Governance of Conservation Area Boundaries: Agents and Agencies in Decision Making

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ABSTRACT

Conservation areas are a very common and popular planning tool for UK local planning authorities; but the basis on which related decisions are made often remains obscure. This paper provides an example of the changes in the decision-making framework for conservation area boundaries from the 1970s to the 2010s in Cardiff, Wales. It sheds light on the governance documents of the local planning authority and reflects on the parts played by different types of planning-related agencies. Based on this example, the paper is able to examine the relationship between regeneration and conservation in a typical British city since 1970s.

KEYWORDS

Conservation areas; boundaries; agents; decision-making framework; regeneration

Introduction

Urban conservation has been a major factor in managing UK cities for over half a century, since recognition of the problems of over-rapid growth and redevelopment led to legislation allowing the designation of conservation areas. Owing to the differing legal and administrative structures of the countries forming the United Kingdom, however, much of the research has focused on the effects in England (Pendlebury & Strange, 2011; Historic Environment Forum, 2017). Conservation areas are a common, popular and comprehensible planning tool (Scrase, 1991), with their number growing from the first designation in 1967 to 'approximately 9866' in England, 600 in Scotland, 500 in Wales and 59 in Northern Ireland (Historic Environment Forum, 2018, p. 9; Scottish Executive, Development Department, 2019; Cadw, 2017; Planning Portal, 2019). These are areas 'of special architectural or historical interest, the character or appearance of which it is desirable to preserve or enhance' (1967 Civic Amenities Act, as amended). But popular does not mean unproblematic. In England, 517 designated areas (6% of the total) are considered 'at risk', 33% of conservation staff have been lost since 2007 (Historic Environment Forum, 2017) and the public campaigning organization Civic Voice (2017) has sought to raise awareness of the value of conservation areas and their need for positive management. In this respect, it is not only the designation of new areas that is of interest, but the ongoing evaluation and amendment of existing areas (see, for example, Historic Environment Forum, 2017, Figures 1 and 2); for conservation is and should be 'a dynamic force in shaping the planning of the contemporary city' (Pendlebury & Strange, 2011, p. 36). The precise placement of area boundaries, the reasons for these decisions, and the involvement of stakeholders and the way in which they interact and influence the decision-making processes are potentially problematic issues. Anomalies include designations often using street centrelines, yet people experience both sides of the street; some designated areas containing undesignated exclusions; and boundaries excluding features of undoubted interest (Larkham, 1997; Larkham & Morton, 2011). These facts call for a more careful investigation into the decision of conservation area boundaries.

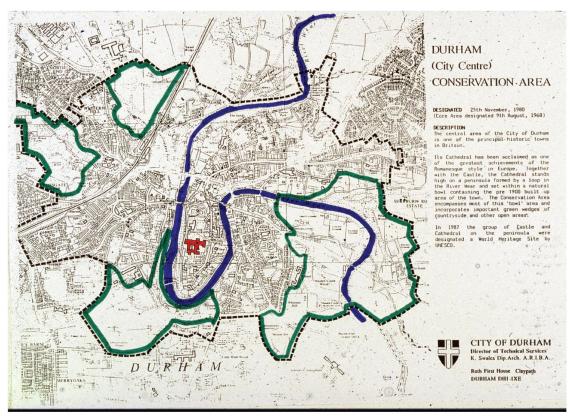


Figure 1. Durham city conservation area in 1980. The bold outlines identify the 'green wedges'. *Source*: from Durham City Council, annotated by Peter J. Larkham.

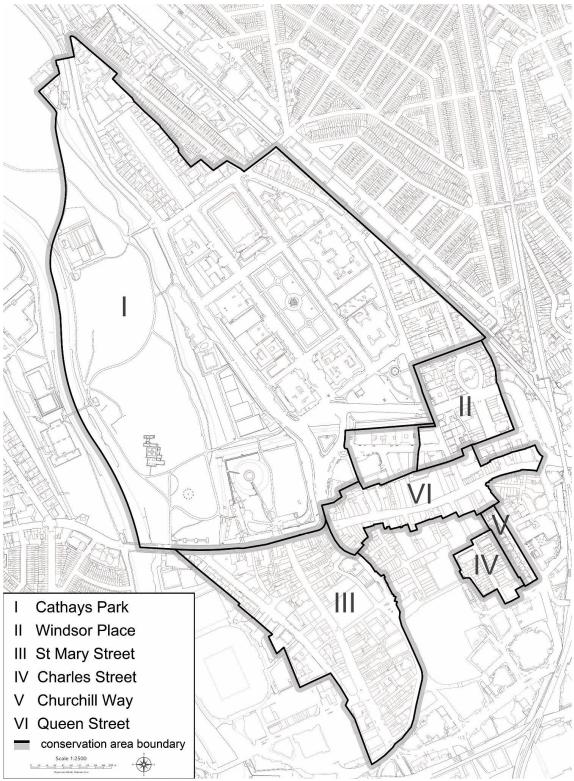


Figure 2. The six city-centre conservation areas, 2019. *Source*: Cardiff Council. *Source*: based on Ordnance Survey/digimap: Crown copyright and database rights 2019 Ordnance Survey.

In addition to the practical significance, the issue of conservation areas has generated long-lasting interest in the disciplines of urban morphology and heritage research. In urban morphology studies, researchers have been discussing the boundaries of conservation areas for decades, finding that in most cities examined there is a difference between conservation area boundaries and the boundaries of landscape units identified through academic study (e.g. Larkham, 1990; Bienstman, 2007; Whitehand, 2009). The difference has been ascribed to the lack of knowledge of the urban landscape; but a more

plausible explanation has been identified, acknowledging the difference in the functions and the generation processes of the two kinds of boundaries. The conservation area, as a policy with spatial attributes, could be innately different from the identification of landscape units, which do not involve the process of negotiation and compromise between agents (Larkham, 2000; Larkham & Morton, 2011). More needs to be done in exploring the nature of the decision-making process and the identification of agents as decision-makers relating to conservation areas (Birkhamshaw & Whitehand, 2012). Researchers in heritage conservation have also highlighted the important relationship between conservation areas and

the system of governance (Pendlebury, 1999; Pendlebury & Strange, 2011). The identification and conservation of 'heritage' could be seen as the selective utilization of built environment constructed by the societal, political and economic processes (Ashworth & Graham, 2005; Pendlebury, 2009; McDowell, 2016). In this way, the boundaries of conservation areas stand out as ideal examples reflecting the fact that heritage is subjected to either the planning and governance system or the ideologies like consumerism or neoliberalism, as was put forward by Nasser (2003). To explore this interrelationship more fully, a case study on the boundary changes of conservation areas in Cardiff city centre has been undertaken. This could help not only in the debate in urban morphology relating to conservation and urban change, but can also contribute to the detailed study of how the governance of conservation areas is constructed by social agents.

Generally speaking, scholars have realized the importance of connecting conservation areas (and the much wider issue of heritage) to the governance of boundary problems at the scale of cities (or regions), as the conservation of the historical environment is deeply embedded into the management of place and the decision-making framework of different types of agents (Pendlebury, 2009; Su, 2010). Although not dealing in detail with the changes made by agents to the boundaries of conservation areas, the ways in which agents of urban governance link to the conservation issue have been well depicted (Simpson & Chapman, 1999). Hence, processes of decision-making relating to urban conservation area boundaries are worthy of investigation to provide a more spatial and detailed observance on the processes of conservation area management and governance. Chinese heritage researchers have also noticed this, as the study of governance frameworks underpinning the enhancement of historic districts has just begun in China (Hu, 2013; He & Deng, 2014).

With this background, the paper aims to integrate reflections on conservation, agents and agency, and governance from a variety of domains of thought with a particular emphasis on the changing decision-making framework relating to conservation area boundaries. This is undertaken through the identification, analysis and reflection on the boundary changes of six conservation areas in Cardiff city centre from 1975 to 2012, mediated through wider research and experience of conservation areas in the UK. The studying time period is under consideration of the availability of documents and the change in governance of conservation areas in Cardiff city centre. In 1975 the first conservation area in Cardiff city centre was designated. In 2012, Welsh Labour gained control of Cardiff Council and further reforms were undertaken. Not all of the post-2012 documents were available to the authors; therefore, it is appropriate to use this as the end-date of the research. The time-tracking and the systematic study of the decision-making framework for changes to conservation area boundaries are the major features of this study. A deeper understanding about the agents behind the governance of conservation areas could facilitate a stronger connection between research and practice in relation to urban conservation areas; extending the evidence

base of surveys of practice (Historic Environment Forum, 2017) and responding to issues of a wider lack of understanding of conservation (Larkham, 2000; Civic Voice, 2017).

The Research Focus Clarification of the Concepts

The 'conservation area' considered in this paper is limited to UK conservation areas, of which the great majority (and the original but unstated intent of the 1967 Act) are settlement-based. Their legal definition is complex, with the paired terms 'architectural or historical', 'character or appearance' and 'preserve or enhance' having a long history of challenge (cf Larkham, 1996b, chapter 4). What should be noticed, however, is that the 'character or appearance' could be a flexible definition and could be cited by local planning authorities to include plots and blocks that have undergone redevelopment. It could also be

used to exclude features of apparent interest. This flexibility, and the variety of interpretations, underpinned the prominent debate on 'debasing the coinage' of designations in the 1990s (e.g. Morton, 1991). This paper focuses specifically on the conservation area; the wider conception of 'conservation planning' (Pendlebury, 2013) will not be discussed.

Governance, or the decision-making framework, as another important focus of this paper, commonly relates to the mix of all kinds of governing efforts by all manners of social-political actors, public as well as private (Kooiman, 2003). Thus, the governance of conservation areas may include governmental and non-governmental agents and those between, which could be termed advisory groups as most function in this way.

The Case of Cardiff

The decision to use Cardiff city centre for a time-series study of conservation area boundaries was shaped by the area's typicality (in relation to the 'core cities' of Pendlebury & Strange, 2011, for example), the availability of a suitable group of close and changing designated areas, and the lack of existing research on its conservation – although the city's regeneration has been well explored (Hooper & Punter, 2007). As the largest city and political centre of Wales developed mainly in the Victorian period with a history traced

back to the Roman occupation, Cardiff is very typical of industrial cities with cultural significance. Therefore, the conservation of Cardiff could be strongly related to the regeneration of post-industrial urban economies and the governance of Cardiff conservation areas was likely to involve different forces and agents with complex inter-relationships.

Cardiff was made a county borough after the 1888 Local Government Act and gained city status in 1905. Following the 1972 Local Government Act, in 1974 Cardiff became a district of South Glamorgan County (SGC) and lost its independent status. Most of the conservation area designations were made during this time when Cardiff City Council was subject to the influence of South Glamorgan County Council.

In 1996 Cardiff regained its independence when becoming a unitary authority with the abolition of SGC.

This paper studies the boundary changes of the six conservation areas in Cardiff city centre from 1975 to 2012, a period when the city began its post-industrial regeneration and, at the same time, recognized the importance of the conservation of the historic environment. This is done by reviewing the publicly available historic documents of Cardiff Council (Table 1), comparison of the changing boundaries with the Ordnance Survey maps of Cardiff city centre 1975–2012 which reflect the changing urban fabric,

Table 1. Cardiff Council documents used in the study.

and interviewing a key decision-maker.

Date	Name of document
10th Jan. 1975	City Planning Officer's Report to Planning and Development Committee
2nd May 1978	City Planning Officer's Report to Planning and Development Committee
11th Feb. 1983	City Planning Officer's Report to Planning and Development Committee
7th Dec. 1984	City Planning and Development Officer's Report to Planning and Development Committee
10th May 1988	City Planning and Development Officer's Report to Planning and Development Committee
30th Jun. 1988	City Planning and Development Officer's Report to Planning and Development Committee
11th Oct. 1988	City Planning and Development Officer's Report to Planning and Development Committee
Jan. 1992	A Conservation Strategy
27th Feb. 1992	Central Area Conservation Area Boundaries Review to Planning and Development Committee
Jan. 1993	Churchill Way Conservation Area Planning Brief
Sep. 1997	City and County of Cardiff Conservation Area Strategy approved by Planning Committee
21st July 2004	Planning Committee's report of the check to the council on the appointment of Conservation Groups
11th April 2006	Corporate Director's Report to the Executive Business Meeting on St. Mary Street Conservation Area
	Appraisal
1st April 2009	Corporate Director's Report to the Executive Business Meeting on Cathays Park, Charles Street,
	Churchill Way, Queen Street and Windsor Place Conservation Area Appraisals
14th July 2010	Planning Committee's report of the check to the council on the appointment of Conservation Groups,
	14th July.
14th July 2010	Report on Appointment of Conservation Groups from the Clerk to the Council and Planning Committee
13th July 2011	The Chief Officer Legal & Democratic Services Report on Appointment of Conservation Groups to
	Cardiff Council and Planning Committee

Table 2. Agents involved in the 1984 extension of Central Area Conservation Area No. 1.

Lock-Necrews Hill and Partners, Chartered Architects

Requested that the City Council consider extending the boundary of the Central Area Conservation Area No.1 to incorporate 3–25 Westgate Street Resolved to support the request raised by The boundary was extended to include area larger than that had been proposed by architects

Central Area Conservation Group City Planning and Development Officer

Suggested the boundary of the Central Area Conservation Area No.1 be extended to include the whole of Womanby Street and the east side of Westgate Street north of Quay Street

The Research Approaches Agent, Agency and Agent-network

'Agency' is a widely used although admittedly problematic concept. In sociology, for example, the term has 'maintained an elusive, albeit resonant, vagueness; it has all too seldom inspired systematic analysis' (Emirbayer & Mische, 1998, p. 962). However, accepting these complexities in other disciplines, the idea as applied in planning and the closely related field of urban morphology is simple. 'Agency' comprises the range of processes by which things happen in the urban landscape. This may be a decisionmaking process, where the decision-makers can be identified, the processes by which decisions are made can be explored, and the consequences for built form identified – whether in the past, present or future. The study of urban landscapes has been linked more explicitly to the types of agents and the specific organizations and individuals responsible for their creation. 'Each pursues particular goals, the nature of which can result in conflict over the form of the built environment. It is important therefore to understand the motives underlying the behaviour of these key agents' (Pacione, 1991, p. 162). Agents and their networks have been a focus of interest in the multi-national and multi-disciplinary field of urban morphology and this method has been widely applied (Larkham, 2019). Whitehand established a method to study the evolution of individual plots by identifying agents and tracing actions from building plan applications, an approach which underpins this paper (Whitehand & Whitehand, 1984; Whitehand, 1989). Gordon (1984) constructed a conceptual framework in which decision-makers were seen as 'actors' on the 'stage' of changing urban form – although he also suggested 'decision agents' and 'contextual factors' as alternative terms less likely to imply active and passive roles. In the morphological tradition Larkham (1988) identified agents as 'direct' or 'indirect'. The direct groups included initiators of proposals, architects, site owners and specialist consultants. Indirect agents include local amenity groups, the public and local authority planning officers and committees, although subsequent work has emphasized the input of the planning system and of some individual planning officers, so in some circumstances, they should perhaps be viewed as 'direct' agents. In the case of conservation area boundary change, the agents

involved categorized as direct and indirect and their changing relationships could be very different. It is important for this paper to specify the application of the method to study agents in the realm of environmental studies. They are commonly related to as 'actor factors' and agents are used to simulate multi-agent planning processes (Simon, 1996; Deadman, 1999; Van der Valk, 2002; Torrens, 2003; Ligtenberg *et al.*, 2004). In other circumstances, agents are manipulated to form quantitative models (Gaube & Remesch, 2013) on environmental issues. Similar research has been done in urban studies using cellular automata to simulate the process of urban planning and landscape generation (Batty, 2009; Kuo & Zausinger, 2010). The connections and interactions between agents that have been particularly emphasized in these investigations are essential underpinnings for the present research.

The 'agent' is also a core concept in the field of management and political science. The classification of agents into different types by their nature (instead of how they become involved in the decision-making process) could be important and offers another way to investigate agents distinct from the 'direct-indirect' dichotomy. Lunenburg (2010), for example, has divided agents into 'outside pressure type', 'people-change-technology type', 'analysis-for-the-top type' and 'organization-development type'. This includes those who campaign, offer suggestions or make decisions. When related to the decision-making process for conservation areas, the relation to government could be a core consideration to distinguish different kinds of agents.

This paper seeks to utilize all the research methods on 'agent' discussed above to investigate the agents underpinning each of the decisions made on amending the boundaries of Cardiff city centre conservation areas. It attempts to divide those agents to both direct-indirect types and governmental, semi-governmental and nongovernmental types. The aims and interactions of those different types of agents and the extent of their influence on the final decisions are carefully discussed. On this basis, a framework for the connections of agents making decisions on the boundary changes in distinctive historical periods is developed.

Boundaries Created by Power and Policy

The conservation area considered here is, in fact, a specific public policy with spatial attributes. The spatial attributes of conservation areas show that the creation of these regions relates to the creation of their boundaries as demarcated by power (Barth, 2000; Jones, 2009; Minghi, 1963). For example, the conservation area in the centre of the city of Durham, as depicted in 1980, included large 'green wedges' which were clearly not areas of special character or appearance – 'special' being a key term highlighted in the legislation and subsequent court challenges (Figure 1). The local planning authority made this inclusion to protect the views of the cathedral and castle. This highlights the importance of boundary-making in the governance of conservation areas: the study of boundary changes reflects the changing power exerted by different types of agents.

The public policy nature of conservation areas justifies the examination of the ways in which agents participate in the processes of generating public policies. The participation of agents in the decision-making processes may depend on their individual social status, the structure of the decision-making framework and the decision-making approaches that are customarily used by these agents (Avelino & Rotmans, 2009; Lunenburg, 2012; Sabatier & Weible, 2007). The specific process of policy-making is commonly seen as either the top-down imposition or the bottom-up practice (Ostrom, 2009). The creation of policies (e.g. the designation and review of conservation areas) may include both of these processes with different streams such as a problem stream, a (potential) policy stream, a politics stream (relating to national mood, pressure-group campaigning and administrative or legislative turnover) and the policy window (chances to put policies into practice) (Zahariadis, 2003).

Generally, the streams of policy-making and the structure of the decision-making framework may influence the power held by agents relating to the governance of conservation areas, which further leads to the impact that agents could exert on the boundaries of conservation areas. Therefore, theories on boundaries and boundarymaking, power and policy-making help to investigate the governance of conservation area boundary changes.

Urban Governance: Production of Space and Urban Marketing

In order to further reflect the governance of Cardiff city centre conservation areas, some important theories should also be considered. These include the production of space, urban marketing and theories on planning practice in Britain, all of which help to understand the main features of the governance of British cities from the 1970s to the 2010s. As mentioned by Lefebvre (1974), the production of space has become a major way to reestablish the productive relations in western cities. Therefore, the motif of urban governance or planning has changed to the competition about space wherein cities, the containers of space, became the main players of this game (Jessop, 1997; Malecki, 2002; Cochrane, 2003). They are 'conceived as another capitalist product in that they are re-designed, commodified, packaged and then marketed/sold/traded as commodities to consumers in a marketplace' (Boland, 2007, p. 24). Therefore, the urban image, and associated key projects that help to create this image, becomes important for the development of cities.

In British planning practice, conservation has played an important part in the production of space and the marketing of cities in the post-war period. During the 1970s and 1980s under Thatcher and Major, the influence of the market was increasingly emphasized with the role of planning being reduced. Facing the centralized power of central government, local planning authorities (LPAs) found themselves able to exert less and less control, particularly following the establishment of 'enterprise zones' and 'simplified planning zones' which largely exempted businesses from the restrictions of local governments in terms of planning and business rates (Allmendinger & Thomas, 1998; Allmendinger, 2016). Not until Labour won

the 1997 election did the circumstances change. In this Conservative-controlled period, conservation areas were, in fact, unusual in remaining fully under the control of LPAs (Larkham & Barrett, 1998; Allmendinger & Tewdwr-Jones, 1999). Despite this, it is clear that the role of conservation areas has changed. Before 1997 they were used as a major tool for the management of (limited) urban regions by LPAs, while after 1997 they gradually lost this special place.

The Shifting Boundaries

Changing Conservation Areas and the Associated Documentation

In 1975, the first of six conservation areas in Cardiff city centre was designated (Figure 2) and the last amendment to their boundaries occurred in 2009. The designation and amendment activity was not evenly distributed over time, but tend to be clustered at points of socio-economic change. Committee reports cluster around 1975 and 1983, while the 1990 Planning (Listed Buildings and Conservation Areas) Act resulted in a cluster of reviews and strategies around 1992 (Figure 3). This is closely related to the pattern of designation and review activity in England (Larkham, 1996a).

There is a specific pattern in the number of conservation areas and boundary changes in documents before and after 1990. Committee reports were only made to discuss boundary changes before 1990, resulting in the number of conservation areas mentioned and the boundary changes made being almost the same. The 1990 Planning (Listed buildings and Conservation Areas) Act re-emphasized the need for conservation areas to be reviewed regularly. Therefore, reviews and strategies undertaken after 1990 were made mostly to evaluate conservation areas, leading to more conservation areas being mentioned in formal documentation although with little change made to them.

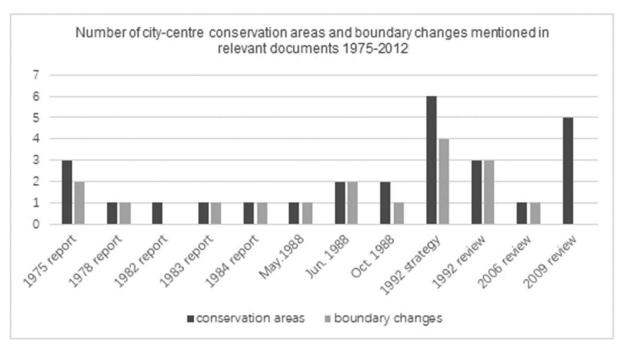


Figure 3. Numbers of conservation areas and boundary changes, as identified in official Cardiff Council documentation, 1975–2012. The 1997 conservation strategy and 1993 planning brief gave no information about agent participation or boundary change.

Tracking Conservation Areas in Cardiff City Centre

The city centre of Cardiff includes a castle, the civic centre to its north, which was once the administrative centre of Cardiff, and the medieval old town to its south. St Mary Street Conservation Area (previously known as Central Area Conservation Area No. 1) and Windsor Place Conservation Area (Central Area Conservation Area No. 2) were the first to be designated, in 1975. Queen Street Conservation Area was the last designated, in 1992.

St Mary Street was first considered for designation as a conservation area in 1975, following a suggestion from the Victorian Society in a letter to the Planning and Development Committee (PDC) on 2 December 1974. The City Planning Officer made a broad study of Cardiff city centre and identified St Mary Street, High Street and Church Street as containing 'outstanding value'. Buildings both inside and outside the area which the report suggested designating were studied. The City Planning Officer identified St John's Church, Hayes Island, the northern part of St Mary Street and High Street as well as façades fronting High Street, St Mary Street and Castle Street as having strategic importance for designation, all of which were located in the north part of the whole area. The southern part of St Mary Street, however, was assessed as not having the quality required for designation and several redevelopment applications were focused on this

area and were approved (see, for example, planning applications for the [listed] Prince of Wales Theatre, 65–74 St Mary Street, and 16–17 High Street). Consequently, in the committee report, the City Planning Officer suggested that the south part of St Mary Street should not be part of the new conservation area. These suggestions were approved and Central Area Conservation Area No. 1 was designated in July 1975.

Seven years afterwards, a letter from the Secretary of the Victorian Society to the City Council on 15 November 1982 requested the extension of the conservation area to include lower St Mary Street. The committee report of February 1983 echoed the letter by reviewing the building quality of the west side of lower St Mary Street. Under pressure from Victorian Society and given that the redevelopments were completed, the west side was recommended for designation. The east side, however, was regarded as not having 'the unity of scale of the west side and contains far fewer buildings of merit', despite the acknowledgement of the positive effect on the overall townscape of St Mary Street. The report used phrases such as 'awkward triangular shaped block' and 'variable architectural quality of the frontage' (Cardiff City Council, 1983). PDC accepted these suggestions and only the west side of the southern end of St Mary Street was added to the conservation area.

In 1984 Lock-Necrews Hill and Partners requested another alteration to Central Area Conservation Area No. 1. They were Chartered Architects undertaking refurbishment works on 13–23 Westgate Street, and the extension could encourage their potential clients by raising rents. In response, the planning officer reported on the potential for extending the boundary to incorporate 3–25 Westgate Street, where the rear elevations of buildings with important front façades mentioned in the 1975 report were situated.

Thanks to the 1975–84 environmental improvements, this area had acquired the quality to be considered for conservation area status. Therefore, 'the whole of Womanby Street and the east side of Westgate Street north of Quay Street' (Cardiff City Council, 1984) was proposed for inclusion. This was further supported by the Central Area Conservation Group (CACG). The area finally included was a little larger than the original proposal, including the east side of Womanby Street (Figure 4).

In 1988 a planning application for the redevelopment of Wyndham Arcade caused great protest. The applicants applied to Cadw for a Certificate of Immunity from listing, which perhaps went beyond the intentions of the city council. Consequently, local traders, Ward Members and the public asked the city council to reject the planning application (Cardiff City Council, 1988a). The Traders Association requested an extension of Central Area Conservation Area No. 1 to include the block of properties around the redevelopment site. Amenity groups including Cardiff 2000 (which later became the Civic Society) and the Wyndham Arcade Traders Association sought to persuade Cadw to recommend that the Arcade should be listed. The City Planning and Development Officer (the title had changed since 1984) and CACG, therefore, studied Wyndham Arcade, Caroline Street, southern St Mary Street and Mill Lane. They reached the same

conclusion as the 1983 report: that the architectural quality was mixed and the buildings not worth individual consideration. However, due to their contribution to the overall townscape of the city centre, their sensitive location and the emphasis on conservation initiatives from the City Council, an extension to the boundary could ensure that the setting of the area and of individual buildings was not adversely affected by 'inappropriate development'. Consequently, the City Planning and Development Officer suggested a boundary extension to include the 'block bounded by the eastern side of lower St Mary Street, Mill Lane and Caroline Street, including Brains Brewery and the northern side of Caroline Street' (Cardiff City Council, 1988a) and this was accepted.

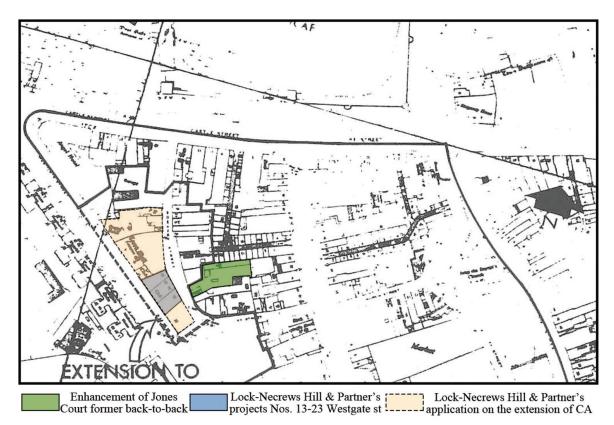


Figure 4. The change to the north boundary of St Mary Street conservation area (Central Area Conservation Area No.1) in 1984. *Source*: Cardiff City Council (1984).

The 1992 Conservation Strategy made changes to boundaries of conservation area in Cardiff as a whole. Proposal No. 4 requested that Central Area Conservation Area No. 1 be enlarged to include both sides of Westgate Street to retain the integrity of the street view (City of Cardiff, 1992a). Following the strategy, the 1992 review made further alterations. Besides changing the name to 'St Mary Street conservation area', it suggested that the western side of Westgate Street from Castle Street to Park Street should be considered for inclusion. This was later approved. The east side of St Mary Street conservation area was also expanded, though to a very limited extent, to include both sides of the Hayes (City of Cardiff, 1992b).

After 1997, conservation gradually seems to have lost its place in the governance of Cardiff city centre. After 1992, sixteen years passed without any formal documentation mentioning conservation area boundaries. The only two reviews, made in 2006 and 2009, respectively (Cardiff Council, 2006, 2009), contain no evidence of any change made to the existing boundaries. However, the current boundaries shown on official maps and the website of Cardiff Council are subtly different from the 1992 boundaries, specifically on The Hayes in the east and the junction of Wood Street and Westgate Street in the west (Figure 5). This could possibly be the result of technology change and data transfer rather than intentional alteration.

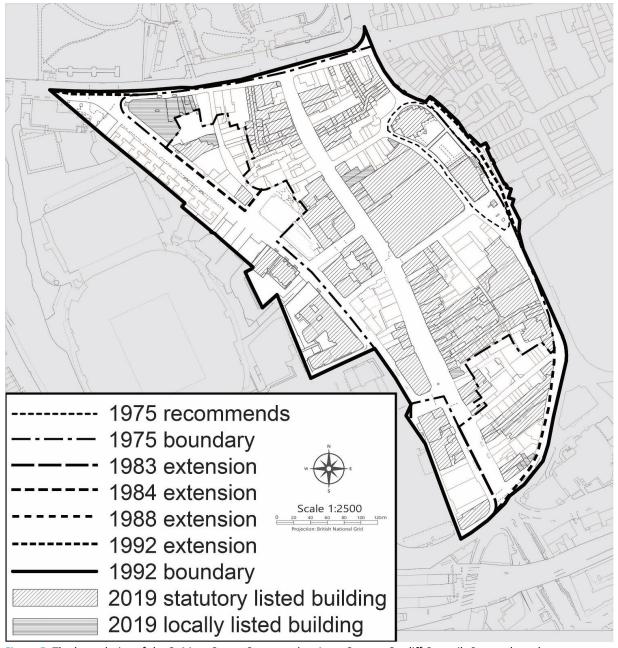


Figure 5. The boundaries of the St Mary Street Conservation Area. Source: Cardiff Council. *Source*: based on Ordnance Survey/digimap: Crown copyright and database rights 2019 Ordnance Survey.

Boundaries of the other City-centre Conservation Areas

Several other conservation areas in Cardiff city centre demonstrate the impressive changes made to conservation area boundaries. The boundary changes to Windsor Place Conservation Area came first (Figure 6). In 1988, CACG recommended the extension of the south boundary of the area to protect some buildings from redevelopment in Queen Street, which became the focus of development plans (Morgan, 1991; Hooper & Punter, 2007), and where a regional commercial attraction was proposed. PDC accepted this suggestion and resolved to extend Windsor Place to include area around the Park Hotel, which had been listed in 1974. In 1992, when the Queen Street redevelopment had been completed, the Queen Street protection buffer was removed and incorporated into the new Queen Street conservation area.

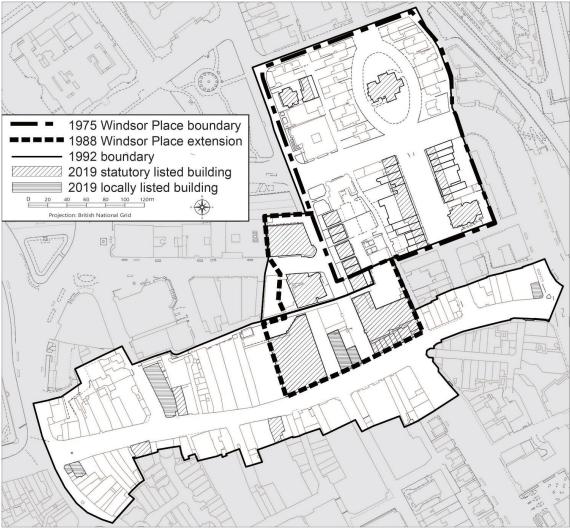


Figure 6. The boundary changes on Windsor Place and Queen Street. Source: Cardiff Council. *Source*: based on Ordnance Survey/digimap: Crown copyright and database rights 2019 Ordnance Survey.

Cathays Park (Figure 7) was not considered for designation in 1975, as most of the buildings in the studied area were statutorily or provisionally listed and all of the land was in the ownership of the local authority or governmental bodies (Cardiff City Council, 1975). This followed the idea that designation should serve a policy purpose, not be made for the sake of designating: the listing and landownership would provide sufficient protection (a common view at the time). The area's designation in 1978 resulted from a changed circumstance, the effect of Dutch Elm disease, as the then City Planning Officer suggested that designation would facilitate Central Government grants for the planting of replacement semi-mature lime trees. A large additional area including Bute Park and Park Place was designated to 'give the City Council control over the demolition of buildings, which are not protected by listed building status' (Cardiff City Council, 1978), although in 1975 it had been felt that their lack of unified character made them unsuitable for designation. Again, the apparent use of conservation designations as 'demolition control areas' was common at the time.

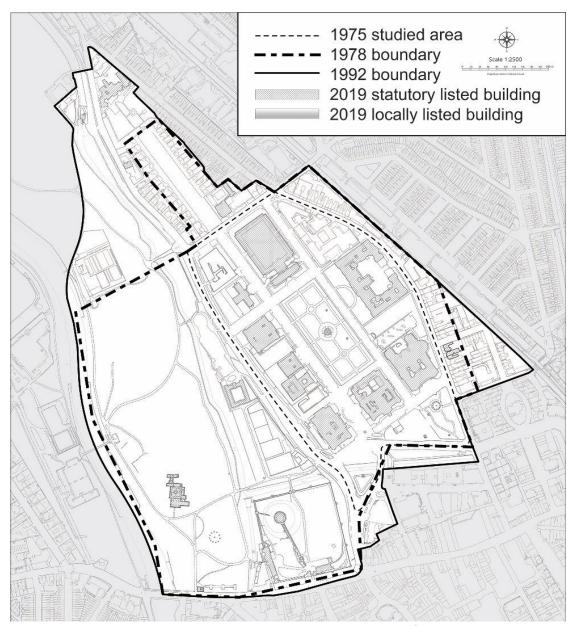


Figure 7. Boundary changes on Cathays Park Conservation Area. Source: Cardiff Council. *Source*: based on Ordnance Survey/digimap: Crown copyright and database rights 2019 Ordnance Survey.

Charles Street tells a different story in more recent years, when conservation planning gradually lost its place (Figure 8). The conservation area was designated to gain control over plots purchased by South Glamorgan County Council for the widening of Bridge Street (and perhaps even to stop SGCC's plans, see Cardiff City Council, 1988b). After the final designation in October 1988, the boundary of Charles Street Conservation Area never changed. However, the plot structure and landscape character have changed dramatically since 2006, when the demolition of Wesley Hall and annexes was approved. Four years later, in 2016, the approval of another application for construction of the new Bridge Street Exchange radically changed the character of the conservation area (Cardiff Council, 2016). This 24-floor commercial building straddles the area boundary, overshadowing the Victorian terraces and churches in the core of the conservation area (Figure 9). Surprisingly, there has been no review to deal with this fact and the south boundary has remained unchanged despite the drastic alteration of the plots and, therefore, of the character of the designated area.

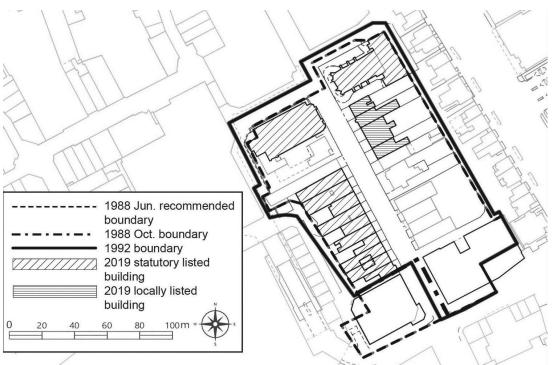


Figure 8. Changing boundary of Charles Street Conservation Area. Source: Cardiff Council. *Source*: based on Ordnance Survey/digimap: Crown copyright and database rights 2019 Ordnance Survey.



Figure 9. Bridge Street Exchange (in distance) and the Victorian terrace in Charles Street conservation area. Photograph taken by Luchuan Deng.

The Full Profile of the Boundary Changes

All of the boundary changes and crucial events accompanying them are represented in Figure 10, which shows that some of the conservation areas have experienced expansion during the 1970s and 1980s. St Mary Street and Cathays Park are two clear examples. As for Windsor Place, there was a shrinkage in the 1992 boundary change but, since this change was to facilitate the creation of the Queen Street

conservation area, the total area covered by the two conservation areas grew larger. In fact, Churchill Way is the only conservation area that remains unchanged in Cardiff city centre throughout the period studied. Charles Street conservation area shrank in 1988 due to the fact that the boundary was designated (in June) before a building application submitted earlier could be viewed (Cardiff City Council, 1988b, 1988c): PDC was, apparently, in too much of a hurry to get the conservation area designated. Therefore, virtually all of the conservation areas in Cardiff city centre have expanded at least a little, but the problem is why the process of review and expansion stopped after 1992. Between 1992 and 2012 there were only two conservation area reviews, one on St Mary Street while the other covered the remaining five conservation areas. Neither review made any change to the area boundaries.

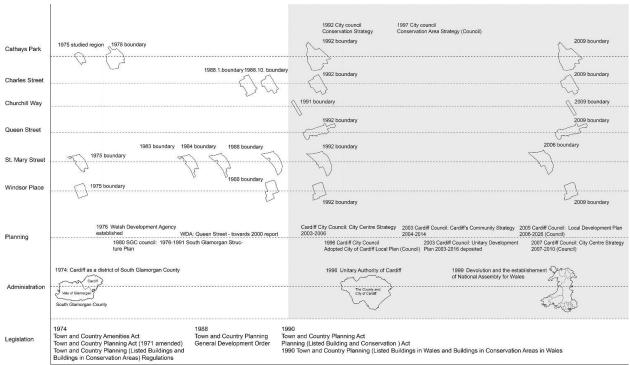


Figure 10. The process and background of boundary change in Cardiff's city-centre conservation areas.

However, there is a more fundamental question: why were the boundaries of Cardiff city centre conservation areas persistently expanding before 1992? The expansions were not always specifically for the protection of historic character or appearance, as is encouraged by the Civic Amenities Act and its successors. To answer this question it is necessary to carefully investigate the decision-makers for each of the expansions: who promote the boundary changes, and for what reasons?

Agents: The Creators of Boundary Change Different Types of Agents in the Governance of Conservation Areas

This paper divides agents in the conservation area decision-making process into governmental, semi-governmental and non-governmental categories. The governmental agents act on three distinct levels: city, county and the national level. Among these agents, the Planning and Development Committee, Executive Business Meeting (currently the cabinet), Planning Policy Committee (now no longer extant) and the Cardiff City Council itself could be identified as direct agents. PDC and EBM make final decisions on conservation areas (though they operate in different historic periods) while the council and PPC review whether these decisions are appropriate. The rest of the departments and institutions in Cardiff Council, the South Glamorgan County Council as well as institutions on Welsh national level (particularly the Welsh Development Agency which gave grants to local government) are identified as indirect agents.

The semi-governmental agent category relates directly to Conservation Groups, which were set up based on Welsh Office Planning Circular 61/81 in different parts of Wales. Conservation Groups consist of councillors, conservation area representatives and members of social groups (or non-governmental

agents, see Table 3, Cardiff Council, 2004). In 2004, Cardiff had five Conservation Groups, and among them, CACG (after 2004 it became the Central Area, Mount Stuart Square & Pierhead Conservation Group) is responsible for conservation areas in Cardiff city centre. Together all the Conservation Groups work as advisory bodies for Cardiff Council and are appointed by PDC. Before 1999, they drafted the policy for conservation areas and their boundaries, which makes them direct agents along with the governmental agents. However, Conservation Groups lost this place after the political reform of Cardiff Council in 1999 and became indirect agents, as groups with much less influence on the decision-making process for conservation areas. In 2012, when Labour again won the local election, the funding of them was

cut, and most of them are no longer constituted by Cardiff Council.

Table 3. The list of non-governmental agents in Cardiff Central Area, Mount Stuart Square & Pierhead Conservation Group in 2004.

Non-governmental agents Representatives Mr. M. Hockaday Victorian Society Mr. J. Hilling Society of Architects in Wales Mr. L. Williams Mr. S. Evans **Cardiff Civic Society** Ms. L. Mahoney one vacancy Cardiff Bay Business Forum Mr. A. Parker Royal Town Planning Institute South Wales Branch Mr. S. Warder Royal Institute of Chartered Surveyors South Wales Branch Mr. P. Barber Cardiff University, Department of City & Regional Planning Dr. S. Romaya **Ecclesiastical Representative** Father K. Kimber **Community Constable** PC A. Withers Cardiff Chamber of Commerce, Trade & Industry H. Conway one vacancy Welsh School of Architecture one vacancy Council for British Archaeology Historic Building Section one vacancy Techniquest one vacancy

The non-governmental agents are those outside the governmental system, including the Victorian Society, Cardiff Civic Society, traders' associations, wards and communities and groups of architects or developers. All are indirect agents and cannot make policy decisions. They may seek to influence decisions by writing to Cardiff Council, campaigning against certain polices or taking part in Conservation Groups through the efforts of their representatives.

The Purposes of Agents When Making Decisions

When making boundary changes, agents of different types have distinct purposes, mostly for their own benefits. These aims could act against each other and, if this is the case, those who possess greater power will make the final decision. Governmental agents, particularly the PDC or the Planning Department after 1999, expand conservation areas (or designate new ones) generally for three reasons. They are to seek more grants from the national government, to seek more control over urban spaces (specifically against proposals from SGCC), or for the implementation of requirements of higher-level governmental bodies. The impressive expansion on the boundary of Cathays Park conservation area in 1978 shows how PDC used this to secure more funding from the national government. In order to get grant funding for re-planting trees around Cathays Park - which had been hard hit by Dutch Elm Diseaserelated tree deaths, and the trees had formed an important aspect of the area's character – the PDC expanded the conservation area to include almost everywhere that trees were growing. The boundary extended to the river Taff in the west and to almost the edge of Cathays Park (Queen Anne Square) in the north, regions where woodland was situated. The designation of Charles Street, in addition, reflects the conflict between Cardiff City Council and the South Glamorgan County Council. Even though the latter is at a higher level in the governmental hierarchy, SGCC has to follow the wishes of Cardiff City Council relating to potential development inside conservation areas. Therefore, the city council was motivated to designate more conservation areas to stop SGCC from promoting developments that were unwanted by the city. In 1988 the Cardiff City Council referred to the SGCC's purchase of Nos 63 and 65 Charles Street for the

widening of Bridge Street (Cardiff City Council, 1988b). The designation was, to a certain extent, intended to give some control over the demolition of buildings on Charles Street and therefore to stop SGCC from carrying out its intentions (Figure 11).

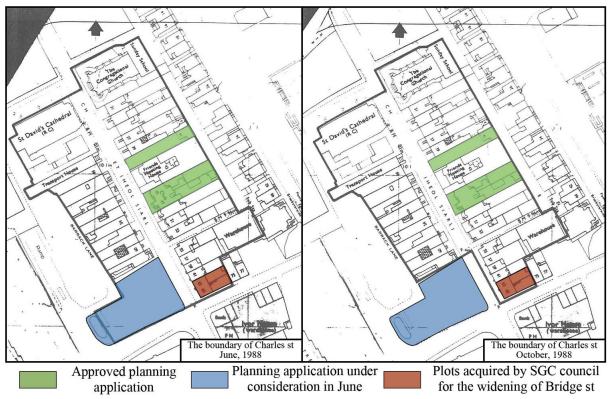


Figure 11. Charles Street conservation area before and after the two designations in 1988. *Source*: Cardiff City Council (1988b).

Semi-governmental agents, the Conservation Groups, often take part in the work of implementing requirements. The designation of Charles Street and expansion of Windsor Place (Central Area Conservation Area No. 2) in 1988 was also, in part at least, to implement requirements from the Welsh Office. A similar purpose was behind the 1992 review. With help from Conservation Groups, which focus solely on conservation itself, when the expansion of conservation area boundaries was for wider policy implementation reasons, the specific conservation aims are strengthened. It could be concluded that Conservation Groups are very helpful in ensuring that governmental decisions retain a specific conservation rationale.

Non-governmental agents have two opposite purposes when asking for boundary expansions. Some of these agents are reaching out to conservation, while others are using the conservation area as a tool for the exchange of benefit with governmental agents. On the one hand, the Victorian Society, Cardiff Civic Society, trade associations, wards and communities are agents of the former type. They suggest, campaign or send representatives to the council (or Conservation Groups) to get where they live or work designated as conservation areas. The designation could better their living environment or raise property or rent values – there is often a degree of self-interest in responses from some such groups – so that they could be benefited. The 1988 extension of St Mary Street conservation area to Wyndham Arcade is a good example. The conservation area was extended to include areas where Cardiff City Council thought that the character and historic value did not qualify for conservation area status. On the other hand, architects

and developers' groups are of the latter type. They work together with governmental agents to secure development in the city centre using the conservation area boundary as a tool. They will work on plots both inside and outside the designated area. The restrictions within designated areas mean that work within them is more likely to consist of enhancement, and plots outside are more likely to be subject to redevelopment. Therefore, the plots outside could be more suitable for larger-scale development such

as shopping malls, office buildings and apartments. But once that development is complete, developers may seek the extension of conservation area boundaries and the local authority, considering their efforts to enhance the urban core (e.g. Jones Court), may permit this kind of application. However, under pressure from Conservation Groups and non-governmental agents such as the Victorian Society, the nature of redevelopment proposals outside conservation areas has had to retain some conservation-related features in order to get permission. This promoted 'façadism', a form of redevelopment in which only the façade of historic building is retained (Richards, 1994). Large commercial buildings on south St Mary Street, Queen Street and the north end of Westgate Street represent this type of development: all are now inside conservation area boundaries but few retain much intrinsic historic value (Figure 12).



Figure 12. Façadism on Queen Street. Left shows the façade and right shows the back of one Listed Building. Photograph taken by Luchuan Deng.

The Decision-making Framework

An interview with the current leader of Cardiff Council's placemaking group helped unravel the complexity of the conservation area decision-making framework and its changes over time revealed in the formal documentation. The documents show that, before 1999, the decision-making style was essentially a form of cooperation between several direct agents. Conservation Groups made the draft boundary changes, PDC transformed it into formal policy documents which were reviewed by the Planning Policy Committee and the full Council. The latter two agents could give instructions and require PDC and Conservation Groups to make alterations to their recommended policies. Generally, this is a circular and negotiation-based way to make decisions (Figure 13). In 1999 there were reforms to the governance of conservation areas and the previously separated decision-making institutions were integrated into the newly founded

Executive Business Meeting (after 2012 the EBM changed its name to the Cabinet). Conservation Groups lost their place as direct agents and all the issues relating to conservation areas were transferred to EBM. EBM communicated directly to nongovernmental agents and responded to their needs (Figure 14). This reform raised the efficiency of decision-making but, at the same time, weakened the power of Conservation Groups and, simultaneously, non-governmental agents as a whole since they lost their leader in fights against governmental agents and their collaborators. Therefore, the governmental agents gained more power over Conservation Groups and non-governmental groups, making conservation areas less able to resist pressure for development. In fact, even the façadism form of regeneration was abandoned and more and larger-scale structures arose in Cardiff city centre apparently regardless of whether they were inside, on the edge of, or near to a conservation area. This includes the Millennium Stadium, St David's II and the Central Square now under construction. The difference before and after 1999 is very clear, and the extent and impact of redevelopment is emphasized if all of the area boundaries and rebuilding projects are mapped (Figure 15).

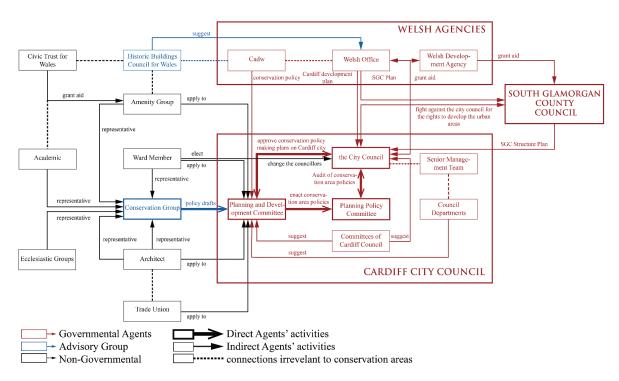


Figure 13. Decision-making framework for conservation areas in Cardiff city centre 1975–1999 (1984–1996 strictly: Cadw was not founded until 1984 and the PDC changed its name to Planning Committee after 1996).

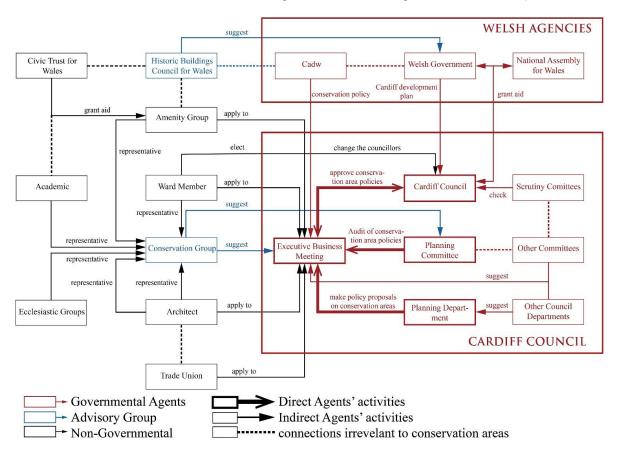


Figure 14. Decision-making framework for conservation areas in Cardiff city centre 1999–2012 (2006–2012 strictly: WDA was abolished in 2006 so in order not to include it into the framework the time period has to be adjusted).

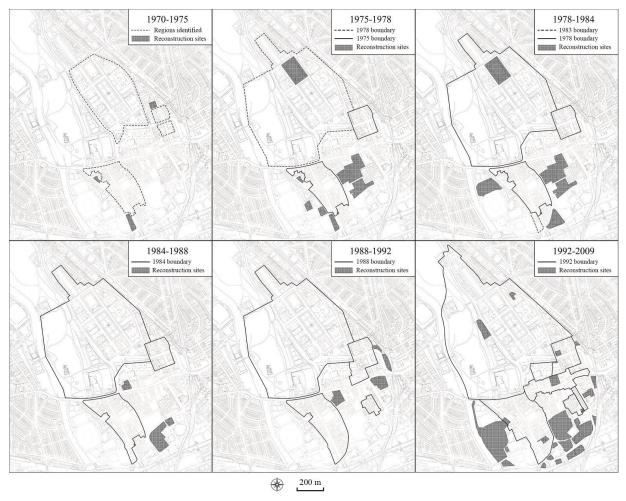


Figure 15. The changing boundaries of conservation areas and reconstruction sites in Cardiff city centre 1975–2009. *Source*: location of reconstruction sites partly based on Punter (2007), p.127.

This paper now is able to address the problem raised earlier: in the example of Cardiff, it was the growing power of the governmental agent that stopped the expansion of conservation areas, which had been manipulated partly as a way in the 1970s and 1980s – through façadism – for regeneration of the Victorian city centre. In the 1990s the 'giant architecture' replaced façadism in the redevelopment of Cardiff city centre thanks in part at least to the growing power of Cardiff Council and the diminished influence of other agents.

The Origin of Cardiff Council's Power

The origin of the powers exercised by the governmental agents (Cardiff Council) either to support conservation or to promote development is worth considering given the pattern of decision-making over time. Obviously, the key source is Parliamentary legislation, so changes in legislation, administration and planning should be considered. Yet changing conservation legislation has had limited impact on conservation practice; comparing the 1974 legislative system1 and the 1990 system,2 the increased emphasis on the 'regular review' of conservation areas by LPAs is the only significant change; many of the key sections have retained very similar wording from 1971 or even 1967.

The changing administration of Cardiff, and perhaps even Wales, could be a more significant factor. As has been pointed out, Cardiff was a district of SGC after the local government reorganization in 1974. The existence of SGCC, the influence of the Welsh Office and the Westminster government's regulations on limiting local plans all squeezed the planning power of Cardiff City Council. It could be argued that almost the only place where the city council's planning power remained strong was within designated conservation areas. Therefore, the utilization of conservation areas by governmental agents as a regeneration tool was actually a temporary expedient since it was inevitable that there would be some compromise to non-governmental agents. When Cardiff became a unitary authority in 1996 with

the abolition of SGCC, conservation areas were no longer needed to facilitate regeneration or redevelopment of more city-centre spaces. The power of the city council was further strengthened after devolution when the new Welsh government was eager to demonstrate its power. One way to do this at that time was to

support Cardiff Council in creating landmark projects that could represent Wales.

Together this gave birth to the 'European capital' strategy (Punter, 2007) put forward by Russell Goodway, the then council leader. This strategy underpinned the construction of 'giant architecture' in the 1990s and the first five years of the 2000s, and the marginalization of conservation areas.

The planning system does not have the same impact as administration. It sets the framework for an individual LPA's conservation-related activities, but does not specify what must be done, how or when. During 1975–1999 plans for Cardiff were made either by the Welsh Development Agency (WDA) or SGCC, while during 1999–2012 more conservation- and development-related plans were made by Cardiff Council. After 1996 the Unitary Development Plan (Coop & Thomas, 2007; Cardiff Council, 1997) became

important factor for conservation decision-making, and both of the only two reviews undertaken after 2000 were related to the process of creating the Cardiff Local Development Plan 2006–2026. This is to say that planning itself could reflect the reality of the power shifting from the WDA and SGCC to Cardiff Council, but was unable to promote this shift.

Discussion and Conclusion

This paper reveals a short part of the history of conservation planning in the UK, using Cardiff as a case study. Conservation is deeply embedded in governance and the decisionmaking process related to it, with integral links with property rights and land policy. This paper identifies the centralization of decisionmaking and the diminution of governance on the specific issue of conservation (Figure 16), which may be a result of the changes in the locus of power in the UK particularly between the central, regional and local levels.

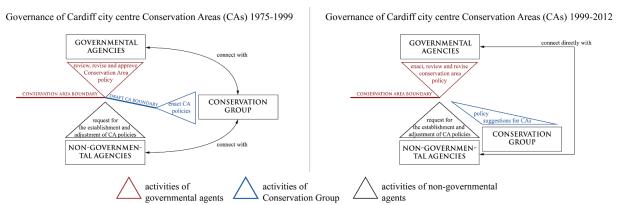


Figure 16. The relationship between different types of agents in the governance of Cardiff city centre conservation areas before and after 1999 Cardiff Council reform.

This paper has examined the timeline for, and changes to, Cardiff's city-centre conservation areas and tracked the continuing expansion of each of the conservation areas between 1975 and 1992. Investigation of the agents making decisions on conservation area boundaries has identified the reasons underlying these boundary extensions, and why this extension process stopped after 1992. By categorizing agents into both governmental, semi-governmental and non-governmental, and direct-indirect, the paper identifies the decision-making framework of conservation areas in Cardiff city centre. The expansion of

conservation area boundaries during 1975–1992 was very clearly prompted by two opposite forces: façadism (promoted by governmental agents and a small group of nongovernmental agents, especially architects and developers) and the calls for conservation (promoted by Conservation Groups and most non-governmental agents). After 1992 the expansion process was halted, since the city council acquired more power to develop and façadism was no longer needed. The more powerful city council also weakened the Conservation Groups (even to the point of disbanding them in 2012) and, due to financial

cutbacks affecting some of Cardiff's non-governmental agents, their influence became more limited.

The suggestion that conservation areas in Cardiff city centre became less significant, with less review and no extensions, after 1992 solely to the growing power of Cardiff Council should be mediated by acknowledgement of the large-scale waves in society and the economy in western cities. The global economic crisis led to quiescence, where active conservation planning may have stood aside in part because the direct threat of demolition and redevelopment, and other changes, also became less significant. During periods of intense development pressure, conservation may be more intensively used as an area improvement or development management tool, as in Cardiff during 1975–1992. Alternatively, it may be seen as a barrier to continued economic growth, and sidelined, as happened after 1992. However, it would be hard to deny the influence of Cardiff Council since the 'European capital' strategy and the construction of large complexes changed very little in Cardiff city centre before and after the economic crash in 2008. Consequently, there is a need for further studies on positioning conservation planning in urban governance to strengthen urban landscape management, to reinforce character and identity, and support the development of cities in the emerging era of global economies and cultures.

Notes

- 1. This includes the 1974 Town and Country Amenities Act, the amended 1971 Town and Country Planning Act and related Welsh Circular 147/74 (Welsh Office 220/74) (DoE 1974) and Circular 53/67 (MoHLG 1967).
- 2. This includes the 1990 Planning (Listed Building and Conservation Areas) Act, the 1990 Town and Country Planning Act and some related orders or circulars.

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