat lifting 170 posture is used in repetitive movements with an average of 10 lifting cycles per minute. One-third 171 172 of the weight of four (4 no.) and ten (10 no.) pieces of rebars were comparable to approximately 173 5% and 15% of an individual's maximum lifting strength (MLS) as measured using an isometric strength testing device (Chattecx Corporation, USA). Thus, to simulate lifting loads of rebar, 174 175 participants were instructed to repetitively lift and lower three different weights that corresponded to 5%, 10% and 15% of their MLS. Each participant was instructed to start in either a stoop or a 176 squat position and then visualize the handle (of the isometric strength testing device) as a bundle of 177 178 rebars and gradually pull the handle upward until the subjective perceived MLS was achieved. 179 This procedure was repeated after a 2-minute break. The highest value generated on the digital 180 force monitor (Piezotronics, New York Inc., USA) during the two trials was assumed to be the 181 participant's MLS.

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<Insert Figures 1a-b about here>

184 Participants were then randomly assigned using the Latin Square (an *n* x *n* array) to perform the trial. The lifting sequence of the weights was randomized to counterbalance the accumulative 185 effect of different weights. For safety purposes, instead of lifting a bundle of rebars in a laboratory, 186 the target lifting load was placed in a wooden box (measuring $30 \times 30 \times 25$ cm) with hole handles 187 188 at either side. Using both hands, participants lifted the box from floor level to a bench at waist 189 level, waited for three (3 no.) seconds (without losing contact with the box) and then lowered the 190 box back to the floor and waited another three (3 no.) seconds before resuming the next cycle. Each participant was instructed to lift each of the three weights repetitively until subjective fatigue 191 192 was reached (i.e. the participant could not complete a cycle of lifting after strong verbal 193 encouragement). A metronome provided a beat to guide the task (approximately 10 cycles/minute). Prior to data collection, participants were allowed to practice once with each of the target weights 194 195 using the assigned lifting posture (Straker, 2003). A twenty-minute rest was interspersed between the lifting of different weights. 196

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198 Surface Electromyography Measurements

Two pairs of wireless bipolar Ag/AgCl surface electrodes (Noraxon TeleMyo sEMG System, 199 200 Noraxon USA Inc., USA) were attached to the bilateral lumbar erector spinae (LES) at the L3 level (Figure 2) (Hermens et al., 1999; Wong et al., 2016). The diameter of the electrode was 201 15mm and the inter-electrode distance was 20mm. A standardized skin preparation procedure was 202 administered (including skin abrasion with light sandpaper, cleaning with alcohol and shaving of 203 204 hair if necessary) to ensure the skin impedance was below 10 k Ω (Xie et al., 2015). Raw sEMG 205 signals were sampled at a frequency of 1500Hz with the common mode rejection ratio of 100db 206 and then digitized by a 16-bit analog to digital (A/D) converter.

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<Insert Figure 2 about here>

Prior to performing the lifting task, participants were instructed to perform two trials of back 209 210 extension MVC against manual resistance. The participants maintained the MVC for 5 seconds with a 2-minute rest between trials (Hu et al., 2009; Wong et al., 2016). The maximum root mean 211 square (RMS) of sEMG signal for each LES muscle was identified using a 1000ms moving 212 213 window passing through the sEMG signals during the two MVCs. The highest RMS sEMG signal 214 of each LES muscle was chosen for normalization. Raw electrocardiography signals were filtered 215 from sEMG channels using an electrocardiography-reduction algorithm (c.f. Konrad, 2005). The resulting sEMG signals were band-pass filtered between 20 Hz and 500 Hz. A notch filter centered 216 at 50 Hz was used to eliminate power-line interference. The rectified and processed sEMG signals 217 with an averaging constant of 1000ms were used to provide the root mean square (RMS) sEMG 218 signals. The RMS sEMG signals from the left and right of the LES muscle were averaged because 219 220 the paired t-test found no significance between-side difference in sEMG signals during the repetitive lifting tasks (p > 0.05). The sampled RMS sEMG data were normalized to the highest 221 RMS sEMG during MVC and expressed as a percentage MVC (%MVC) sEMG. 222

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224 To quantify back muscle fatigue, two major phenomena were measured. First, the median frequency (MF) of raw sEMG signals for each LES muscle (during each lifting period) was 225 226 partitioned into twenty epochs (without overlap). The MF of the sEMG power spectrum in each epoch was analyzed by a Fast Fourier Transform technique with a smoothing Hamming window 227 digital filter (Smith, 2003; Kellis and Katis, 2008). The MF of sEMG for each of the 20 epochs 228 229 was normalized with respect to the initial MF obtained prior to lifting. An observed decrease in 230 normalized MF values between the beginning and end of the lifting task (i.e. a negative slope on 231 the normalized MF plot) represented muscle fatigue. Second, the endurance time (time to fatigue) recorded at the end of each lifting weight task were compared as an additional quantitative 232 measure of back muscle fatigue. Decreases in time to fatigue were taken as an indicator of global 233 234 back muscle fatigue.

235 Spinal Kinematic Measurements

236 Three inertial measurement unit motion sensors (Noraxon MyoMotion system, Noraxon USA Inc., USA) were attached to the spinous processes at the T1, T12 and S1 levels (Figure 2) and 237 kinematics data was sampled at 100Hz. Motion sensors estimated the spatial orientation of body 238 segments by integrating the signals of multiple electromechanical sensors (accelerometers, 239 240 gyroscopes and/or magnetometers using specific sensor fusion algorithms) (Umer et al., 2016). 241 The thoracic and lumbar kinematics were estimated from the relative differences in 3-dimensional movements namely: i) flexion/extension; ii) lateral bending; and iii) axial rotation) between the 242 sensors attached to the T1 and T12 levels and the T12 and S1 levels respectively (Figure 2). 243

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245 Analysis of sEMG and Kinematic Data during Lifting

246 Signals from sEMG electrodes and motion sensors were synchronized using the Noraxon MR 3.8 software (Noraxon USA Inc., USA). Standard Amplitude Analysis (SAA) normalized the sEMG 247 signals of LES and spinal kinematic signals during the repetitive lifting task. Specifically, SAA 248 249 divided the lifting task period into three equal time phases (initial, middle and final) so that 250 temporal changes in kinetics and kinematics during lifting with different weights or postures could be estimated. The mean kinetics and kinematics in the middle lift phase of SAA were used to 251 represent the average spinal biomechanics during lifting, thus allowing comparisons between 252 different lifting weights or postures to be made. 253

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255 *Statistical Analysis*

Demographic characteristics and the self-reported pain/perceived exertion measures (using Borg scale) between the two lifting posture groups were compared by separate independent *t*-tests. Since the Shapiro-Wilk tests revealed that sEMG and kinematic data were normally distributed, a separated (2×3) mixed-model repeated measures analysis of variance (ANOVA) was used to evaluate the effect of lifting postures (*between-group factor*) and lifting weights (*within-subject*) *factor*) on the corresponding sEMG and spinal kinematics (thoracic or lumbar range of motion). A separated one-way repeated measures ANOVA then evaluated the difference between the normalized MF of sEMG and time to fatigue data whilst post hoc pairwise comparisons were conducted with the Bonferroni adjustment. The Statistical Package for the Social Science version 20.0 (IBM, USA) was used for statistical analysis and significance was p < 0.05.

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267 EFFECT OF LIFTING WEIGHTS ON SEMG ACTIVITY AND TRUNK KINEMATICS

The middle SAA results illustrate that sEMG activity of LES muscles significantly increased as the lifting weights of the repetitive task increased (Table 2). Post hoc pairwise comparisons revealed that heavier lifting weights led to significantly higher LES activity (Figure 3). The lifting weight corresponding to 15% MLS caused the highest LES muscle activity (approximately 55% MVC sEMG), regardless of lifting postures.

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<Insert Table 2 and Figure 3 about here>

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276 Because the independent *t*-tests displayed no significant difference in the negative slope of normalized sEMG MFs (or time to fatigue between the two lifting posture groups), the sEMG MFs 277 278 and time to fatigue data from both groups were averaged to analyze the effect of different lifting weights on LES muscle fatigue and time to fatigue. Heavier lifting weights led to significant 279 decreases in the normalized sEMG MF of LES muscles (p < 0.05) (Figure 4). The negative slopes 280 281 of sEMG MFs of back muscles for 5%, 10%, and 15% of MLS were -0.08, -0.12, and -0.18 282 respectively (p < 0.05). Similarly, the time to fatigue significantly decreased as the lifting weights increased (p < 0.05). The average lifting durations for 5%, 10%, and 15% of MLS were 205.6 283 284 seconds, 131.6 seconds and 87 seconds respectively (Figure 5).

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<Insert Figures 4 and 5 about here>

Although there was no significant difference in spinal motion angles (lumbar and thoracic regions) during all phases of lifting at the three different lifting weights (Table 3), a consistent trend of increases in middle SAA lumbar flexion angles was observed as the lifting weight increased, regardless of the lifting posture (Table 3). Heavier lifting weights resulted in significant increases in perceived exertion/pain intensity for both lumbar and quadriceps/calf muscles (p < 0.05).

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<Insert Table 3 about here>

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295 EFFECT OF LIFTING POSTURES ON SEMG ACTIVITY AND TRUNK KINEMATICS

There was no significant difference in the middle SAA sEMG activity of LES muscles between the two lifting posture groups (p = 0.34) nor any group and weight interaction effect (p = 0.18). However, the stoop lifting posture displayed a higher absolute LES muscle activity during the middle SAA sEMG activity than squat lifting across all three lifting weights (Figure 3).

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301 Similarly, lifting postures had no significant effect on spinal kinematics regardless of the lifting 302 weight, although the stoop lifting posture demonstrated higher absolute lumbar and thoracic flexion angles than those in the squat lifting posture (Table 3). Interestingly, there was a decreasing 303 304 trend in thoracic flexion angles as the lifting weights increased during different phases of stoop lifting. However, no such trend was noted in the thoracic regions during squat lifting (Table 3). 305 Participants in the stoop lifting posture group experienced significantly higher discomfort/pain at 306 307 their lower back, while those in the squat lifting posture group suffered from significantly higher 308 discomfort at quadriceps and calf muscles (Table 4).

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<Insert Table 4 about here>

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313 **DISCUSSION**

The analysis results reveal that an increase in lifting weight significantly increased lumbar muscle 314 activity and decreased fatigue (as measured by sEMG MFs)/ time to fatigue. However, lifting 315 316 weights had no significant effect on spinal kinematics regardless of lifting posture adopted. Conversely, lifting posture had no statistically significant effect on any of the spinal biomechanical 317 318 parameters, although stoop lifting posture appeared to elicit higher absolute LES sEMG amplitude, 319 and larger absolute thoracic and lumbar flexion angles. Participants in the stoop lifting group experienced significantly higher pain intensity in the lumbar region when compared to those in the 320 squat lifting group. 321

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323 Effect of Lifting Weights on Spinal Biomechanics and Pain Perception during Lifting

324 Heavier lifting weights significantly increased the activity and pain intensity of back muscles. These findings concur with prior studies that found increased back muscle activity during lifting 325 tasks might increase the risk of LBDs (Lavender et al., 2003). Davis et al., (2010) similarly found 326 327 an increase in muscle activity (~15% MVC) when masonry workers lifted heavy bags (42.7kg) compared to a half-weight bag (21.4kg). While this aforementioned study (*ibid*) evaluated a 50% 328 reduction in weight, the current study evaluated 10% reduction of rebar weight (from 15 to 5% 329 MLS) with similar increases in muscle activity (14.3% MVC). These findings concur with 330 previous studies (c.f. Potvin et al., 1991; Van Dieen et al., 1994) which estimate peak lumbar loads 331 for stoop lifting to be 5% greater than squat lifting posture. Yingling and McGill (1999) proffer 332 333 that the lifting capacity of an individual is related to the respective internal tolerances, such as the 334 physical and physiological capacity of a body to cope with external loading. Lifting heavy weights 335 also increases the amount of back muscle compressive forces acting upon the lumbar spine (Callaghan and McGill, 2001) and challenges an individual's internal tolerance (Granata and 336 Marras, 1999). Although spinal motions appeared to be unaffected by lifting weight, the absolute 337 value of lumbar flexion angles increased as lifting weights increased. These results concurred with 338

findings reported by Dolan and Adams (1998) and Wong and Wong (2008). Dolan and Adams (1998) for example, observed an increase in lumbar flexion angles (from 54.9°±8.7° to 55.7°±8.9°) as the lifting weight of a repetitive lifting task increased. Thus heavier lifting weights appear to increase an individual's ability to maintain a neutral/upright body posture. Since increased trunk flexion heightens mechanical loading on the lumbar region, this partly explains the increased lumbar muscle activity and increased risk of LBDs for heavy manual lifting (Granata and Marras, 1999).

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Heavier lifting weights led to faster muscle fatigue as evidenced by a temporal decrease in sEMG 347 MF and time to fatigue as corroborated by previous research (Sparto et al., 1999; Mawston et al., 348 2007; Granata and Gottipati, 2008). Sparto et al., (1999) found a significant reduction in sEMG 349 350 MF of the back muscles as the repetitive lifting increased from 35% to 70% of the average maximal lifting force. Consequently, the findings presented substantiate that repetitive lifting of 351 heavy weights increases the risk of back muscle fatigue and the possible development of LBDs. To 352 353 minimize risk therefore, rebar workers should perform alternative tasks with different physical 354 exposures and use frequent breaks to minimize back muscle fatigue (Seo et al., 2016).

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356 Effect of Lifting Postures on Spinal Biomechanics and Pain Perception during Lifting

The insignificant effect of lifting postures upon spinal biomechanics observed concurs with prior 357 research (De Looze et al., 1994a). For example, Hagen and Harms-Ringdahl (1994) found no 358 359 significant difference in lumbar loading between stoop lifting and squat lifting when participants 360 lifted a 8.5kg or 17kg weight. The negative findings reported upon herein might be attributed to 361 other reasons. First, a redundancy in the recruitment of motor units, within and between lumbar muscles (c.f. Hodges and Tucker, 2011), may mean that participants use heterogeneous back 362 muscle recruitment strategies to perform the same task, which might lead to negative results. 363 Second, the experimental protocol adopted resulted in a fast onset of back muscle fatigue and rapid 364

task termination, hence subtle differences in back muscle activity or trunk kinematics between the
two lifting postures might have been missed. Future research may use different lifting parameters
(e.g. lifting speed) to detect the potential effect of different lifting postures on spinal biomechanics.
Third, because participants were tested in repetitive symmetrical lifting tasks, the results might be
different had asymmetrical lifting tasks been performed (e.g. combined lifting and twisting).

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371 Although no statistically significant difference in biomechanical parameters was found between the two lifting postures, the stoop lifting posture demonstrated higher absolute LES activity and 372 lumbar flexion angles. These findings concur with previous research that show higher muscle 373 374 activity and spinal motion for the stoop lifting posture when compared to the squat lifting posture (Straker and Duncan, 2000; Albers and Hudock, 2007). Importantly, increased lumbar flexion 375 376 during the stoop lifting posture may cause creep and related laxity of spinal ligaments (Solomonow et al., 2003), and impose greater loading to back muscles and ligaments that increase the risk of 377 378 back injury (Wang et al., 2000). Therefore, the findings presented support a prior recommendation 379 to adopt the squat lifting posture (Garg and Moore, 1992). Akin to previous research (Hagen and 380 Harms-Ringdahl, 1994), stoop lifting elicited significantly higher back discomfort/pain than squat lifting, where the latter may increase the risk of back injury (Straker, 1997). 381

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383 IMPLICATIONS AND LIMITATIONS

The research findings obtained from trunk kinematics suggest that rebar workers should lift a small number of rebars (i.e. 4 pieces of rebars) to minimize the muscle activity and fatigue of back muscles. Several other factors were identified and further exacerbate the risk posed (i.e., lifting weights, muscle fatigue, awkward posture and repetitive motions) and provide new insights into understanding the assessment/analysis methods during repetitive lifting tasks. Training workers in health and safety issues provides a basis for consistent awareness, identification, analysis, and control of musculoskeletal disorders. Therefore, construction/safety managers on site should 391 consider these identified risk factors and provide suitable training programs for rebar workers and 392 other 'at risk' construction trades (e.g. masons and carpenters) (Albers and Estill, 2007). The results obtained from biomechanical and psychological criteria (e.g. muscle activity, trunk 393 kinematics and muscle fatigue) and subjective pain intensities (using Borg's scale) also suggest 394 395 that squat postures should be adopted during repetitive rebar lifting tasks. Furthermore, non-stop 396 lifting and lowering of rebar can rapidly cause lumbar muscle fatigue and pain. Consequently, 397 rebar workers are recommended to lift rebar using assistive devices where possible (e.g. exoskeletons or back belts) (Kraus et al., 1996) to mitigate risks posed and to take frequent rest 398 (20mins break) before the onset of subjective fatigue. The recommended lift weight is 7.1 kg (5% 399 400 MLS) at a rate of 10 cycles/min when working in a confined space with feet stationary.

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402 Although the current research study provides valuable spinal biomechanical information regarding 403 various lifting weights and postures on a relatively small sample of novice male individuals, the 404 findings might not be generalized to experienced rebar workers or other construction trades due to 405 potential differences in terms of the physical and physiological capacity of their bodies, internal 406 tolerance etc. However, the same research protocol can be adopted to investigate the impacts of 407 lifting weights and postures on spinal biomechanics among older rebar workers. The findings not 408 only can improve our understanding of aging in modifying the relation between lifting posture and spinal biomechanics but also can help develop age-specific preventive strategies in future. 409 Furthermore, because the current study was conducted in a laboratory controlled setting, the 410 411 impact of the external environment (e.g. high temperature) on the lifting capacity of rebar workers 412 remains unknown. Future research is therefore needed to: i) investigate the impact of various 413 lifting weights and postures on the spinal biomechanics so as to develop appropriate lifting guidelines for workers with different working experiences; ii) determine actual lifting 414 capacity/endurance of rebar workers working on site (vis-à-vis laboratory controlled conditions); 415 and iii) adjust the confounding effects of psychosocial factors, gender, and age group in order to 416

quantify the relationship between different lifting parameters (e.g. lifting speed/duration, lifting
weights, height, and lifting postures) and LBDs in rebar workers.

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420 CONCLUSIONS

This is the first study to examine the effect of different lifting weights and lifting postures on the 421 422 spinal biomechanics of individuals during simulated repetitive rebar lifting tasks. The results 423 reveal that heavier lifting weights significantly: i) increase sEMG activity of lumbar muscles and low back pain intensity; and ii) decrease sEMG MFs of lumbar muscles and time to fatigue 424 regardless of lifting postures. The increase in sEMG activity of lumbar muscles and low back pain 425 426 intensity indicate that heavier lifting weights increase the amount of back muscle compressive forces acting upon the lumbar spine which can increase the risk of LBDs. The current study also 427 428 estimates the normative time to fatigue for asymptomatic individuals during repetitive lifting of weights similar to the actual rebar work. These preliminary normative data may help develop 429 practical guidelines for repetitive rebar lifting. In addition, rebar workers should consider the 430 431 normative time to fatigue associated with lifting weights when designing guidelines for lifting 432 activities, especially for a repetitive rebar lifting tasks. Although the stoop and squat lifting postures appeared to elicit similar effects on spinal biomechanics of our participants, stoop lifting 433 434 significantly increased low back pain compared to squat lifting. This observation substantiates the adoption of squat lifting for minimizing LBDs for workers during repetitive rebar lifting. Future 435 studies should investigate the cost effectiveness of using various potential ergonomic interventions 436 437 and assistive devices in enhancing the productivity of rebar workers and reducing their risk of 438 developing LBDs.

439

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445

446 **DEDICATION**

447 To June Edwards [3/12/47 - 13/5/17] - a lady of great distinction, grace and elegance personified;
448 loved by all and remembered forever.

449

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