BIM Compatibility and its Differentiation with Interoperability Challenges as an Innovation Factor

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22 ABSTRACT

23 Building information modelling (BIM) applications are being increasingly introduced throughout the construction industry and within academia, a large amount of BIM 24 applications has been recommended within literature. However, coverage of the theory of 25 26 BIM diffusion (which combines contextual and technical issues of the applications) remains scant and underdeveloped. Compatibility is one of the key contextual factors of Diffusion of 27 Innovation theory that involves predicting BIM adopters' behaviours and identifying what 28 components require extra effort for successful BIM implementation. However, this important 29 theoretical concept has not been developed in pertinent BIM literature nor used correctly to 30 extend existing knowledge because compatibility variables are not understood in a 31 32 construction context. This seriously impedes the correct usage of BIM in construction. This study systematically and critically reviews BIM compatibility (BIM-COM) literature to 33 34 distinguish compatibility issues at the organisational level and the concept of interoperability at the technical level. A sample of 57 out of the 131 articles constituted secondary data and 35 each paper represented the unit of analysis. Bibliographic analysis techniques were used to 36 identify co-authoring network and contents' concentration in the created bibliography. 37 Content analysis and text mining approaches were employed using a thematic clustering 38 analysis for grouping authors and themes within articles. The findings illustrate that the 39 40 concept of compatibility is surprisingly poorly understood and often overlooked in the 41 literature. The paper argues that interoperability issues prevail as the key practical barrier to BIM implementation. The paper identifies a large knowledge gap in terms of improving 42 compatibility measures, which should be employed by innovators to assess their BIM 43 applications before they offer it to construction companies. The findings presented will help 44 to extend BIM applications and speed up the adoption rate among stakeholders with different 45 needs and using different file formats. 46

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48 **Keywords:** Compatibility, interoperability, adoption, implementation, data and model 49 exchange, BIM.

51 1. Introduction

52 Whilst the concept of BIM became common parlance within construction literature prior to 2000, efficient implementation of BIM (and at full capacity) in companies at different levels 53 within various countries remains a challenge. In the 1980s, Rogers [1] introduced Diffusion 54 of Innovation (DOI), which investigates how an innovation is communicated and diffused 55 over time through a social system [2,3]. The theory comprises two main components: the 56 cumulative number of adopters; and time. Diffusion is viewed as a 'passive' process whereby 57 the relative advantages of new technology are communicated between industry members 58 59 through the process of socialization [4-6]. Diffusion studies predominantly focus upon the adopter organizations in a certain sector and the communication channels they use to increase 60 the awareness of a new technology (e.g. word-of-mouth or media). Sepasgozar et al. [7] 61 discuss how innovation is communicated based upon a social mechanism relying on the 62 63 adopter community, and the dissemination process relying on the managed mechanism and innovator strategies to interact with users. However, Rogers also suggests five characteristics 64 for DOI, namely: (i) relative advantage; (ii) compatibility; (iii) complexity; (iv) trialability; 65 66 and (v) observability. For almost two decades, the 'relative advantages' of BIM espoused 67 within literature have encouraged industry adoption, where these palpable advantages include: lean architectural practice [8]; facility management [9]; and cost control [10]. 68 69 Previous studies also discuss the 'complexity' of BIM as an innovation characteristic [11-13] and attempt to develop more applications to alleviate complexity issues [14,15]. Similarly, 70 the 'trialability' and 'observability' characteristics of DOI have been examined in different 71 72 countries such as Pakistan [16], Malaysia [17] and Nigeria [18]. Of the five characteristics, 'compatibility' (i.e. with user needs, values and experience) has received a significant dearth 73 74 of academic attention. For example, while Abanda et al. [32] review different software 75 packages used for BIM within construction projects the research does not discuss 76 compatibility and interoperability from the BIM adoption perspective.

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78 Whilst compatibility is a vital measure for predicting or facilitating BIM adoption within a 79 specific context [19], it has yet to be examined in this context because it is not fully 80 understood. Compatibility measures for extending a technology are perceived as consistent with the needs, values and competencies of potential adopters at either organisational or user 81 82 level [98]. At present, the literature has either not used compatibility correctly for measurement of BIM users' values at the organisation level, or it has ignored compatibility 83 completely. Previous studies investigate interoperability of BIM with other systems, but as 84 systems advance the interoperability is a continuously challenging issue in the field. 85 Anecdotal evidence suggests that literature distinguishes different values or needs depending 86 on the context, such as for large companies or small-sized companies [20,21]. Other technical 87 studies report upon the experience and observed challenges of users in practice. However, 88 compatibility is rarely examined as an independent factor and neither are its variables 89 90 identified in a construction technology context. For example, Venkatesh (UTAT) identifies 91 image, job relevance, output quality and result as four main variables of 'perceived 92 usefulness', which is the main construct of the technology acceptance model (Davis 1983). BIM uptake therefore remains slow, much to the frustration of industry and government 93 94 policy makers. Scholars from different disciplines report that some reasons for the slow adoption rate of information systems such as BIM and graphic information systems (GIS) are 95 associated to compatibility [22,23] and also interoperability with software packages required 96 97 for different tasks [24]. At a technical level, interoperability refers to the ability of a technology to exchange information, communicate and cooperate with other systems without 98 99 major modification of their structure. Consequently, the technology can work with a user's

existing technologies, despite differences in the implementation language, resulting in a collective behaviour [25]. For example, Zuluaga and Albert [22] investigate bridge case studies in North California and reveal that the Department of Transportation has adopted some fall protection supplementary devices that are not compatible with bridge guardrails. Thus, they suggest that compatibility should be assessed before a fall protection system is procured.

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107 To preserve consistency and develop uniformity throughout the paper, technology-related terms are defined here. 'Technology' refers to a broad concept comprising artefacts, 108 109 knowledge about them and the practices pertaining to their operation and maintenance [26-28]. Technology also refers to user-embodied knowhow, expertise and associated processes 110 [28]. In a construction context, 'technology' refers to any tools or machines and/or their 111 modifications that are used to carry out a construction task, achieve the project objectives, 112 manage and monitor construction operation, perform a specific function or solve a problem 113 [26,29,30]. Most specifically, 'construction technology' embraces tools, systems, 114 mechanisms, computers, electronic boards and components, equipment and any combination 115 of resources used for carrying out physical construction activities in the process of 116 construction from design to demolition. This definition of technology embraces BIM as a 117 process by which to model, analyse, simulate, integrate and visualize building information by 118 using different software and hardware devices and a computer-intelligible exchange method 119 120 of building information that contributes to the delivery of a construction project [31-33]. The literature illustrates a shift from manually-operated systems and equipment to automated 121 122 systems or to 'machine-dominated' construction operations [26], by exploring and demonstrating the relative advantages of the technology. A wide range of technologies which 123 need to be integrated with BIM do receive attention in the literature, [34-36], such as virtual 124 125 reality (VR) and augmented reality (AR) [37,38]; mobile and wearable technologies [39]; Lidar [40-42]; automated material identification [43]; real-time location and tracking systems 126 [44]; and GPS-guided plant and machinery [45]. 127

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129 The process of adopting these technologies (including BIM adoption) is also discussed frequently. Construction technology adoption theories [7] are founded upon the established 130 body of knowledge of information systems [1,46]. 'Technology acceptance' is nested in the 131 psychological theories that predict individual decision and intention to use a new technology 132 pertinent to a series of mental and behavioural states [47]. At the organisational level, where 133 the decision is made through a formal process, and more than one person is involved in the 134 decision-making, the 'technology adoption' process from a managerial perspective should be 135 investigated [7,48]. User acceptance can be one of the critical determinants of the adoption 136 process in an organisation. However, the ambiguities regarding compatibility as a key 137 possible factor in BIM adoption have stifled the development of a clear understanding of the 138 139 adoption process. This has resulted in inaccurate prediction of BIM implementation, and a low rate of BIM adoption [49-51,21,52,53]. At present, the digital technology market does 140 not provide a task-specific solution for compatibility and its associated technical concept of 141 interoperability, which negatively affects the demand pull and technology push [54]. 142 143 Compatibility measures how BIM is perceived to be consistent with a user's experience, needs and values. As the construction industry is a fragmented industry with multiple 144 stakeholders each having different values and needs, and using different software platforms, 145 compatibility (at both organisational and technical levels) must be carefully investigated [53]. 146 147 Wang and Dunston [55] consider compatibility as a factor of ergonomic property. They assume that compatibility is addressed where the virtual reality user correctly interprets 148 149 representations of virtual and real objects. From this perspective, the compatibility effects arising from the differences in the format of information derive from virtual and real objects, and also affect the user's interpretation of the object. This makes the process of data and model exchange time consuming and sometimes impossible. However, this is the common definition in the literature and can be a confusion where a scholar investigates compatibility of a new technology.

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156 Whilst ubiquitous literature sources endorse BIM in different contexts and promote it as a multi-actor and multi-discipline collaborative system [56], BIM project fit and compatibility, 157 and also its quality in terms of interoperability across stakeholders' applications, have not 158 159 been fully examined in different complicated cases. Some of the associated measures of technical compatibility are discussed in information systems such as fault tolerance [57,58], 160 interoperability, user error protection, reusability or maintainability and portability. Recent 161 studies report large gaps in BIM implementation [59] with serious challenges for the 162 integration of BIM with other emerging technologies such as Internet of Things (IoT), 163 sensors and cloud computing [60] and Cloud [56]. While the value of interoperability of BIM 164 has been extensively discussed, the main issue has been its interoperability with AutoCAD 165 files [61] and recently energy software. This need has shifted from 2D drawings to a variety 166 of aforementioned emerging technologies [56]. BIM represents a shared knowledge system 167 (containing geometric and semantic information) about a building [32,62] or a collaborative 168 169 system [56], although this paper discusses the technical challenges of sharing information and 170 delivering or transferring data to stakeholders' systems. Other BIM concepts used in the literature include virtual design and construction (VDC) [32,63] and multi-dimensional 171 172 models, such as time as a fourth dimension (4D) [32,64-66], cost as a fifth dimension (5D) 173 [67,56,68], life cycle analysis as a sixth dimension (6D) [34], facility management application as a seventh dimension (7D) and building occupancy as an eight dimension (8D). 174 175 Previous studies suggest that compatibility with the existing infrastructure of a potential adopter and their current practices or processes are major requirements for successful BIM 176 adoption [69-71,54]. However, this concept has not been used correctly but rather as a 177 general term in various BIM implementation efforts. According to Rogers, compatibility can 178 be defined as the degree that the technology is consistent with the user's experience, needs 179 and values, and the current infrastructure in a construction company. 180

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182 This paper aims to identify BIM compatibility (BIM-COM) articles and systematically analyse them (as a secondary data source and unit of analysis) to determine prevailing gaps in 183 knowledge. A concomitant objective is to identify how the concepts of compatibility at the 184 organisational level, and interoperability at the technical user level, have developed within 185 construction literature and importantly, how this development informs practice. A deeper 186 understanding of compatibility for vendors and application developers to involve in DOI is 187 188 developed by offering a technology more compatible to construction companies' values and 189 needs. The research argues that the literature is fragmented and ignores the classical concepts and theories of technology adoption and implementation in information systems. For 190 example, the concept of adoption and implementation are used interchangeably without 191 192 careful consideration of their relevant theoretical backgrounds. This review first develops a 193 systematic search method to identify relevant articles and develop the BIM-COM data base. Second, the results of bibliographic analysis are presented and third, the results of content 194 analysis are reviewed, including the main themes covered in the literature. Finally, there is a 195 discussion of the knowledge gap and opportunities for future studies. 196

198 **2. Review methods**

The overarching methodological position employed for this current study was interpretivist epistemological design that utilised extant literature as an invaluable secondary source of data, where each paper constituted a unit of analysis. From an operational perspective, a fourstage waterfall process was adopted to conduct the systematic review of literature, namely: (i) database selection; (ii) primary search with controlled criteria; (iii) bibliography analysis; and (iv) content analysis. The first two steps sought to identify and develop the BIM-COM literature database. The last two steps constituted the analytical phase.

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207 2.1. Step 1: Database selection

Relevant publications to the BIM-COM topic were chosen from the Scopus database of journal publications (<u>https://www.scopus.com/sources</u>). Bibliographic analysis was used to methodically identify patterns of co-authorship and co-occurrence of keywords. The textual string utilised included two main search terms of 'building information modelling' and 'compatibility' and resulted in 131 articles being identified as relevant to the current study.

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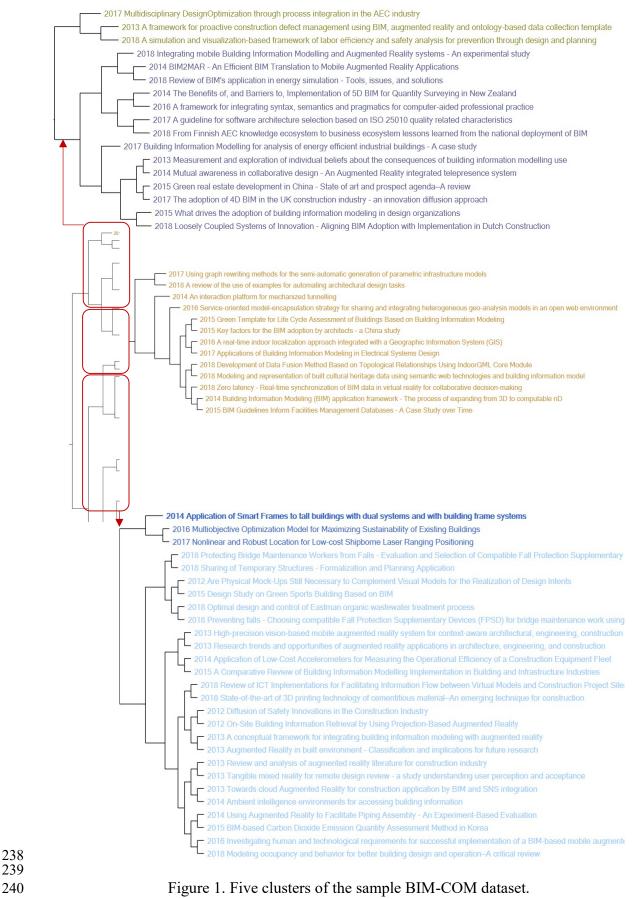
214 2.2. Step 2: Filtering and controlled criteria

Further cleansing and filtering of the sample was required to ensure that only relevant articles 215 were included in the ensuing analysis. Specific criteria (including paper type, language, year 216 and journal) were applied to filter the search results and find recent papers relevant to 217 compatibility. Viz: (ALL ("building information model*") AND ALL ("compatibility")) 218 AND (EXCLUDE (DOCTYPE, "cp")) AND (EXCLUDE (LANGUAGE, "German" 219) OR EXCLUDE (LANGUAGE, "Chinese") OR EXCLUDE (LANGUAGE, 220 221 "Lithuanian") OR EXCLUDE (LANGUAGE, "Portuguese")) AND (EXCLUDE (SRCTYPE, "p") OR EXCLUDE (SRCTYPE, "b") OR EXCLUDE (SRCTYPE, "k" 222) OR EXCLUDE (SRCTYPE, "d")). This resulted in a total of 57 full papers that 223 constituted the pertinent BIM-COM literature. The cluster and content analysis created 224 225 thematic clusters of articles with similarities in reference keywords. Systematically reviewing each cluster sought to identify gaps, deficiencies or directions in the literature based on the 226 BIM-COM database articles. 227

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229 **2.3.** Steps 3 and 4: Bibliography and content analysis

230 The co-occurrence analytical map of keywords was created using bibliographic analysis of the literature to understand the main keywords and topics used in the sample BIM-COM. 231 Furthermore, the co-authorship network, using the full counting method, was also undertaken 232 to show authors who have contributed to the BIM-COM literature. Since these types of 233 analyses do not provide an in-depth insight into the literature, content analysis was also 234 235 carried out. All papers were clustered by their similarity using Jaccard's coefficient as a similarity metric. Five clusters are shown in Figure 1. The content of the articles in each 236 237 cluster was carefully reviewed and analysed.



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3. Results

Figure 2 illustrates a co-occurrence analytical map of keywords created using the bibliographic BIM-COM database of research articles. The size of the nodes is indicative of the volume of publications that include these keywords – hence the larger the node, the greater the body of knowledge in that area. The BIM-COM literature shows that the main focus of adoption and implementation is larger for architectural companies than the construction industry.

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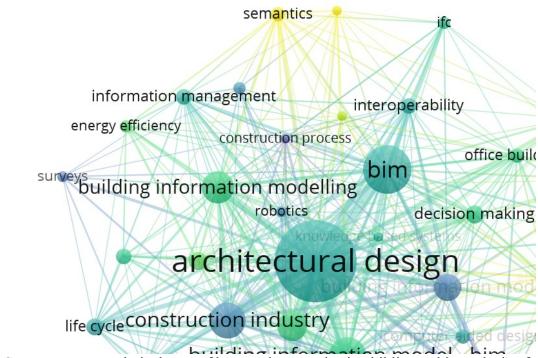


Figure 2. Co-occurrence analytical map of keywords created using bibliographic analysis of
 the literature.

Note: The minimum number of co-occurrences of keywords was 5. The network includes
 1269 keywords identified in the developed bibliography.

Figure 3 illustrates the co-authorship networks created using the full counting method, which 258 considers a full weight of '1' for each co-author for each identified paper. Thus, the total 259 weight of the article will be equal to the number of authors of that article [72]. Each circle in 260 the figure represents an author and its diameter reflects the number of publications of the 261 corresponding author indexed in Scopus. The approximate strength of the co-authorship link 262 263 between corresponding authors is represented by the distance between two circles. Lines are used to indicate the strongest co-author links and hence, the shorter lines illustrate a stronger 264 co-authorship link between the authors on this topic. Colours such as green or red represent 265 clusters of authors with strong co-authorship links. Figure 3(a) shows that there are 330 co-266 authors involved in the literature with a minimum number of 1. This clearly demonstrates that 267 there are many sets of co-authors who are not connected to each other and possibly work on 268 269 different sub-domains within the literature. Figure 3(b) shows the largest co-authorship network with 34 co-authors and reveals fairly small networking between the authors of the 270 selected literature. 271

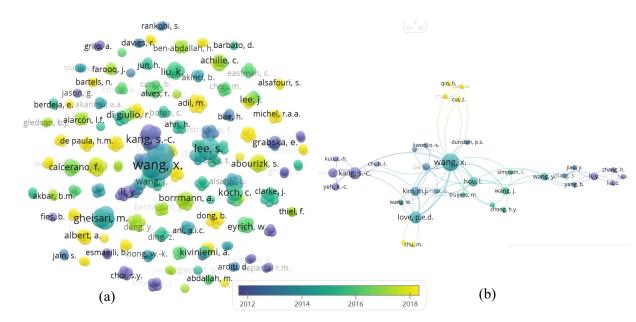


Figure 3. Visualisation of the co-authorship network using the full counting method. (a) the
network for all 330 co-authors, and visualisation (b) the largest network within the literature.
Note: The minimum number of articles by an author was considered as 1.

In order to gain insight into the papers and key target journals, all papers of the BIM-COM
literature were ranked against their citations. Table 1 shows that papers discussing the
interoperability of BIM with other technologies (such as AR and VR) received more citations
over time or per year. Most of the top high-cited papers were published in Automation in
Construction.

Table 1. Selected high-cited articles in the BIM-COM literature based on Scopus data set in 2019.

ID	Year	Topic and reference details	Journal	Citation	Citation per year
54	2013	BIM and AR for defect management [73]	Automation in Construction	100	17
55	2013	Research trends of AR applications in architecture and construction [74]	Automation in Construction	97	16
45	2014	BIM applications and expanding from 3D to computable nD [32]	Automation in Construction	80	16
56	2013	Future research of AR in built environment [75]	Automation in Construction	73	12
57	2013	Integration of BIM and VR [76]	Automation in Construction	69	12
32	2015	Review of BIM in building and infrastructure industries [77]	Archives of Computational Methods in Engineering	44	11
33	2015	Adoption of BIM in design organizations considering architects' behavioural intentions [52]	Automation in Construction	44	11
64	2012	Review the IFC standard [78]	Electronic Journal of Information Technology in Construction	70	10
19	2017	BIM for energy efficiency analysis [53]	Renewable and Sustainable Energy Reviews	19	10
58	2013	Vision-based mobile AR system for facility management applications [79]	Visualization in Engineering	47	8
34	2015	Review of green real estate development [80]	Renewable and Sustainable Energy Reviews	28	7
59	2013	Cloud AR and integration with BIM and SNS	Automation in Construction	40	7

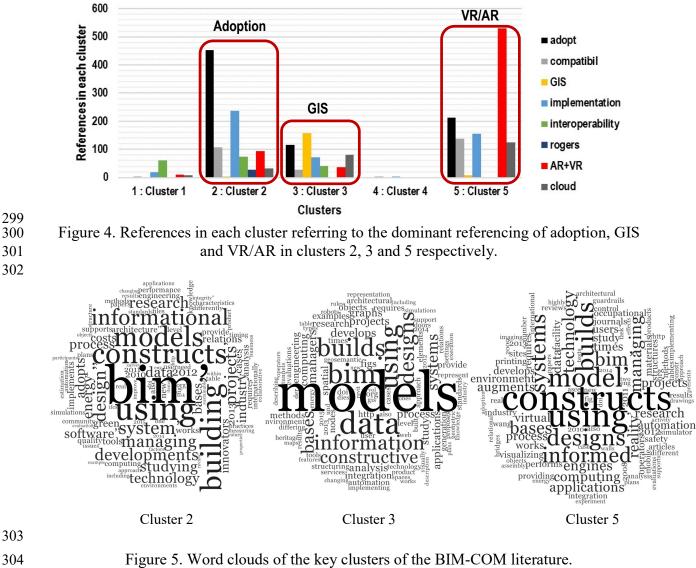
		[81]			
60	2013	Individual beliefs and BIM [69]	Construction Management and Economics	38	6
35	2015	BIM adoption by architects [82]	Engineering, Construction and Architectural Management	25	6
46	2014	Mutual awareness in collaborative design using AR [83]	Computers in Industry	30	6
47	2014	Intelligence BIM for healthcare facility management [84]	Facilities	25	5
48	2014	5D BIM implementation for quantity surveying in New Zealand [68]	Australasian Journal of Construction Economics and Building	24	5
36	2015	BIM and facilities management databases [63]	Buildings	19	5
37	2015	Green template for life cycle assessment using BIM [85]	Sustainability (Switzerland)	19	5
38	2015	AR for facilitating piping assembly [86]	Journal of Computing in Civil Engineering	19	5
65	2012	On-site BIM retrieval by using AR [87]	Journal of Computing in Civil Engineering	33	5
61	2013	Review of AR literature [88]	Visualization in Engineering	28	5
26	2016	Integrating geo-analysis models in an open web environment [89]	ISPRS Journal of Photogrammetry and Remote Sensing	14	5
66	2012	Diffusion of safety innovations [90]	Journal of Construction Engineering and Management	32	5
27	2016	Human and technological requirements for BIM and mobile AR implementation [91]	Facilities	13	4
49	2014	BIM integration with mobile AR [92]	Journal of Management in Engineering	19	4
39	2015	Operational efficiency of construction equipment [93]	Journal of Computing in Civil Engineering	13	3
40	2015	AR for enhancing students' performance [94]	Advances in Engineering Education	11	3
62	2013	Mixed reality for remote design review [95]	Visualization in Engineering	16	3

Note to table: Building information modelling: BIM; augmented reality: AR; social networkingservices: SNS.

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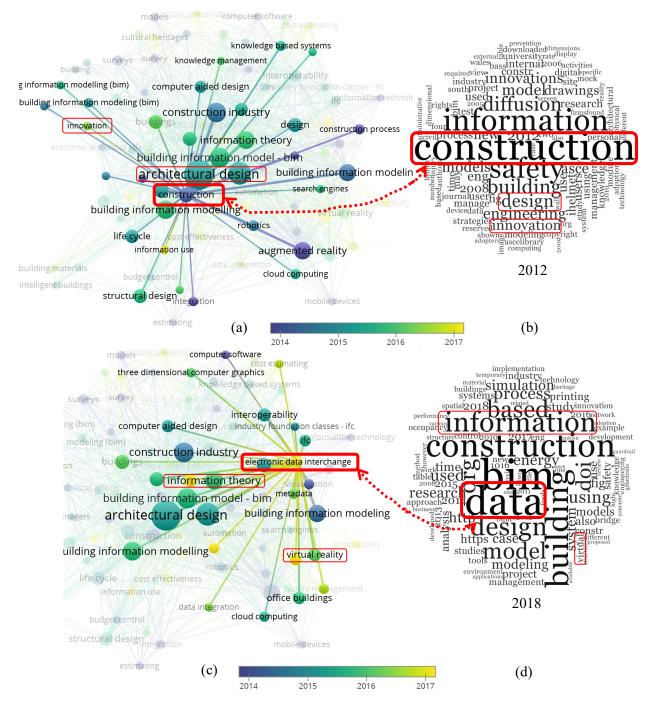
289 **3.1.** Clustering and content analysis

290 The sample of 57 full papers was carefully reviewed and classified based upon keyword similarity to produce five clusters with clusters 1 to 5 including 3, 14, 13, 3 and 24 papers 291 respectively. The articles within each cluster were analysed in terms of relevance to: 292 293 adoption; compatibility; GIS; implementation; interoperability; Rogers theory; AR and VR; and cloud-based technologies. Figure 4 demonstrates that clusters 2, 3 and 5 are those mainly 294 under discussion and thus the relevant sub-topics should be analysed further. Figure 5 shows 295 the results of word clouds for the three main clusters 2, 3 and 5. Clusters 1 and 4 are merged 296 with relative clusters to constitute three groups, namely: BIM adoption, GIS and VR/AR. 297 298



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In order to identify the temporal trend in both the overall literature and the BIM-COM literature set, a time-based analysis strategy was applied to both data sets. Figures 6a and 6b show that papers focused on the application of BIM in construction and architectural design before 2014. Figures 6c and 6d show that data interchange is used co-concurrently with other key concepts post-2017. This shows that topics related to data handling, format and other interoperability issues will continue to be an important domain that requires careful investigation.



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Figure 6. Key focus of the literature over time. (a) co-occurrence of construction and other
high frequency words before 2014 in the overall literature; (b) Word clouds of key words
within papers published in 2012 in the BIM-COM literature; (c) co-occurrence of electronic
data interchange and other high frequency words after 2017 in the overall literature; (b) Word
clouds of key words within papers published in 2018 in the BIM-COM literature.

Figure 7 presents the frequency of the main codes in the BIM-COM literature over two different periods of time: 2012 to 2015 and 2016 to 2018. It illustrates that the focus on interoperability increased by 90% in the later time period, and more particularly the interoperability of BIM with GIS increased by 85%. In terms of theoretical investigations, the frequency of using Rogers theory and compatibility also increased in the later time period.

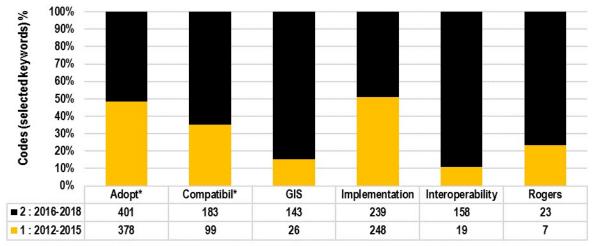




Figure 7. Comparing the number of references of keywords in two periods: (a) black: recent years from 2016-2018; (b) orange: early years of the BIM-COM literature from 2012-2015.

331 Each cluster was also analysed based on the year of publication, thus Table 2 shows the number of article references for each individual node (concept) by publication year, with a 332 total of eight nodes analysed. The focus of the BIM-COM literature is seen to be 'adoption' 333 334 and 'implementation' (nodes A and D) with frequencies of 779 and 487 respectively over the time period of the sample. The figures show that the integration of BIM with 'GIS' has 335 received increased attention from 2016, while 'interoperability' and 'compatibility' display 336 higher frequencies in 2017 and 2018, with almost half of the total frequency (282) of 337 'compatibility' being from references in 2018. These three clusters show little focus received 338 in 2012 and 2013, whereas 'adoption' has been a focus of the BIM-COM literature from 2012 339 340 onwards.

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Table 2. References coded into each node by year.

	A:	B:	C:	D:	Е:	F :	G:	H:	Articles
	Adopt	Compatibil	GIS	Implementation	Interoperability	Rogers	AR+VR	Cloud	per year
1:2012	76	4	0	9	0	0	15	0	3
2:2013	90	38	2	61	1	3	352	107	9
3:2014	36	19	13	63	6	1	173	6	9
4:2015	176	38	11	115	12	3	10	11	8
5:2016	10	10	74	32	11	0	33	12	5
6:2017	124	35	42	26	83	12	1	14	7
7:2018	267	138	27	181	64	11	90	96	16
Total	779	282	169	487	177	30	674	246	57

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Noteworthy is that the integration of BIM with 'AR/VR' received considerable attention from 344 345 the sample authors in 2013 and 2014 even though 'interoperability' was not yet an issue. When interoperability entered into the literature of BIM compatibility, the need to integrate 346 BIM with AR/VR in an automated manner became a prominent issue. In 2018, 90 references 347 were linked to AR/VR concepts; further investigation revealed that only seven articles paid 348 attention to virtual models in 2018. Two of those that frequently referenced to AR or VR 349 included Chu et al. [96] and Alsafouri and Ayer [97]. A total of 22 reference codes (0.32% 350 351 coverage) were found in the Chu et al. [96] article evaluating a simple AR BIM tool and 38 references codes (0.36% coverage) were found in the Alsafouri and Ayer [97] article. There 352 353 is no evidence showing why AR and VR have received more attention again recently. In

addition, the desire to utilise AR/VR has not encouraged authors within the sample to examine or fully report upon the interoperability challenges of the AR and VR tools and BIM. Over the seven-year time period, the number of articles that discuss compatibility of BIM with AR and VR integration at least once in their article is 22 and 21 out of 57 respectively (just under 40% of our sample). Finally, the integration of BIM with Cloud received considerable attention in 2013 and 2018, which surprisingly shows a similar pattern to AR/VR integration.

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362 **3.2.** Critical content analysis and developing a theoretical base

Table 3 illustrates the focus of each cluster. Three main themes were selected based upon the 363 initial screening of the selected papers. Theme 1 incorporates adoption, compatibility, 364 implementation, interoperability and Rogers keywords. Theme 2 includes GIS, 365 interoperability and cloud keywords. Theme 3 includes compatibility, implementation, cloud 366 and VR/ AR keywords. The thematic analysis shows that Cluster A has a main focus on 367 Theme 1; Cluster B focuses on Theme 2; and Cluster C focuses on Theme 3. These clusters 368 and themes are the main pillars of a conceptual framework based on the DOI theory. Each 369 370 cluster will be discussed separately.

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Table 3. A conceptual matrix of clusters and themes as the basis of DOI theory including a summary of the referencing within each theme.

	Theme 1: A	doption	Theme 2: G	JIS	Theme 3: A	R/VR		
BIM-COM	Total	References	10000	References	1000	References		references
	references	per article	references	per article	references	per article	cluster	found
CA: Cluster A	901	64	109	8	473	34	14	1483
CB: Cluster B	254	20	280	22	215	17	13	749
CC: Cluster C	506	21	132	6	948	40	24	1586

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376 3.2.1. Cluster A with the focus on BIM adoption and interoperability

Cluster A has 14 articles with a total of 1,483 references. Table 3 shows that the focus of cluster A is clearly on theme 1, accounting for over 60% of all referencing within this cluster (901 out of 1,483). Within this theme, the number of references for adoption, compatibility, implementation, interoperability and Rogers keywords are 452, 108, 238, 74 and 29 references respectively, with adoption and implementation being most prominent. The average number of references per article is 64, which is the highest across all themes and clusters.

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This cluster discusses adoption and implementation concepts and relevant factors. For 385 example, Davies and Harty [69] propose a set of scales to measure a user's beliefs about the 386 outcome of BIM implementation, which include: effort and performance expectancy; 387 facilitating conditions; compatibility; social influence; and attitude. They present an online 388 self-completion questionnaire to measure compatibility with the user's working mode. 389 Inherent within their scales is an ability to facilitate conditions such as 'compatibility' 390 measures and ensure that BIM is compatible with the participant's 'core job function' and/or 391 392 that BIM fits to their 'working style'. They observe a strong correlation between 393 compatibility and performance expectancy.

Table 4. Summary of selected papers of Cluster A

ID	Focus and method	Context/business and	Compatibility issues and/or measures
60	Measurement of individual beliefs about BIM [69]	country Sample of 1301 participants in the UK (2013)	Strong correlation between performance expectancy and compatibility.
49	BIM translation to mobile AR applications [92]	Pilot study of a healthcare facility management, Georgia, US (2014)	Interoperability issues between servers; *.obj and *.json pipelines are time consuming and resulting in losing data.
46	Integrating AR with a telepresence tool for collaborative design [83]	Experiments to measure participants' perceptions about awareness and intentions to use (2014)	Workspace awareness is a strong cognitive design tool for remote collaborative design. The scale of software is limited when the team number is high.
33	Drivers of BIM adoption in design organizations [52]	Sample of 162 architects from Korea to measure their behavioural intentions (2015)	Strong correlation between perceived usefulness and perceived ease of use and compatibility.
19	BIM for energy modelling [53]	An historic and a new industrial construction case is selected (2017)	Inconsistencies between two models, failing to use material properties in the gbXML format, remodelling required.
22	The adoption of 4D BIM [71]	Sample of 97 from UK construction industry (2017), questions formulated from Rogers theory [98]	Significant association between compatibility and the adoption rate of 4D BIM. Limited variables used to measure compatibility.
15	Analysing knowledge and business ecosystem in the context of BIM deployment [54]	Sample of 20 participants for interviews from Finnish construction industry including government, managers and BIM users (2018)	Significance of interoperability highlighted; reported Euro 16 M program for developing interoperable information ecosystem; interoperability became important from 90s.
3	Integrating mobile BIM AR tool, an experimental study [96]	Evaluation of the modification of 2D drawings by 20 participants (2017)	Task efficiency was evaluated by mainly project and cost managers rather than technical users of BIM. The technical integration challenges were not identified.
10	Aligning BIM adoption with implementation [59]	Participants from three building cases for interviews from the Dutch construction industry (2018)	Case projects with compatible BIM had consistent outcome; BIM compatibility affects the project network stability, suggest development of network- regulated BIM instructions.
1	Application of BIM in energy simulation [99]	Review of papers related to energy modelling and tools (e.g. AutoCAD tools) and/or files (e.g. IFC and gbXML) (2018)	Gap identified in conversation between BIM applications and energy modelling tools, e.g. EnergyPlus and DOE2 cannot directly import and read BIM files including gbXML and IFC.

397 Note: AR stands for Augmented Reality. *.*obj* and *.*json* are extensions of Wavefront OBJ
398 and JavaScript Object Notation data.

399 Son et al. [52] discuss the drivers of BIM adoption by examining architects' behavioural intentions using a modified technology acceptance model. They propose that compatibility 400 has a strong correlation with perceived usefulness and perceived ease of use. They also 401 realise that interoperability with 2D AutoCAD is a key issue relating to compatibility for 402 BIM adoption. Son et al. [52] refer to compatibility as a technical issue of BIM and the 403 individual's existing experiences. However, compatibility covers more complicated factors 404 405 than the technical issues. Gledson and Greenwood [71] adopt a DOI model [98] and consider compatibility as one of the variables for assessing the 4D BIM innovation. To measure 406 compatibility, they ask participants whether 4D BIM is compatible with their construction 407 408 planning processes and report over 61% agreement from the participants (with a mean 409 response of 3.58 for this measure on a 5 point Likert item scale) and a significant relationship between compatibility and the rate of 4D BIM adoption. While this is an important finding, 410 the number of measures for compatibility remain limited and largely unexplored in the 411 literature, highlighting a gap in how compatibility of BIM applications can be measured. 412

413

Aksenova et al. [54] examine the Finnish architecture, engineering and construction sectors 414 by interviewing 20 participants. They adopt grounded theory to explore various events and 415 actors related to Finnish BIM adoption from 1965 to 2015. They find that interoperability is a 416 main concern from the 1990s, and an international alliance is established for interoperability 417 (including 12 international organisations). The main mission of the International Alliance for 418 419 Interoperability (IAI) was to set standards through industry foundation classes (IF), which IAI renamed to SmartBuilding although its agenda later extended. Aksenova et al. [54] report 420 that software leaders (who are instrumental to BIM adoption) surprisingly do not support any 421 422 standards for information technologies because they do not want users to change their systems. Investigations by Sepasgozar et al. [100] confirm that software vendors play a key 423 424 role in the technology adoption process, but receive very little attention from the construction industry [101]. Papadonikolaki [59] investigates the Dutch construction industry, finding that 425 in three selected building case studies the IFC, Native and CAD/PDF file types are 426 exchanged and/or delivered. The intention is to explore the relationship between BIM 427 adoption motivation and implementation. Discussion reveals that the BIM implementation 428 process for the case studies is complicated using hybrid digital and paper based deliverable 429 practice and that the implementation process still needs to be understood. Papadonikolaki 430 [59] concludes that two of the selected cases have a consistent outcome in their project due to 431 compatible BIM drivers. In this study [ibid], compatibility is shown to be a key determinant 432 in the consistency of project outcomes. 433

434

435 Williams et al. [92] develop workflow to integrate BIM into mobile AR applications. They apply the workflow to a healthcare facility management case in Atlanta, US, and report that 436 there are issues with Wavefront OBJ and JavaScript Object Notation data sets with *.obj and 437 *. ison extensions. These extensions were used as pipelines for integrating complex geometry 438 from AutoCAD programs. The problem was that the conversation process resulted in losing 439 data and inconsistencies in geometries of objects. In a different context, Gourlis and Kovacic 440 [53] use the architecture and technical building services information modelled in Autodesk 441 442 Revit for analysing energy efficiency in two cases using Energy Plus via Sketch Up and the Open Studio Plug-in. 443

444

Kamel and Memari [99] also examine the interoperability of BIM with energy modelling
tools and report that there are major challenges which include: missing data; data recognition
and error transference; and inconsistency of the file extensions generated by GBS and
OpenStudio or EnergyPlus. In fact, the process of exchanging data from BIM tools to energy

449 modelling and simulation tools such as Simergy, GBS, OpenStudio, DesignBuild, BEopt and eQuest is reported recently in 2019 as challenging or not fully automated. Kamel and Memari 450 [99] state that data exchange is a difficult task and report observed issues in case studies, such 451 as: missing or redundant data; data recognition, mapping and transferring issues; 452 inconsistency in generated data; lack of required data or unwanted generated data; and 453 manual re-entering of data. A recent publication by Mutis and Paramashivam [56] suggests 454 455 that security tools should be employed for loss prevention, authentication, anomalous 456 detection and format preservation.

457

458 Wang et al. [83] employ a remote collaborative design platform to increase the distributed cognition among designers by integrating AR and a telepresence system. They conduct 459 experiments and report that the integrated systems increase social capital and interpersonal 460 interactions. The research [ibid] also discusses that the system promotes workspace 461 awareness linking to other factors such as environment, knowledge, exploration and action 462 [102]. In a healthcare facility case study, Irizarry et al. [84] similarly focus on integration of 463 BIM and mobile AR, mentioning that interoperability between AutoCAD and other programs 464 is required when the data needs to be shared with project stakeholders. They conclude that 465 integration of several tools (such as AutoCAD (architecture and equipment), ERP and GIS) is 466 required for realising the full potential of BIM in energy optimisation. The study [*ibid*] also 467 clarifies that the issues related to data format and granularity are critical for industrial 468 469 building projects, since a larger number of process, design and construction stakeholders are involved in the project over a short time span. 470

471

Elsewhere, Haoues et al. [103] suggest that compatibility and interoperability are key measures of system quality models and standards such as ISO 25010. Xu et al. [104] create a prototype to collect data from BIM for generation of the item costs for the bill of quantity. They suggest that successful cost estimation is possible if the data format is comprehensive and compatible. Other studies in this cluster suggest that BIM adoption should be facilitated in different businesses, such as green building BIM to meet sustainability objectives during the post-occupancy period [80].

479

480 3.2.2. Cluster B with the focus on GIS interoperability

481 Cluster B has 13 articles with a total of 749 references. Table 3 shows that this cluster 482 promotes BIM and GIS integration with a total of 280 references and the highest number of 483 referencing for GIS, interoperability and cloud at 158, 41 and 81 respectively. This accounts 484 for over 37% of all referencing of this cluster (280 out of 749). The average reference per 485 paper is 22, which is the highest within the cluster and across all clusters for this theme. 486

While the integration of GIS and BIM is discussed each year of the sample, this becomes an 487 important topic from 2016 (refer Table 2). For example, Fernández-Caramés et al. [105] 488 489 integrate a real-time location system with GIS due to its powerful spatial databases. They *[ibid]* discuss that GIS is a successful technology due to its interoperability. Different data 490 can be imported into GIS such as IFC models [106-108], Geography Markup Language 491 (GML) [109,105], Keyhole Markup Language (KML), or ESRI's shapefiles (SHP) [105]. 492 GML's simple feature profile and the SQL simple features describe similar geometries. GML 493 is an XML encoding offered by the Open Geospatial Consortium (OGC) providing uniform 494 495 geographic data storage and possibility of data exchanges [109]. Fernández-Caramés et al. [105] describe GIS as a 'fully human compatible' system because the design of the system is 496 497 based on its usability, processing of geospatial data and potential to run queries [105]. For

498 example, running a query can help to find a room or object with a given position and to 499 retrieve a list of options. Fernández-Caramés et al. [105] describe GIS interoperability as 500 outstanding among other systems. Although they carry out an experiment in an indoor area of 501 building, the localisation data is not visualised in BIM and related compatibility issues are not 502 discussed because they believe GIS has a better interoperability.

503 504

	Table 5. Summary of selected papers of Cluster B.					
Focus and method	Context/business and country	Compatibility issues and/or measures				
BIM integration with facilities management databases [63]	Employment of COBie and EcoDomus portal displaying data via Navisworks. Case study: Cinematic Arts Complex, US (2015)	Interoperability between BIM and facilities management software.				
GIS integration with real-time indoor localization [105]	Utilising an autonomous navigation system in an indoor area; visualisation of the data in GIS (2016)	GIS described as a 'fully human compatible' system with high interoperability with different formats such as IFC models, GML, KML, ESRI's SHP, GML's simple feature profile and the SQL simple features.				
Applications of BIM in electrical systems design [110]	Analysis of a case study of lighting design for a small office room inside a substation using an add-in tool to extract lighting information (2017)	Issues in automating the extraction of feeder schedule with voltage changes, single line charts, size adjustment of cables and circuit breakers based on approved codes, lack of standards and freeware BIM tools.				
Data Fusion and IndoorGML core module [111]	Using AnchorNode of IndoorGML (2018)	CityGML suggested improving interoperability by developing topology- based data fusion techniques				
Semantic web and BIM for representing heritage data [112]	Using LIDAR point cloud for modelling of an historic site in Northern Pakistan (2018)	Visualising a combination of structural and historical data; difficulties due to the differences in standards and heterogeneous data sets				

505 Note: Geography Markup Language (GML); Keyhole Markup Language (KML); ESRI's
 506 shapefiles (SHP)

507

Vilgertshofer and Borrmann [113] also examine BIM capability of parametric design and 508 confirm that the current BIM modelers are not flexible enough for defining parametric 509 510 dependencies in complicated cases. They also confirm that GIS is compatible with the need to develop geometric-semantic modelling by using levels of detail (LoDs) [114]. However, 511 they develop a model of capturing required knowledge for parametric modelling using a 512 different set of tools. They use API of GrGen.Net for rewriting the graphs and rules, and also 513 employ Autodesk Inventor as the 3D mechanical design communication due to its advanced 514 515 parametric tools.

516

517 There are several unreported issues in terms of the use of LIDAR Data Exchange File (LAS)

518 within a GIS environment which can be helpful for using point clouds at a building or a city

519 scale. Reading and writing on LAS files in ArcGIS has been a big challenge for several years.

520 While it is claimed that this problem is solved through either a GUI (see Figure 8) within

521 ArcGIS or FME (see Figure 9) as a standalone software, this problem still exists as LAS files

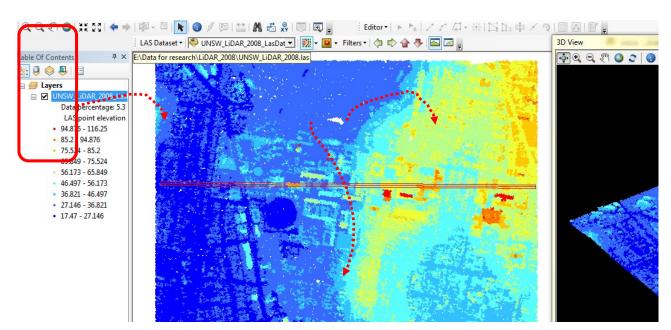
522 cannot be read or written. Indeed, both the GUI and FME are additional steps to change the 523 file format from *.*las* to *.*lasd*. There is a question whether any change from *.*lasd* created 524 for ArcGIS to .*las* format will keep the original attributes of the *.*las* file (refer to Figures 10 525 and 11).

526

Input Files		
Browse for:	Files	▼
E:\Data f	r research\LiDAR_2008\UNSW_LiDAR_2008.las	+
		×
		↓

Figure 8. Conversion of *.*las* to *.*lasd* file as an additional step for ArcGIS to read *.*las* file
by using a GUI in ArcGIS. Red boxes refer to an option for importing data and its detailed
information.

- 530
- 531



532

533 Figure 9. ArcGIS capability to only visualise the *.*lasd* file using the LAS dataset toolbar.

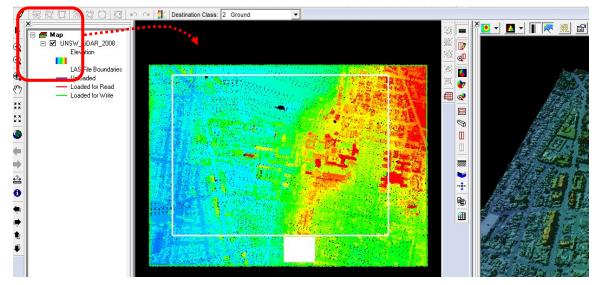


Figure 10. Required additional extension to ArcGIS for processing airborne lidar *.*las* file (such as classification and noise removal) in addition to the visualisation.

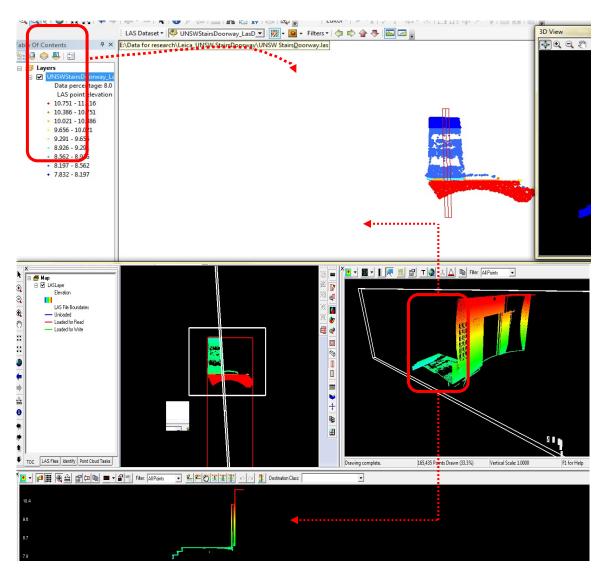


Figure 11. Terrestrial lidar data with a *.las file format of a selected building.

542 Yue et al. [89] discuss that one single model cannot accurately provide detailed information 543 for complex geo-analysis. They suggest that service standards should be extended including 544 web processing service. However, they do not offer any solution for BIM compatibility. 545 Ding, et al. [32] review applications of BIM and reveal a gap in integrating safety, quality 546 and emissions into BIM during construction. Thus, they discuss approaches for quantifying 547 these concepts, rather than the integration challenges.

548

Kensek [63] examines BIM compatibility with facility management systems including software packages and databases over time. Ding et al. [82] investigate BIM adoption factors in China using an integration with GIS. They find that 'compatibility' and 'integration' between BIM and other software packages are a critical issue in China. However, they [*ibid*] do not define the concept of compatibility in their investigation, nor use compatibility as a variable in their measurement scales, similar to BIM capability, motivation and behavioural intention.

556

557 Lee et al. [85] discuss a green template to be used in BIM for environmental assessment of a case building based on Korean standards. They outline that the process of green evaluation 558 using BIM is time consuming due to the challenges of data conversation between software 559 packages and the lack of data compatibility. Lee et al. [85] employ IMPACT as the current 560 evaluation tool established by the UK Building Research Establishment (BRE) and note that 561 562 some data formats are not supported by the energy analysis systems. They [ibid] report that the interoperability issue affects the reliability of embodied estimation and increases the 563 564 processing time. Sönmez [115] reviews papers on the architectural applications of computer vision and machine learning and semantic modelling. It is suggested [*ibid*] that computer 565 vision and BIM provide big data of the built environment. However, the main concern is how 566 567 to analyse and use such information rich data sets. Noor et al. [112] create a model that represents cultural heritage data with the integration of semantic web and BIM. They [ibid] 568 report that there are limitations to representing architectural objects and their construction 569 methods in heritage cases. They also find a lack of standards or common ontology in BIM 570 and three packages (CityGML, MIDAS and CIDOC-CRM) employed in their 571 572 experimentations.

573

Du et al. [116] outline a synchronization system for updating BIM changes in VR Oculus Rift 574 DK2 in an automatic manner, following their revelation of a general problem that the 575 conversation between BIM, including the project design data, and VR models is difficult and 576 time consuming. However, they report that this system should be tested on complex models. 577 The speed of synchronization will be affected where there are many interdependent elements 578 579 changed. They discuss two types of limitations, such as internal and external latency, 580 including delay in data entry, transmission, processing, perception, evaluation, judgement, 581 and response. Park et al. [111] also extend the list of limitations when examining logical and geometrical topological relations. They report that heterogenous data formats, models, spatial 582 resolutions and geometric resolution methods are key barriers of an efficient data fusion 583 practice. 584

585

586 3.2.3. Cluster C with the focus on AR/VR interoperability

587 Cluster C has 24 articles with a total of 1,586 references. Table 3 shows that the focus of 588 cluster C is on theme 3, accounting for 60% of all referencing of this cluster (948 out of 589 1,586). Within this theme, the number of references for compatibility, implementation, cloud 590 and VR/AR are 139, 155, 124 and 530 respectively. The average number of references per 591 paper is 40, which is the highest within the cluster and across all clusters for this theme.

592 Table 5 shows several related works in this area.

593 594

4 Table 6 . Summary of selected papers of Cluster C.						
Focus and method	Context/business and country	Compatibility issues and/or measures				
Compatible fall protection tool for bridge maintenance [22]	Using Autodesk Fusion 360 for presenting guardrails for case bridges in North Carolina (2018)	Suggested compatibility measures efficiency, cost-effectiveness and safety which are to be assessed prior to using fall protection systems.				
Review of virtual models [97]	Reviewing 119 papers from 2005 to 2015 (2018)	Full automated method of exchanging information between virtual models and other				

	2005 to 2015 (2018)	information between virtual models and other systems ignored.
Design of BIM-VR synchronization system [116]	Collection of BIM data from Revit, transfer using Cloud server based on IFC and display in VR headset using a game engine (2018)	Very difficult conversation between BIM and VR. Proposed solution to synchronise BIM changes in VR headset.

595

596 **4. Discussion**

597 This review contributes to the prevailing body of knowledge by identifying the overlooked factor of compatibility and clarifying the misconception of compatibility and interoperability 598 in the BIM-COM literature. While there has been extensive research conducted into 599 interoperability from a technical perspective [117,118], there is a notable paucity of 600 investigation into developing DOI theory by analysing contextual factors regarding 601 compatibility. In fact, compatibility as a contextual theory has largely been ignored. This 602 paper identifies three main thematic groupings within the BIM-COM literature namely: BIM 603 604 adoption; GIS; and AR/VR. Moreover, the research also identifies a knowledge gap in terms of developing a procedural model and relevant standards for integrating BIM with state-of-art 605 technologies over time. Volk et al. [119] report that interoperability challenges arise during 606 607 the lifetime of a building or infrastructure where the user still utilises the initial version of information models. Arayici et al. [120] state that the cross-organisational interoperability 608 specification development adopts the Information Delivery Manual recommended by 609 610 BuildingSMART. However, their recommendations mainly revolve around data rather than people and processes as the main parts of the adoption process [120]. Two approaches 611 regarding the 'compatibility' concept (refer to Table 7) are revealed and will be discussed 612 henceforth. 613

614

The first approach (contextual theory) uses compatibility as a measure of BIM diffusion at 615 the organisational or sector levels in a specific context, focusing on the value of a user's 616 organisation. While this is an important concept, first developed by Rogers in 1995, it has 617 largely been ignored until 2012. The BIM-COM literature shows that in 2012 the number of 618 references for compatibility is 4, but this frequency gradually increases to 138 in 2018 (refer 619 to Table 2). This is because the concept is core to scholars' arguments since BIM diffusion is 620 changing the target of adopters from architects to other disciplines, mainly construction 621 contractors. In construction, a wider range of technologies and algorithms are being used and 622 623 hence, it is important to use a compatible BIM with high interoperability. According to Haoues et al. [103] compatibility and interoperability are key measures of software product 624 standards that have not been directly discussed in recent BIM standard investigations. 625

626

627 In this approach, compatibility is known as a key measurement of the rate of BIM adoption

628 (cf. Davies and Harty [69]; Son et al. [52]; and Gledson and Greenwood [71]). These 629 aforementioned papers investigate the important factor from the perspective of the user or 630 potential adopter. However, the concepts of compatibility and interoperability are used 631 differently in these articles, since there is not any unique measure defined for the 632 compatibility concept for BIM or specific information technologies (IT) in construction.

633

634 Based on the identified factors in the compatibility literature, and experiences of GIS applications, a list of measures for assessing compatibility is provided. This list is critical for 635 practitioners in assessing to what extent a proposed BIM application is compatible to their 636 637 organisational values and it is useful to innovators for increasing the level of compatibility of BIM applications when offering a new system. The present review suggests future 638 researchers must examine and improve compatibility and interoperability of BIM applications 639 in different contexts [82]. There is also a contribution by identifying that integration of BIM 640 with other methods, to extend BIM applications and therefore address current needs, will 641 642 increase BIM adoption.

643

644 Cluster A suggests that compatibility and interoperability doggedly persist as major 645 impediments for successful BIM adoption [53,69,52]. For example, Gourlis and Kovacic [53] 646 argue that 'One-Platform-BIM', as a one-stop shop solution, is required to be widely and 647 successfully used in different complicated projects. Theme 1 suggests the necessity of a 648 mechanism for efficient data and model exchange between different users and software 649 programs.

650

651 Within the BIM-COM cluster a variety of desirable BIM applications are mentioned, such as automated life cycle costing [53], spontaneous cost estimation for each item in the bill of 652 653 quantity [104] and material emission footprints [53]. This work [cf. 22, 76] discusses that the existing data exchange challenge requires a long gestation period in construction. Further 654 applications identified require the possibility of full integration of BIM with power system 655 analysis tools, power demand estimation methods and/or renewable energy estimation 656 algorithms [110]. For example, Farooq et al. [110] suggest the development of common 657 standards and offer freeware applications of BIM (refer to cluster B). The systematic review 658 presented here reveals that compatibility should be assessed prior to utilising information 659 modelling applications in any construction project [116, 22]. For example, Zuluaga and 660 Albert [22] suggest that departments of transportation in North Carolina should measure the 661 compatibility of protection devices with their bridge projects prior to procurement. They 662 propose the compatibility values of efficiency, cost-effectiveness and safety which should be 663 addressed by virtual prototyping in their examinations. The content analysis specifically 664 shows that previous studies complain of the difficulty of the conversation between BIM data 665 and other technologies such as AR, VR and GIS [82]. For example, Du et al. [116] recently 666 report that the lack of automated data transfer methods between BIM and VR makes the 667 conversation between the two difficult. Du et al. [116] conclude that the latency between 668 BIM and VR is one of the barriers for VR adoption in the construction projects. 669

670

As a future direction, BIM-COM could be further extended to explore the detailed barriers of adoption and implementation in different contexts which implies several directions for future investigations. These findings stimulate wider discourse as to whether the current means of analysing BIM adoption and implementation are correct? Since compatibility is a critical factor of technology diffusion, researchers should investigate the values of companies which may relate to the scale of the company in terms of size (small to large). In a specific context, Mostafa et al. [35] investigate barriers of BIM adoption in the prefabrication industry, but 678 they also suggest that case study based investigations are required in this sector and other 679 countries to identify context based factors.

680

The second approach (technical) uses compatibility and interoperability interchangeably or 681 only focuses on interoperability of BIM with other software programs, which can be a 682 measure of success of the implementation process. The present paper carefully defines 683 compatibility as the main factor of BIM diffusion; this is different to interoperability which 684 tends to enable different systems to work together with consideration to technical 685 specifications, languages and standards. For example, the review shows that GIS users 686 687 experience a higher interoperability when using GIS in their experimentations. Fernández-Caramés et al. [105] describe GIS interoperability as outstanding among other systems and as 688 a 'fully human compatible' system because the design of the system is based on its usability, 689 processing of geospatial data and the potential to run queries, such as to help find a room or 690 object with a given position and to retrieve a list of options. Fernández-Caramés et al. [105] 691 point out that GIS supports different formats such as IFC models [106], Keyhole Markup 692 Language (KML), ESRI's shapefiles (SHP), compatibility of GML Simple Features Profile 693 and the Simple Features for SQL due to similarity of structure and geometries [105,109]. 694 However, recent studies shows that BIM and GIS still cannot directly be linked together 695 696 [121].

697

698 In the second approach, the interoperability of BIM with current systems and software packages is necessary to facilitate the implementation of BIM before the user becomes 699 disheartened with using BIM or vendors offer replacement software, GUI or proxies that 700 increase BIM implementation costs and frequently require additional staff training. This is in 701 line with the literature mentioning that interoperability is a crucial requirement for increasing 702 703 BIM adoption in the industry [62]. However, many articles do not discuss the interoperability issues. For example, in 2013 the number of references for AR/VR is 352 - the highest in the 704 705 review period (refer to Table 2). Wang et al. [75] tend to explore implications of AR for future studies. Bae et al. [79] report that they could successfully generate 3D point cloud 706 models of a target scene and claim that their technique is up to 35 times quicker than other 707 708 Structure-from-Motion (SfM) algorithms. However, this study did not report challenges of 709 compatibility of BIM with any other tools they used, since they practically did not develop any BIM. They suggest that a practitioner can create BIM by drawing lines on the 710 photographs generated in their experimentations, but the issue of interoperability is not 711 712 mentioned.

713

714 Several papers promote the integration of BIM and AR/VR [84,83,116]. For example, 715 Irizarry et al. [84] suggest that the integration of BIM and mobile AR can enhance decision support systems and provide a collaborative environment for solving daily issues in facility 716 717 management, particularly in complex facilities such as hospitals. However, recent studies confirm that the manual process of transferring data from BIM to VR displays is a time-718 consuming task. Several studies express concerns about the lack of automated mechanism or 719 efficient synchronization system for the conversation of rich data between BIM and VR 720 721 [116]. In 2018, several papers within the study sample mainly discuss AR [96,97] whilst other key papers focus on VR [116,22]. Chu et al. [96] evaluate a mobile and cloud-based 722 BIM AR tool by conducting a survey. They demonstrate that the existing 2D drawings can be 723 modified using a marker tool and this may improve task efficiency in construction. Alsafouri 724 and Ayer [97] review papers relevant to facilitation of information flow between stakeholders 725 in virtual and real construction sites. They find that about 70% of articles examine a 726 unidirectional flow of information, mainly from a construction site or a virtual model. They 727

728 *[ibid]* also note that around 26% of the included papers mention a bidirectional information flow, in which the data is accessible from both a virtual model and real site. The review 729 reveals that the majority of papers examine non-automated systems, and that automation 730 receives less attention. This is why the interoperability issues of the relevant software 731 programs were not fully identified previously. Du et al. [116] design an automated 732 synchronization system for updating BIM changes in VR Oculus Rift DK2 and find a general 733 problem that the conversation between BIM and VR models is difficult and time consuming. 734 However, they report that this system should be tested on complex models. The speed of 735 synchronization will be affected where many interdependent elements are changed 736 737 simultaneously. In another paper, Zuluaga and Albert [22] propose a compatible system for 738 bridge maintenance for fall protection.

739 740

Table 7. Summary of the clusters' arguments and gaps in the literature.

Two approaches in	Core focus/argument	Summary of issues for using BIM and
BIM-COM literature	_	future directions
Approach 1 (contextual): a measure of BIM at the organisational and community levels.	Concepts of BIM diffusion, compatibility at organisational level; investigation of BIM adoption rate.	Reported challenges of: compatibility issues with different stakeholders; difficulty of data exchange; missing or redundant data; data recognition, mapping and transferring issues; inconsistencies in generated data; lack of required data or unwanted generated data and manual re- entering data; authentication; anomalous detection; and format preserving. Use of BIM for productivity measures, cost estimating, cultural heritage and facility management.
Approach 2 (technical): a measure of BIM implementation and its integration with other systems, including GIS or papers inspired from GIS practices and reference these papers.	Interoperability at technical levels; cases from integration of BIM and GIS; facilitation of BIM implementation and extension of BIM applications	Heterogenous data models, formats, different spatial resolutions, and geometric representation methods, delay in data entry, transmission, processing, perception, evaluation, judgement, response, and overall electronic data interchange, specifically for energy saving and life cycle assessment tools with BIM.

741

The value proposition on interoperability is confirmed by previous studies [61]. The review 742 743 shows that there is a large gap in compatibility investigations and interoperability issues in terms of the integration of BIM applications and energy modelling and carbon embodied 744 estimation tools, including faulty data exchange and interoperability [99,122,123,53,85]. 745 Currently, the data from BIM applications cannot be directly used in energy modelling tools; 746 data re-entry is necessary and/or employment of different GUIs such as OpenStudio, 747 DesignBuilder, Hevacomp, Simergy, BEopt for EnergyPlus, and GBS, eQuest, and RIUSKA 748 749 for DOE2 [99,124,125]. The conversation between BIM and energy modelling tools results in data loss and is experienced as a time-consuming practice [99,53]. Recent papers frequently 750 suggest that future studies should resolve the current challenges of interoperability of BIM 751 with energy saving and life cycle assessment tools [Muller [25]. 752

753

As an additional future direction, all relevant technologies being used by different companies in the construction process should be investigated and classified to find out the various 756 interoperability needs of the different businesses in construction. In fact, there is a need to identify how the process of integrating GIS, AR/VR or 3D printing, or the exchange of data 757 between different BIM authoring programs such as Revit and Tekla, can be fully automated 758 to address business needs and facilitate the diffusion process. For example in 2019, Sacks et 759 al. [31] report the results of an experiment using BIM in fabrication of precast façades where 760 numerous errors are encountered. These include the erroneous process of importing grid lines 761 762 that were assigned into IFC 'proxy' objects, and thus were unable to exchange some of nonstandard cross-sections using IFC. The current practices of 3D printing and robotic 763 construction show that BIM has the potential to generate the required nodes and edges of a 764 765 3D information model, which can automatically provide deposition, idle and rotation of 766 extruder to minimize construction time and optimise a robot arm or a 3D printer extruder path [126]. However, there are serious limitations in using the current prototypes for robotic 767 control with different algorithms and movement mechanisms reported in 2018 [127]. 768 769

- Mzyece et al. [128] recommend that future studies should concentrate focus on determining 770 771 the degree of interoperability between BIM and the construction design and management obligations and regulations to facilitate BIM adoption in a proactive manner. While IoT is 772 increasingly being used in construction, the literature expounds a desire to move towards full 773 integration of AR/VR [116], point clouds, GIS, IoT, RFID [32] with BIM [60] and BIM 774 775 Cloud [56]. More investigations are also required to develop semantic web technologies 776 empowered by DF, SPARQL, OWL and SKOS to convey meaning between BIM and GIS, since these technologies are developing and need to further mature [129]. The review also 777 778 illustrates concerns regards applying machine learning, computer vision, semantic modelling and classification on the rich source of information of BIM [115]. Wu [130] also suggests the 779 development of different algorithms to classify each object in an IFC model automatically 780 781 using deep learning. The prevailing lack of discourse between these technologies and BIM is observed as a key factor impeding the adoption rate of advanced technologies such as VR 782 [116]. The review also suggests developing data fusion methods for geometric and spatial 783 data including topological relations of spatial objects [111]. 784
- 785

786 This paper presents avenues for future studies linked to each identified theme which can help 787 to facilitate the full application of BIM. This will occur when BIM potential for data and model exchange is increased. Another important issue is related to legacy systems [131], 788 where the organisation still wishes to use previous or outdated systems due to internal values 789 790 and policy. This issue is related to the nature of an organisation and their tasks and missions, 791 where advanced systems are not desirable to the organisation. This should be investigated as a compatibility issue. Costin and Eastman [117] provide some examples, such as the use of 8-792 793 inch floppy disks by the Department of the Treasury when using assembly language code (ASM). In general, the reasons can be dollar saving, security or any other limitation. 794 795 Therefore, compatibility and interoperability interfere at some points and should be 796 investigated as future directions. 797

798 **5.** Conclusions

This paper aimed to identify and analyse articles related to compatibility as the main component of DOI theory which should be examined over time and in different contexts. The literature sources around this concept were identified and the BIM compatibility (BIM-COM) database including these relevant articles was created. This database showed an important gap in BIM adoption theory when considering the key measures of compatibility and interoperability in a systematic way. A total number of 131 articles were analysed to explore trends over time and specifically 57 articles were selected based on the BIM-COM selected criteria for a detailed critical content analysis (cluster and thematic analysis) which would lead to the development of a deeper understanding of the current challenges in the literature and future directions. The cluster analysis resulted in three main clusters (A, B, and C) which were critically analysed against three main themes of BIM adoption, GIS and VR/AR interoperability issues.

811

This paper presented a conceptual framework, including main clusters and themes, to assist in 812 extending and applying the DOI theory. It also elaborates upon how interoperability and 813 814 compatibility are closely, and sometimes interchangeably, used in the BIM-COM literature. Contributions are made to the body of knowledge by identifying three themes and 815 distinguishing the differences of these two critical concepts. Interoperability needs to be 816 considered as one of the technology adoption model measures for successful BIM 817 implementation at the technical level. The BIM-COM literature shows that articles examine 818 BIM interoperability with other programs at the time of the experimentation. The 819 examination of interoperability issues should be investigated at regular temporal intervals 820 (possibly annually) because software programs are advancing exponentially and 821 simultaneously, and new compatibility issues occur as software (and integration of different 822 software) progressively develops. However, the present systematic review illustrates that the 823 824 concept of compatibility has been overlooked. This concept is a contextual factor which can 825 be used to measure BIM adoption at the organisational level.

826

827 The present article suggests that future studies should examine compatibility as a key 828 construct of the BIM adoption model and specific measures should be determined by scholars to enable practitioners to predict the level of BIM compatibility in different contexts. In 829 830 addition, the paper also reviews the perceived challenges of interoperability as the key practical barriers of BIM implementation and how the issues have shifted from basic formats 831 in early 2000 to the variety of current complicated interoperability issues related to emerging 832 digital technologies. A large knowledge gap is identified for improving compatibility in 833 construction organisations. The compatibility concept should also be understood by 834 construction companies in order to assess their needs, experience and infrastructures before 835 they make the final BIM adoption decision. The study's findings help to extend BIM 836 applications and speed up the adoption rate by easy conversations of data and model among 837 stakeholders with different needs and using different formats. 838 839

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